

**Finite Size Scaling and Stability of  
Atomic and Molecular Systems  
in Superintense laser fields**

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# **Finite Size Scaling and Quantum Criticality**

**(1) Introduction: Criticality and Finite Size Scaling**

**(2) Finite Size Scaling in Quantum Mechanics:  
How it works**

**(3) Stability of Matter:**

**(a) Atoms**

**(b) Molecules**

**(c) Quantum Dots**

**(4) Stabilization in Superintense Laser Fields**

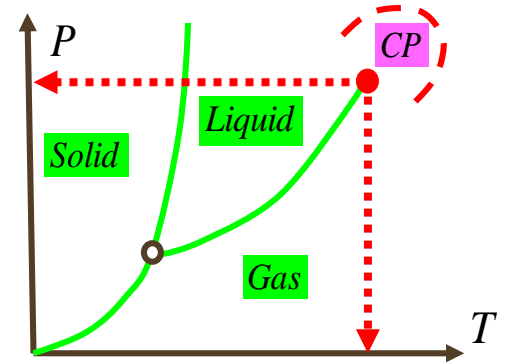
**(5) Future Work: Open Problems**

# Phase Transitions

- ❖ Classical: Classical phase transitions are driven by thermal energy fluctuations

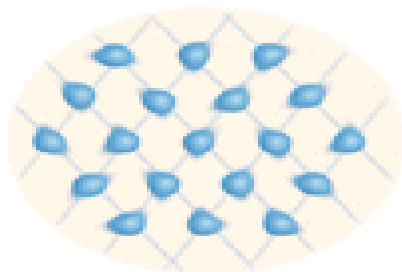
Like the melting of an ice cube:

Solid  $\rightarrow$  Liquid  $\rightarrow$  Gas

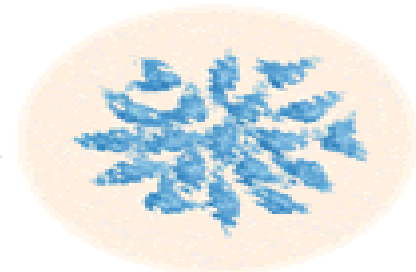
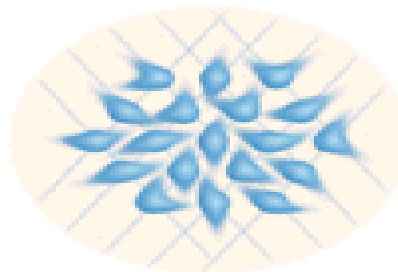


- ❖ Quantum: Quantum phase transitions, at  $T=0$ , are driven by the Heisenberg uncertainty principle

Like the melting of a Wigner crystal: Two dimensional electron layer trapped in a quantum well



Wigner crystal



Fermi liquid

# Quantum Phase Transitions

Transitions that take place at the absolute zero of temperature,  $T=0$ , where crossing the phase boundary means that the quantum ground state energy  $E_0(\lambda)$ , of the system changes in some fundamental way.

This is accomplished by changing some parameter in the Hamiltonian of the system  $H_0(\lambda)$ .

We shall identify any point of non-analyticity in the ground state energy  $\lambda = \lambda_c$ , as a quantum phase transition.

# Phase Transition

**Free energy:**  $f[K] = -k_B T \log[Z]$



Partition  
Function

**Coupling Constants:**  $\{K_1, K_2; K_D\}$

As a function of  $[K]$ ,  $f[K]$  is analytic almost everywhere

Possible non-analyticities of  $f[K]$  are points ( $D_S=0$ ), lines ( $D_S=1$ ), planes ( $D_S=2$ ), ...

Regions of analyticity of  $f[K]$  are called phases

# Phase Transitions

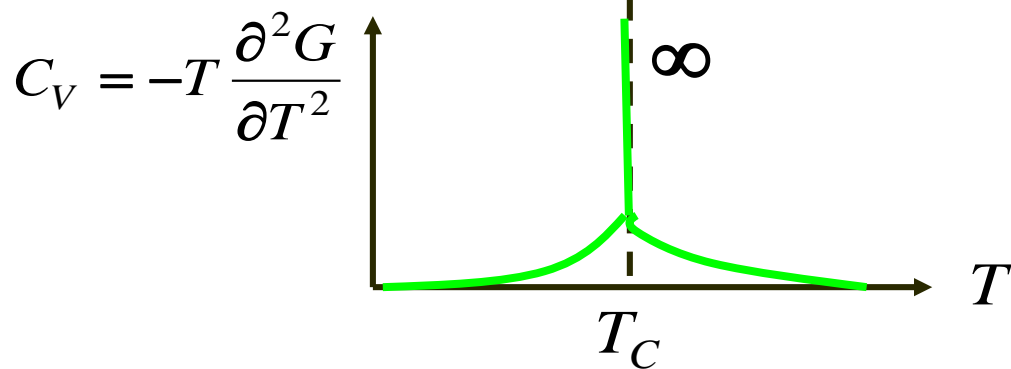
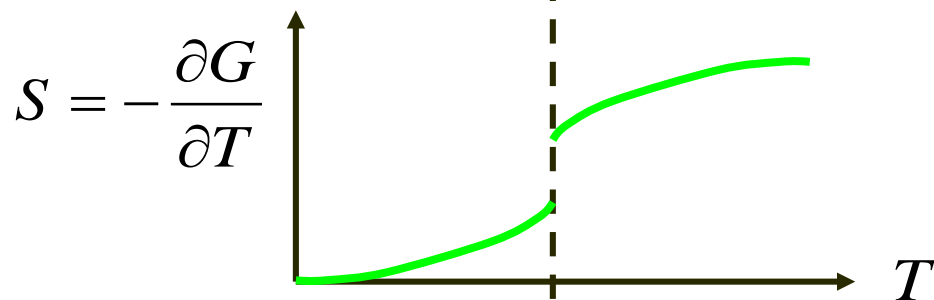
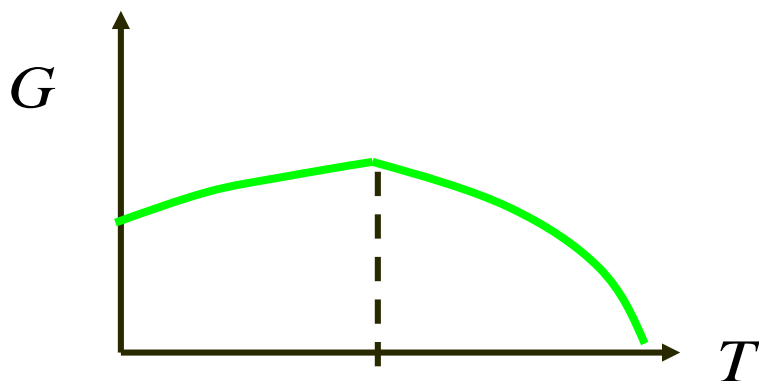
## First-order:

$\partial f / \partial K_i$  is discontinuous across a phase boundary

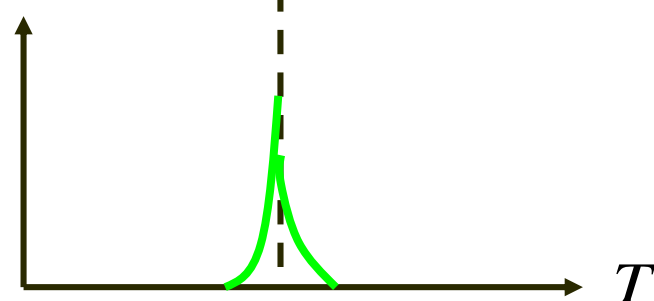
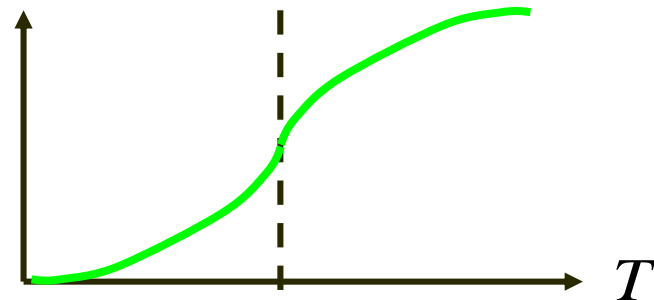
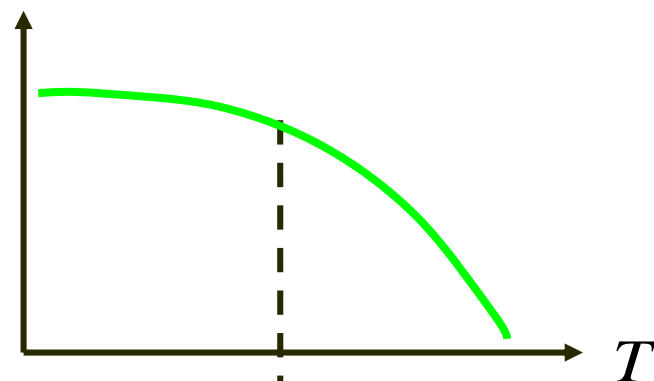
## Continuous Phase Transition:

All  $\partial f / \partial K_i$  are continuous across the phase boundary.  
But, second derivatives or higher derivatives are discontinuous or divergent

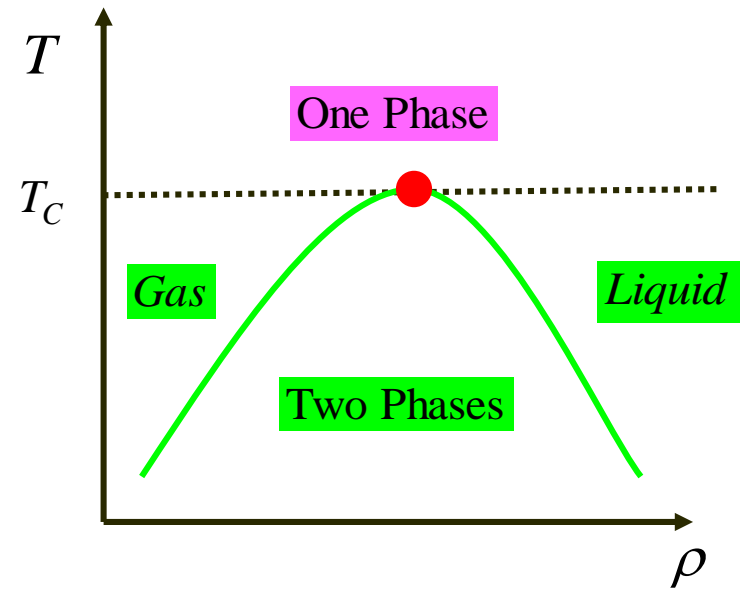
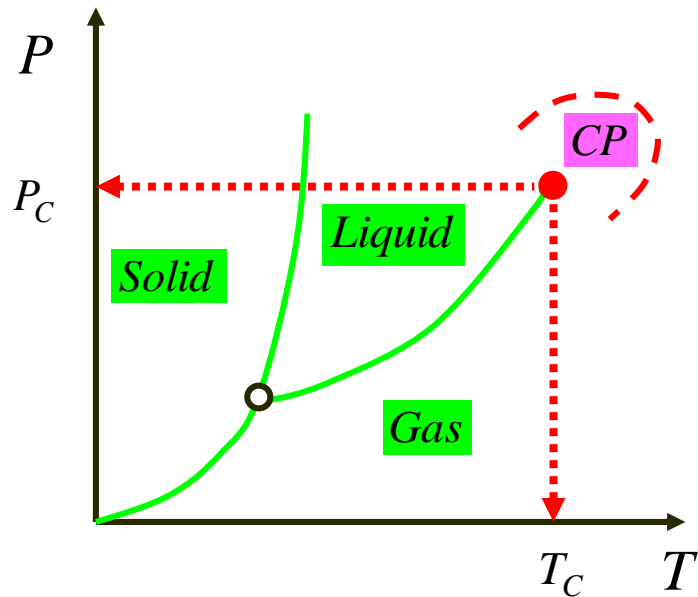
## First-order transition



## Continuous transition



# Phase Transitions



Order Parameter  $\rightarrow$   $|\rho_+ - \rho_-| \propto |T - T_C|^\beta$   $\leftarrow$  Critical Exponent

$\beta = 0.327$



# Critical Exponents

The critical exponents describe the nature of the singularities in various measurable quantities at the critical point  $[\alpha, \beta, \gamma, \delta, \eta, \nu]$

**In the limit**  $T \rightarrow T_c$

- ❖ Heat Capacity:  $C \sim |T - T_c|^{-\alpha}$
- ❖ Order Parameter:  $M \sim |T - T_c|^\beta$
- ❖ Susceptibility:  $\chi \sim |T - T_c|^{-\gamma}$
- ❖ Equation of State:  $M \sim H^{1/\delta}$
- ❖ Correlation Length:  $\xi \sim |T - T_c|^{-\nu}$

## Scaling Laws:

- ❖ Fisher:  $\gamma = \nu(2 - \eta)$
- ❖ Rushbrooke:  $\alpha + 2\beta + \gamma = 2$
- ❖ Widom:  $\gamma = \beta(\delta - 1)$
- ❖ Josephson:  $\nu d = 2 - \alpha$

## Universality Classes

Near a second-order phase transition macroscopic quantities show a universal scaling behavior that is characterized by critical exponents that depend only on general properties of the system, such as

its dimensionality,  
symmetry of the order parameter, or  
range of interaction.

Accordingly, phase transitions are classified in terms of universality classes.

# Critical Exponents

$[\alpha, \beta, \gamma, \delta, \eta, \nu]$

Exponent	TH	EXPT	MFT	ISING2	ISING3	HEIS3
$\alpha$		0-0.14	0	0	0.12	-0.14
$\beta$		0.32-0.39	$\frac{1}{2}$	$\frac{1}{8}$	0.31	0.3
$\gamma$		1.3-1.4	1	$\frac{7}{4}$	1.25	1.4
$\delta$		4-5	3	15	5	
$\nu$		0.6-0.7	$\frac{1}{2}$	1	0.64	0.7
$\eta$		0.05	0	$\frac{1}{4}$	0.05	0.04
$\alpha + 2\beta + \gamma$	2	$2.00 \pm 0.01$	2	2	2	2
$(\beta\delta - \gamma)/\beta$	1	$0.93 \pm 0.08$	1	1	1	
$(2 - \eta)\nu/\gamma$	1	$1.02 \pm 0.05$	1	1	1	1
$(2 - \alpha)/\nu d$	1		$4/d$	1	1	1

TH. Theoretical values (from scaling laws); EXPT. Experimental values (from a variety of systems); MFT. Mean field theory; ISINGd. Ising model in d dimension; HEIS3. classical Heisenberg model. D=3

*Kenneth G. Wilson (1982)*

# The Nobel Prize in Physics 1982



**Kenneth G. Wilson**

Cornell University

Renormalization Group

**"for his theory for critical phenomena in connection with phase transitions"**

# Finite Size Scaling

**In statistical mechanics, the finite size scaling method provides a systematic way to extrapolate information obtained from a finite system to the thermodynamic limit**

## Importance

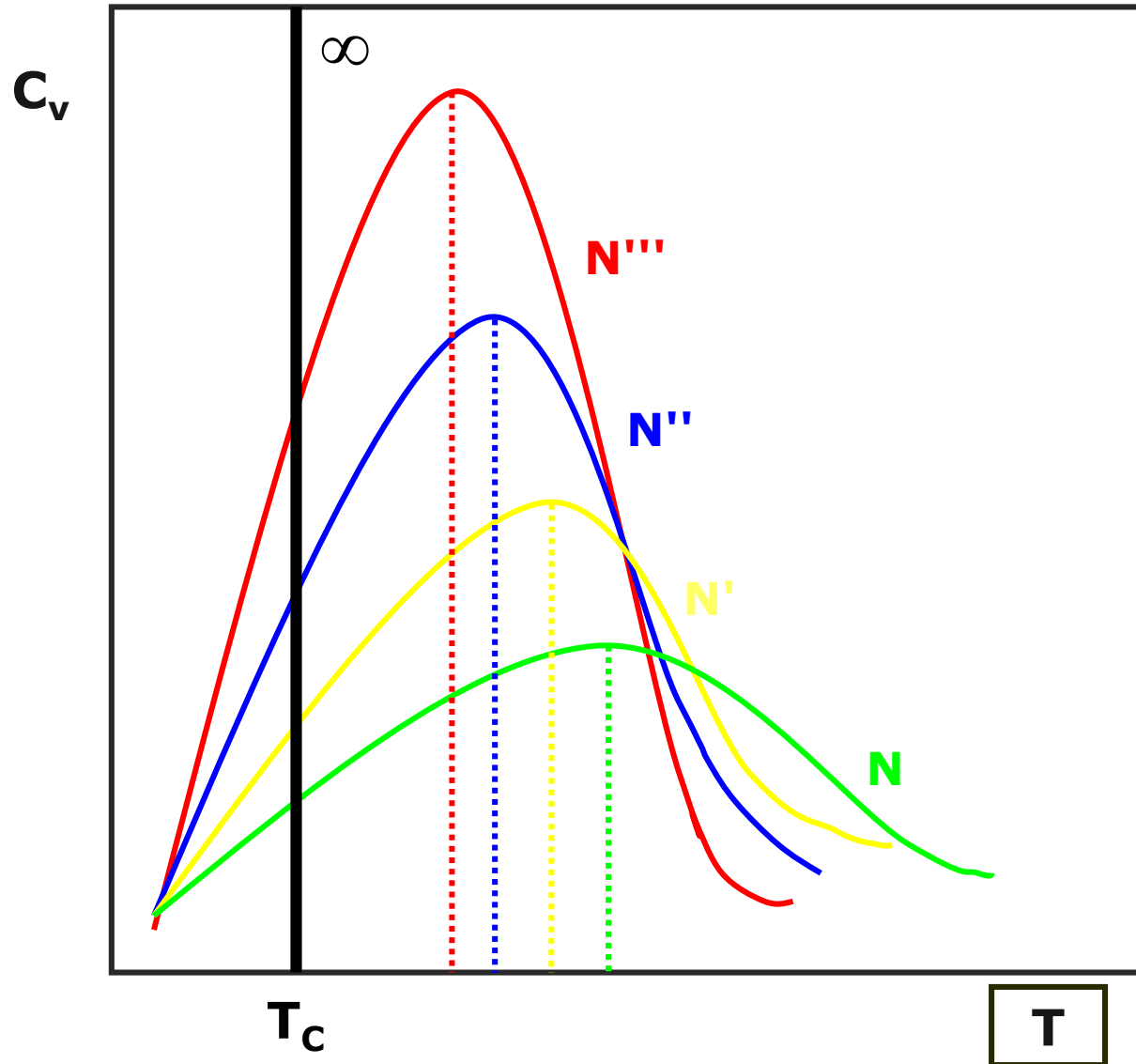
**The existence of phase transitions is associated with singularities of the free energy. These singularities occur only in the thermodynamic limit.**

**Yang and Lee *Phys. Rev. 87, 404 (1952)***



**The Nobel Prize in  
Physics 1957**

# Finite-size effects in Statistical Mechanics



FSS scaled variable  $y = N/\xi(\lambda)$ , where  $\xi$  is the correlation length of the infinite system.

$$\begin{cases} y \sim 1 & \text{Critical effects are expected to occur} \\ y \gg 1 & \text{Bulk-like behavior} \\ y \ll 1 & \text{Finite-size effects are manifested} \end{cases}$$

If a thermodynamical quantity  $K$  develops a singularity as a function of  $\lambda$  in the form

$$K = \lim_{N \rightarrow \infty} K_N(\lambda) \sim |\lambda - \lambda_c|^{-\rho}$$

and in particular for the correlation length

$$\xi(\lambda) = \lim_{N \rightarrow \infty} \xi_N(\lambda) \sim |\lambda - \lambda_c|^{-\nu}$$

the FSS ansatz assumes that

$$K_N(\lambda) \sim K(\lambda) f_K(y)$$

where  $f_K(y)$  is an analytical function. For a finite  $N$ ,  $K_N$  is also analytical, so the behavior of  $f_K(y)$  must be

$$f_K(y) \underset{y \rightarrow 0}{\sim} y^{\rho/\nu}$$



It follows that at  $\lambda_c$

$$K_N(\lambda_c) \sim N^{\rho/\nu} \quad N \rightarrow \infty$$

If  $K^{(q)}(\lambda)$  is the  $q$ th derivative of  $K(\lambda)$ ,  $K^{(q)}(\lambda)$  is also singular at  $\lambda_c$

$$\frac{d^q}{d\lambda^q} K(\lambda) = K^{(q)}(\lambda) \sim |\lambda - \lambda_c|^{-\rho-q}$$

and therefore

$$K_N^{(q)}(\lambda_c) \sim N^{(\rho+q)/\nu} \\ ; N \rightarrow \infty$$

Since  $K^N(\lambda)$  is an analytical function in  $\lambda$ , it has a Taylor expansion around  $\lambda_c$  and  $K_N(\lambda)$  can be expressed as

$$K_N(\lambda) \sim N^{\rho/\nu} \phi_K(N^{1/\nu}|\lambda - \lambda_c|), \quad N \rightarrow \infty$$

where  $\phi_K$  is a scaling function which is regular around  $\lambda_c$ .

We can apply FSS to the correlation length  $\xi$ .

$$\xi_N(\lambda) \sim N \phi_\xi(N^{1/\nu} |\lambda - \lambda_c|), \quad N \rightarrow \infty$$

Nightingale developed the phenomenological renormalization (PR) equation for finite systems of sizes  $N$  and  $N'$  is given by

$$\frac{\xi_N(\lambda)}{N} = \frac{\xi_{N'}(\lambda')}{N'}$$

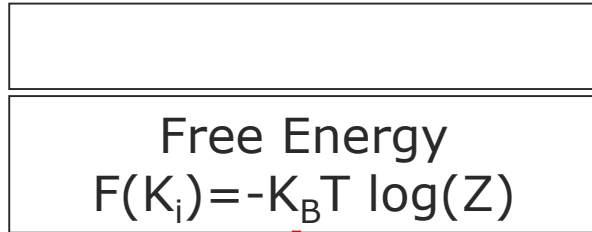
and has a fixed point at  $(N, N')$ . It is expected that the succession of points  $\{\lambda^{(N, N')}\}$  in the limit of infinite sizes to converge to the true  $\lambda_c$ .

Using the definition of the correlation length, then at the fixed point can be written as

$$\left( \frac{E_1^{(N)}(\lambda^{(N, N')})}{E_0^{(N)}(\lambda^{(N, N')})} \right)^N = \left( \frac{E_1^{(N')}(\lambda^{(N, N')})}{E_0^{(N')}(\lambda^{(N, N')})} \right)^{N'}$$

# Statistical Mechanics

Classical



**Critical Phenomena**

**Correlation Length**

$$\xi \sim (T - T_C)^{-\nu}$$



**Finite Size Scaling**

Thermodynamic Limit

$$N \rightarrow \infty$$



**Applications**



Quantum



**Critical Phenomena**

**Mass Gap of H**

$$\xi \rightarrow \frac{1}{\Delta E} \sim (\lambda - \lambda_C)^{-\nu}$$



**Finite Size Scaling**

Number of Basis Functions

$$M \rightarrow \infty$$



**Applications**

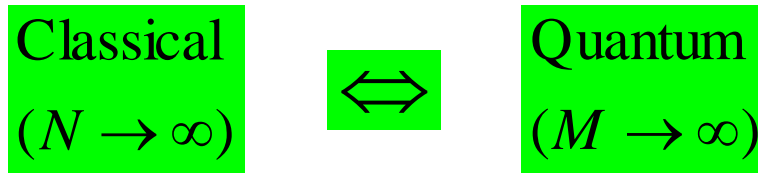


**In the present approach, the finite size corresponds not to the spatial dimension, as in statistics, but to the number of elements in a complete basis set used to expand the exact eigenfunction of a given Hamiltonian.**

## **Quantum Mechanics**

$$\psi = \sum_{n=0}^{\infty} a_n \phi_n \cong \sum_{n=0}^M a_n \phi_n$$

**(Variational Calculations)**



**Phys. Rev. Letters 79, 3142 (1997)**

# Finite Size Scaling: Quantum Mechanics

In order to apply FSS to quantum mechanics problems, let us consider the following Hamiltonian of the form

$$H = H_0 + V_\lambda$$

where  $H_0$  is  $\lambda$ -independent and  $V_\lambda$  is  $\lambda$ -dependent term

For a given complete orthonormal  $\lambda$ -independent basis set  $\{\phi_n\}$ , the ground state eigenfunction has the following expansion

$$\psi_\lambda = \sum_n a_n(\lambda) \phi_n$$

The Nth-order approximation for the energies are given by the eigenvalues  $\{\Lambda_i^{(N)}\}$  of the matrix  $H^{(N)}$ ,

$$E_\lambda^{(N)} = \min_{\{i\}} \{\Lambda_i^{(N)}\}$$

The corresponding eigenfunction are given by

$$\psi_\lambda^{(N)} = \sum_n^{M(N)} a_n^{(N)}(\lambda) \phi_n$$

The expectation value of any operator  $O$

$$\langle O \rangle_\lambda^{(N)} = \sum_{n,m}^N a_n^{(N)}(\lambda) a_m^{(N)}(\lambda) O_{n,m}$$

The FSS ansatz

$$\langle O \rangle_\lambda^{(N)} \sim \langle O \rangle_\lambda F_O \left( N |\lambda - \lambda_C|^\nu \right)$$



$$\Delta_O(\lambda; N, N') = \frac{\ln \left( \langle O \rangle_\lambda^{(N)} / \langle O \rangle_\lambda^{(N')} \right)}{\ln(N'/N)}$$

The curves intersect at the critical point

$$\Delta_O(\lambda_C; N, N') = \Delta_O(\lambda_C; N'', N)$$

In order to obtain the critical exponent  $\alpha$  for the energy

$$\frac{\alpha}{\nu} = \Delta_H(\lambda_C; N, N')$$

Hellmann-Feynman Theorem

$$\frac{\partial E_\lambda}{\partial \lambda} = \left\langle \frac{\partial H}{\partial \lambda} \right\rangle_\lambda = \left\langle \frac{\partial V_\lambda}{\partial \lambda} \right\rangle_\lambda$$

$O = \partial V_\lambda / \partial \lambda$  gives an equation for  $(\alpha - 1)/\nu$

# Finite Size Scaling: Quantum Mechanics

$$H = H_0 + V_\lambda$$

$$\psi_\lambda^{(N)} = \sum_n^{M(N)} a_n^{(N)}(\lambda) \phi_n$$

**The FSS ansatz**

$$\langle O \rangle_\lambda^{(N)} \sim \langle O \rangle_\lambda F_O \left( N |\lambda - \lambda_c|^\nu \right)$$

$$\Delta_O(\lambda; N, N') = \frac{\ln \left( \langle O \rangle_\lambda^{(N)} / \langle O \rangle_\lambda^{(N')} \right)}{\ln(N'/N)}$$

**The curves intersect at the critical point**

$$\Delta_O(\lambda_c; N, N') = \Delta_O(\lambda_c; N'', N)$$

# Short Range Potentials

## Yukawa Potential

### Hamiltonian

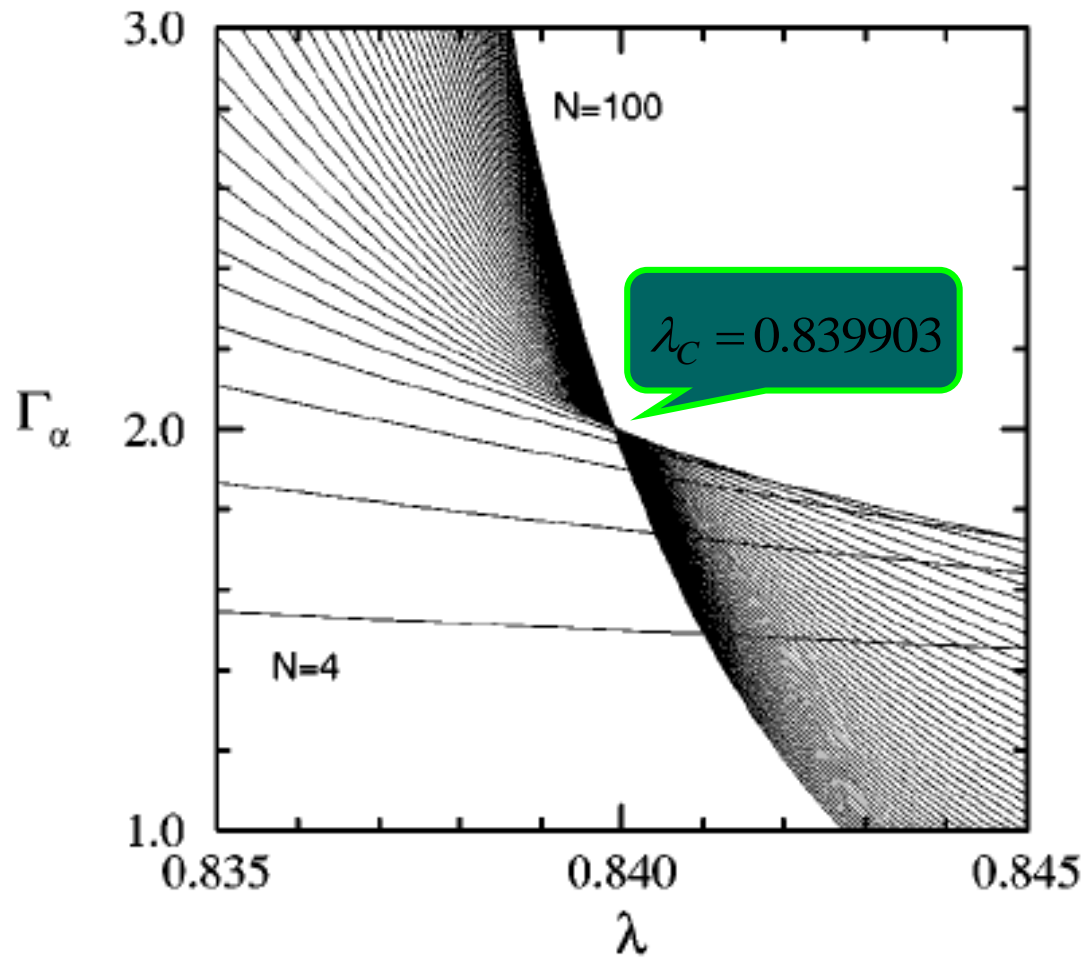
$$H(\lambda) = -\frac{1}{2}\nabla^2 - \lambda \frac{e^{-r}}{r}$$

### Basis Set

$$\phi_n(r, \Omega) = \frac{1}{\sqrt{(n-l+1)(n-l+2)}} e^{-r/2} L_{n-l}^{(2)}(r) Y_{l,m}(\Omega)$$

Where  $L_{n-l}^{(2)}(r)$  is the Laguerre polynomial of degree  $n$  and order 2 and  $Y_{l,m}(\Omega)$  are the spherical harmonic functions of the solid angle

# Yukawa



$$\Gamma_\alpha = \frac{\Delta_H}{\Delta_H - \Delta_{\frac{\partial V}{\partial \lambda}}}$$

$$\lambda_c^{exact} = 0.839908$$

Phys. Rev. A 57, R1481 (1998)

# Finite Size Scaling with Gaussian Basis Sets

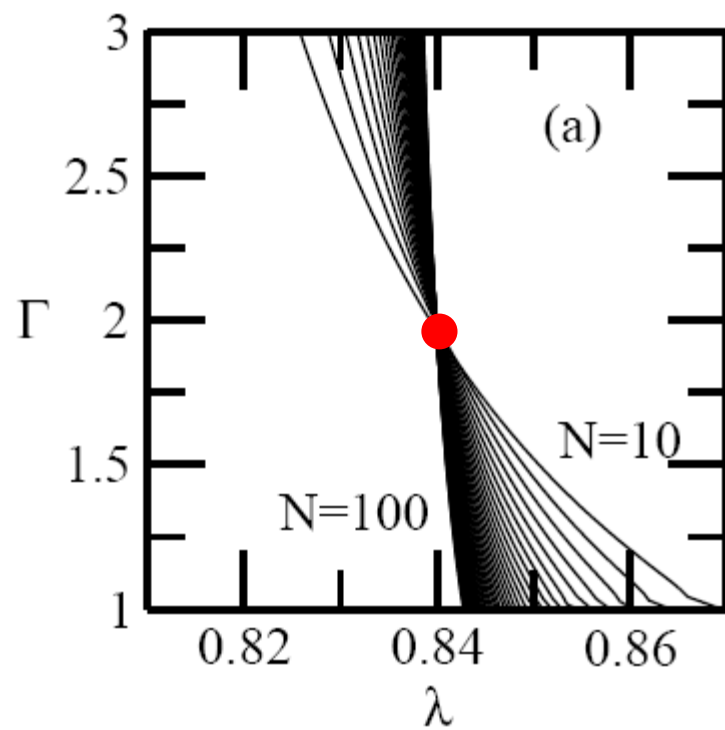
The main idea is to use Gaussian basis sets to do FSS calculations for large atomic and molecular systems.

The basis-set is an over-complete set of Gaussian functions:

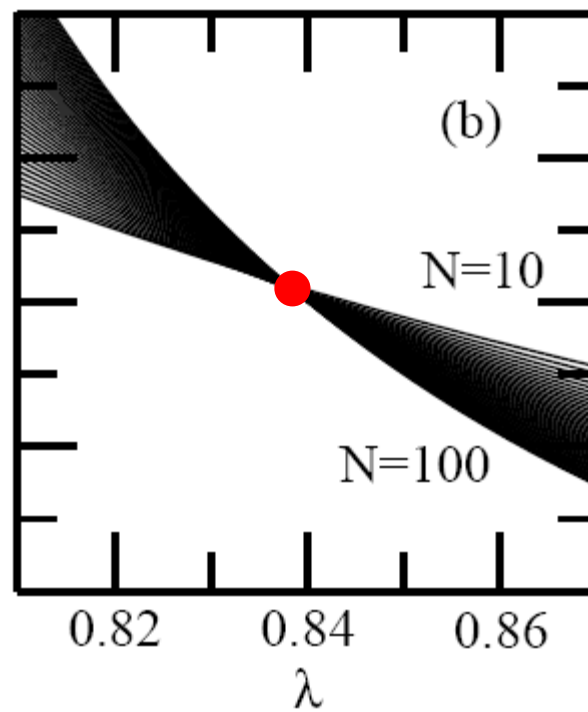
$$\phi_{i,j,k}(\beta; \vec{x}) = C_{ijk} \exp(-\beta r^2) x^i y^j z^k$$

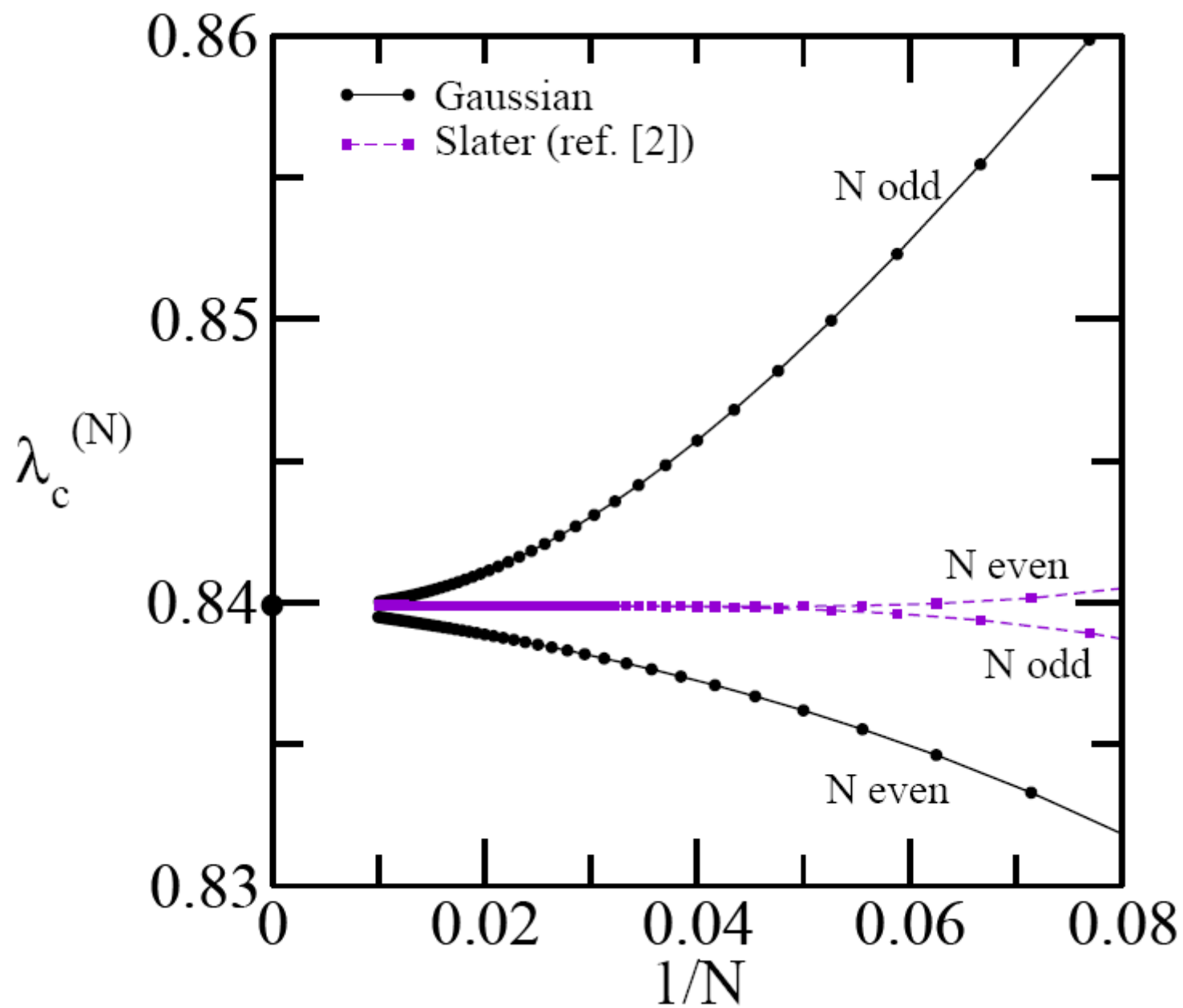
Where  $C_{ijk}$  are the normalization constants and  $\beta$  is a free parameter.

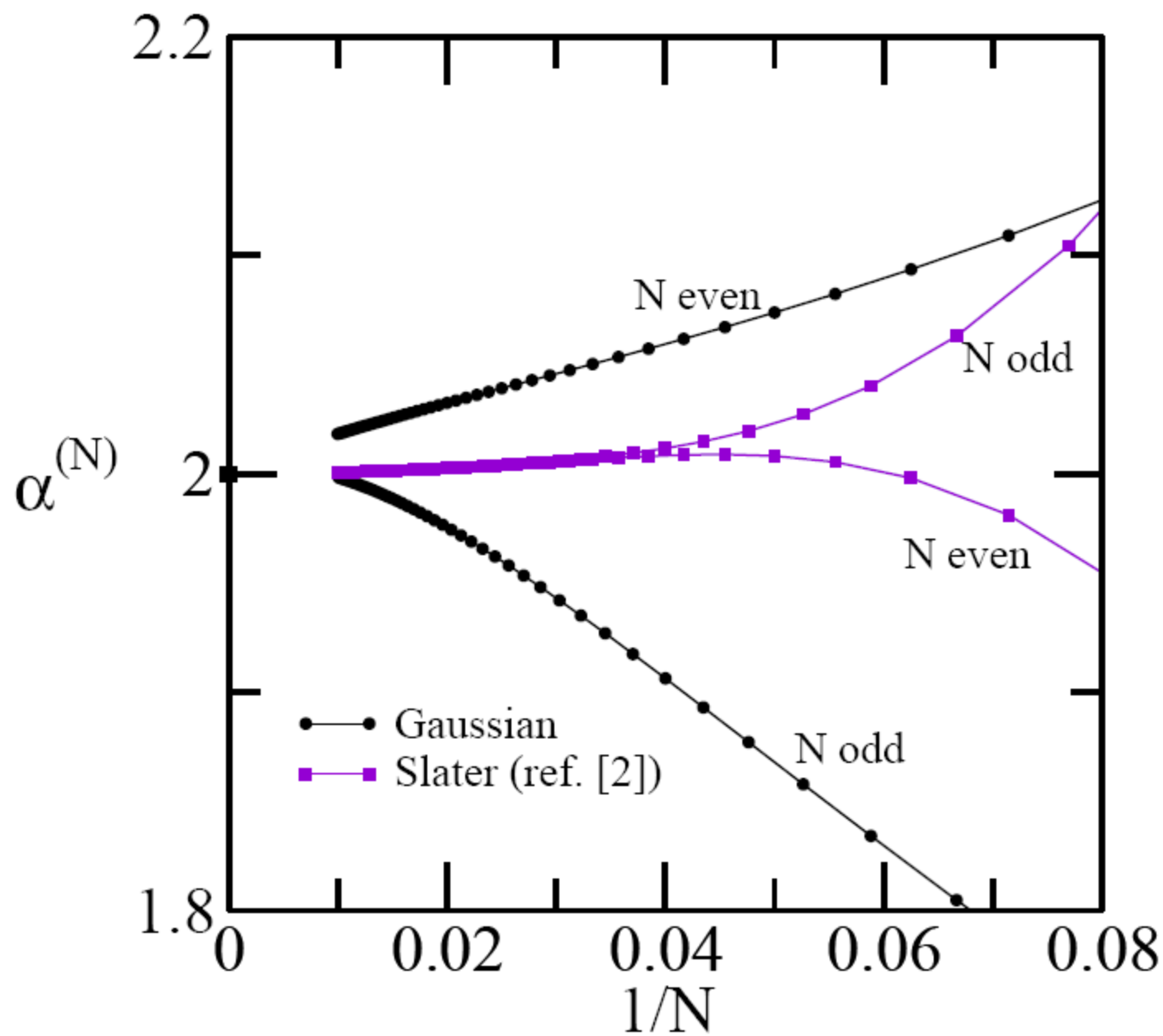
## Gaussians



## Slater









# Finite Size Scaling

## Data Collapse

$$\langle O \rangle_{\infty} \underset{\lambda \rightarrow \lambda_C^+}{\sim} (\lambda - \lambda_C)^{\mu}$$

$$\langle O \rangle_N \sim \langle O \rangle_{\infty} F_O \left( N |\lambda - \lambda_C|^{\nu} \right)$$

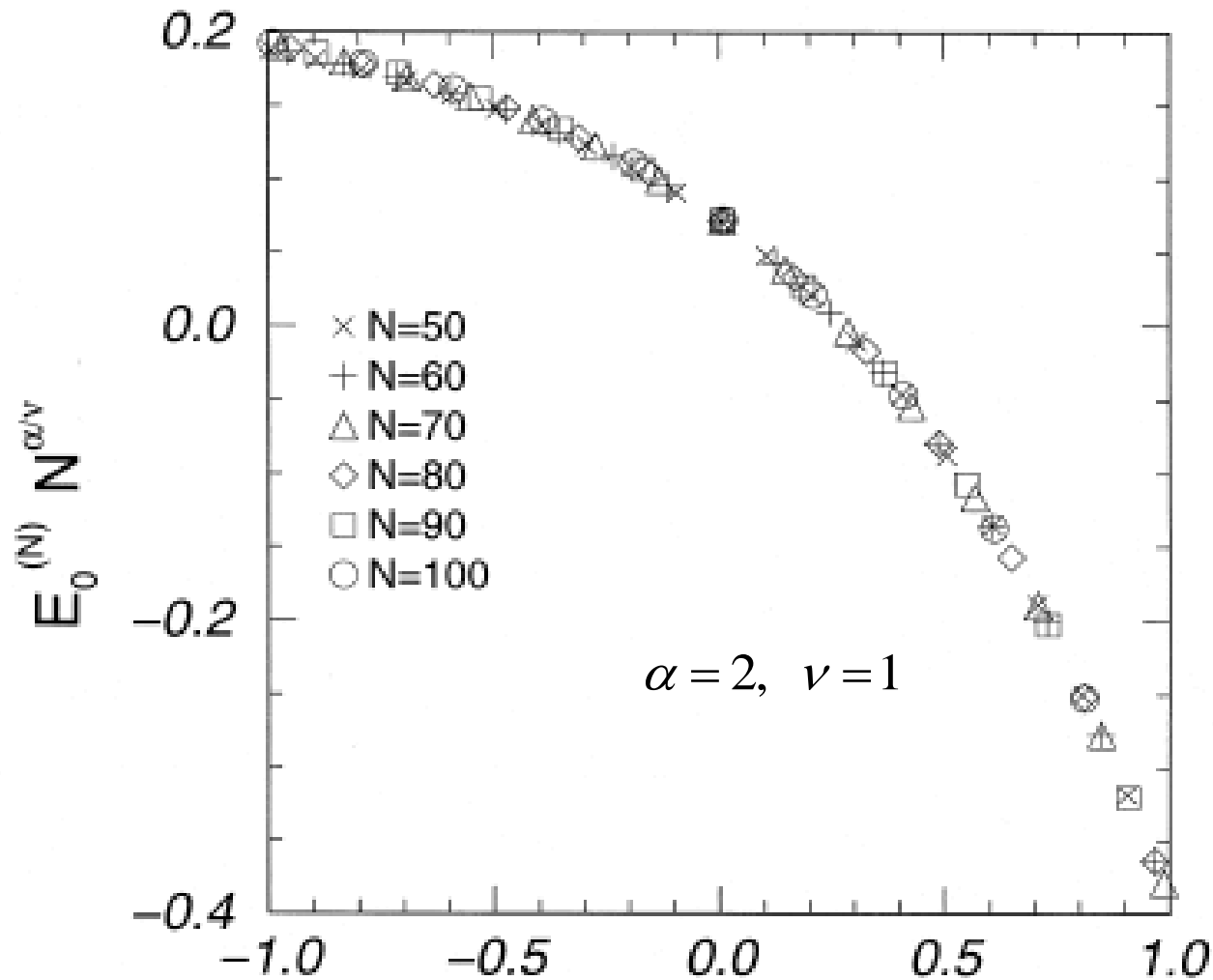
$$F(x) \underset{x \rightarrow 0}{\sim} x^{-\mu/\nu}$$

$$\langle O \rangle_N \underset{N \rightarrow \infty}{\sim} N^{-\mu/\nu}$$

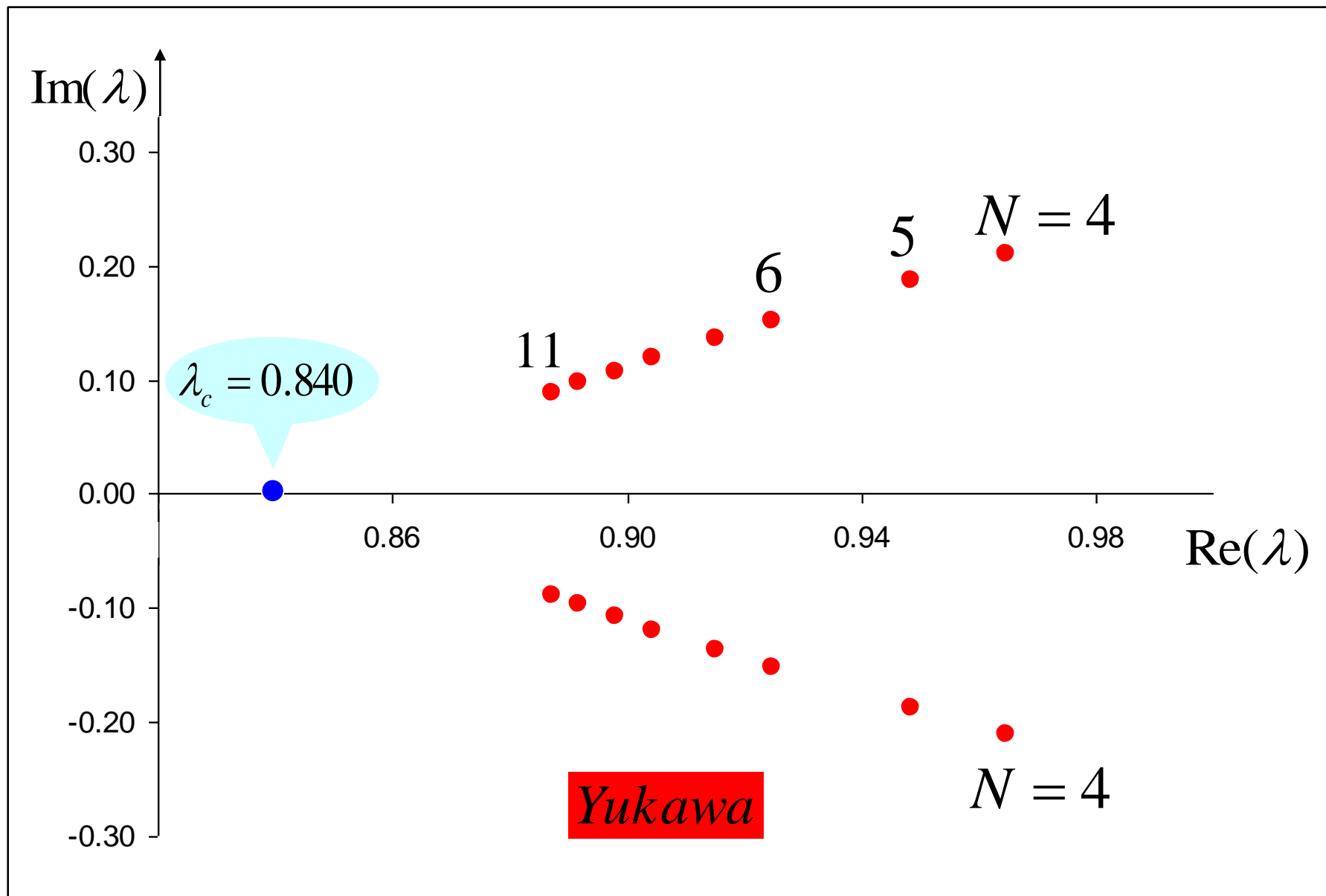
$$\langle O \rangle_N \sim N^{-\mu/\nu} G \left( N^{1/\nu} (\lambda - \lambda_C) \right)$$

$$E_0 N^{\alpha/\nu} \sim G \left( N^{1/\nu} (\lambda - \lambda_C) \right)$$

Data Collapse  $E_0 N^{\alpha/\nu} \sim G((\lambda - \lambda_c) N^{1/\nu})$



$(\lambda - \lambda_c) N^{1/\nu}$



**Chem. Phys. Letters 423, 45 (2006)**

# Quantum Mechanics

**Complex  
Angular  
Momentum**

**Complex  
Time**

**Complex  
Energy**

**Complex  
Charge**

**Regge  
Theory**

**Instanton  
Method**

**Rotation  
Complex  
Coordinates**

**Variation  
Method**

**Scattering  
Amplitude**

**Tunneling**

**Resonances**

**Stability  
of  
Ions**

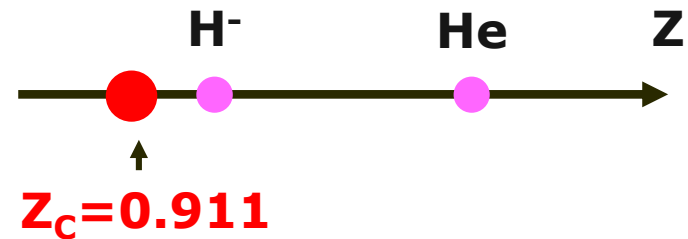
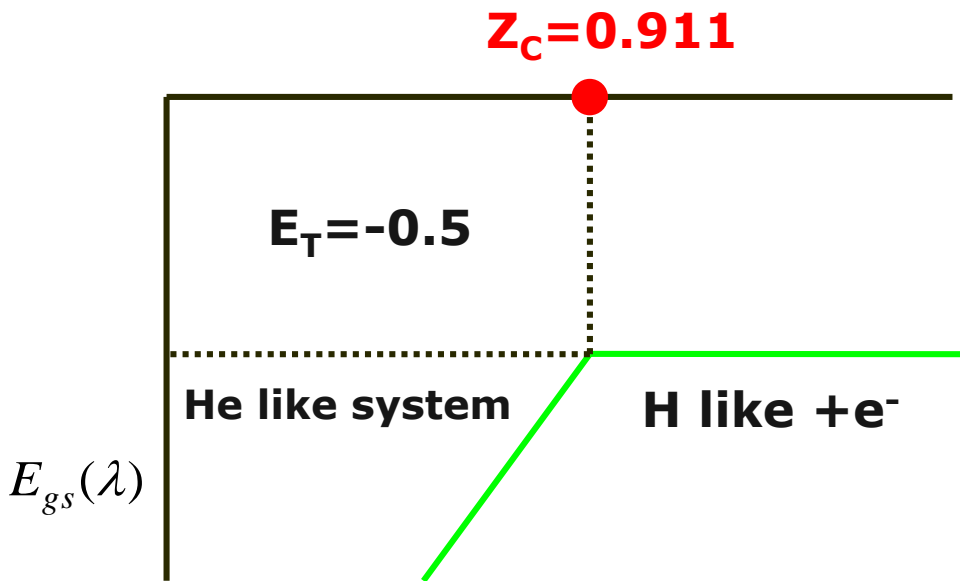
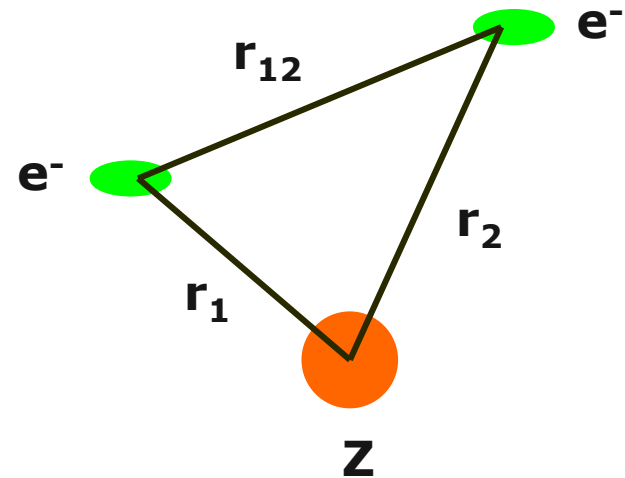


# Two Electrons Atoms

$$H(\lambda) = -\frac{1}{2}\nabla_1^2 - \frac{1}{2}\nabla_2^2 - \frac{1}{r_1} - \frac{1}{r_2} + \frac{\lambda}{r_{12}}$$

$$\lambda = \frac{1}{Z}$$

$$r_{12} = |r_1 - r_2|$$



# Finite Size Scaling procedure

## ❖ Hamiltonian:

$$H = -\frac{1}{2}\nabla_1^2 - \frac{1}{2}\nabla_2^2 - \frac{1}{r_1} - \frac{1}{r_2} + \frac{\lambda}{r_{12}}; \quad \lambda = \frac{1}{Z}$$

## ❖ Basis Set:

$$\Psi = \sum_{i,j,k} C_{i,j,k} \frac{1}{\sqrt{2}} \left( r_1^i r_2^j e^{-\alpha r_1 - \beta r_2} + r_1^j r_2^i e^{-\beta r_1 - \alpha r_2} \right) r_{12}^k$$

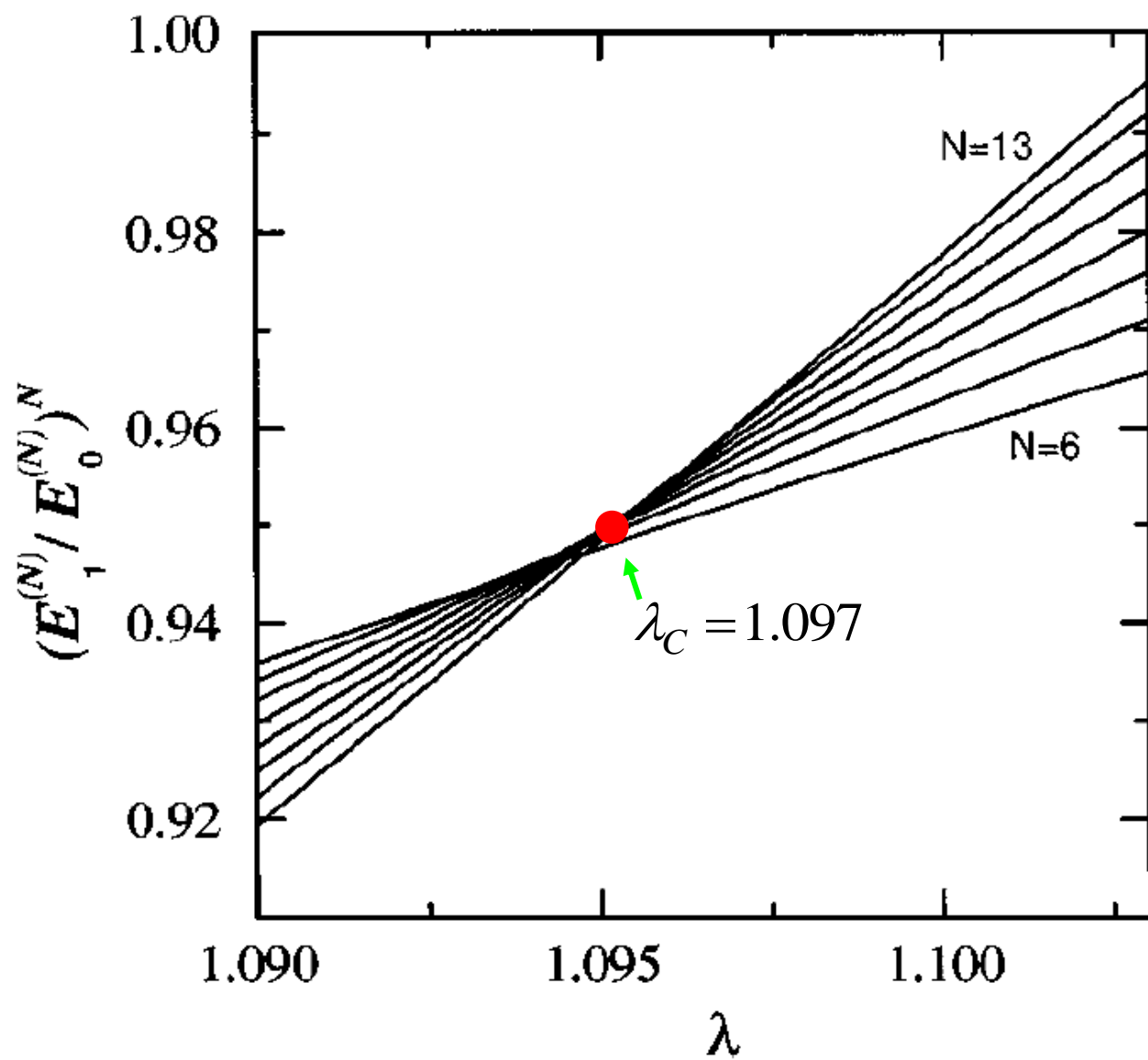
$$i + j + k \leq N$$

## ❖ Hamiltonian Matrix:

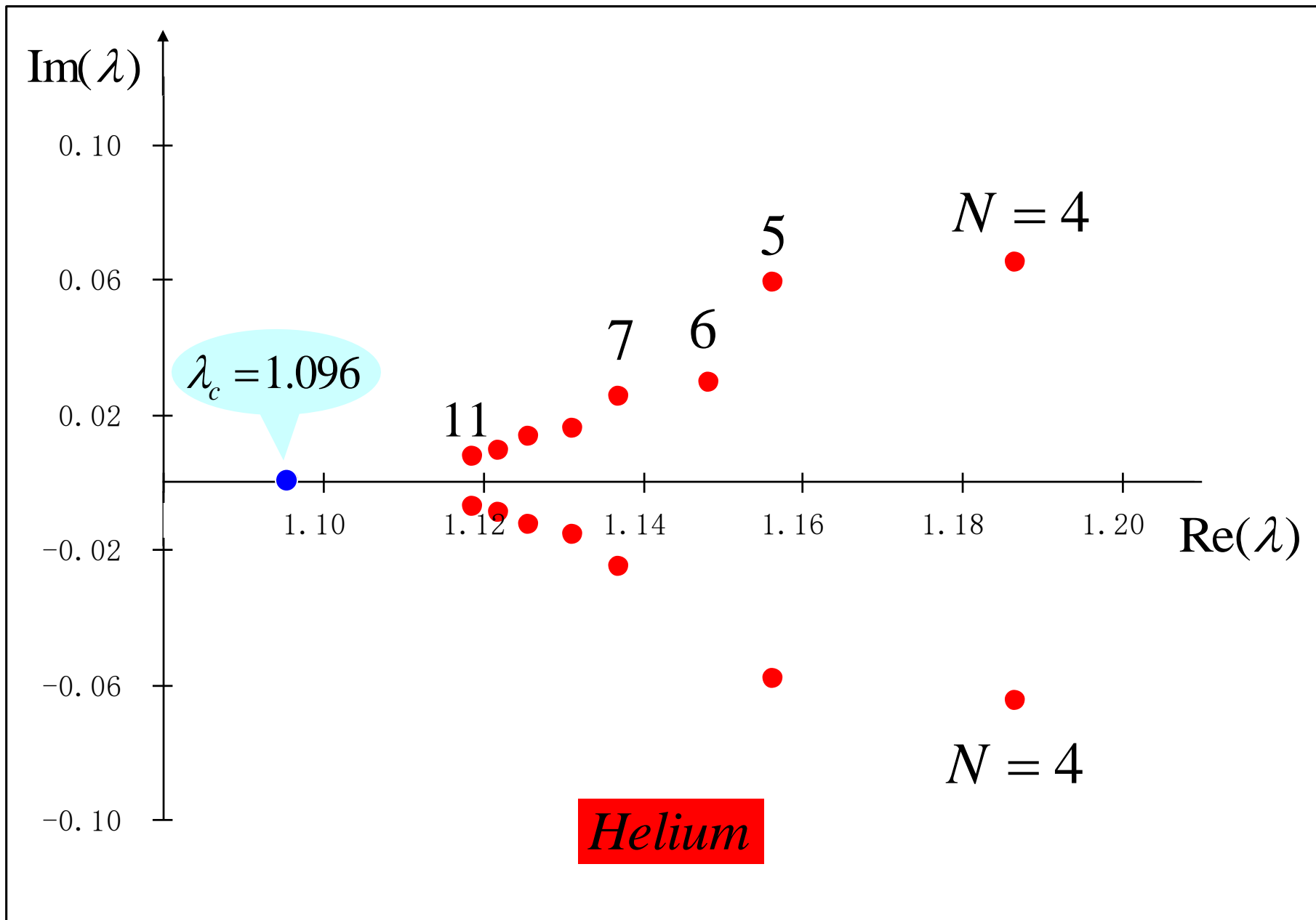
Leading Eigenvalue s,  $E_0, E_1$

## ❖ Renormalization Equation:

$$\left( \frac{E_1(N)}{E_0(N)} \right)^N = \left( \frac{E_1(N+1)}{E_0(N+1)} \right)^{N+1}$$

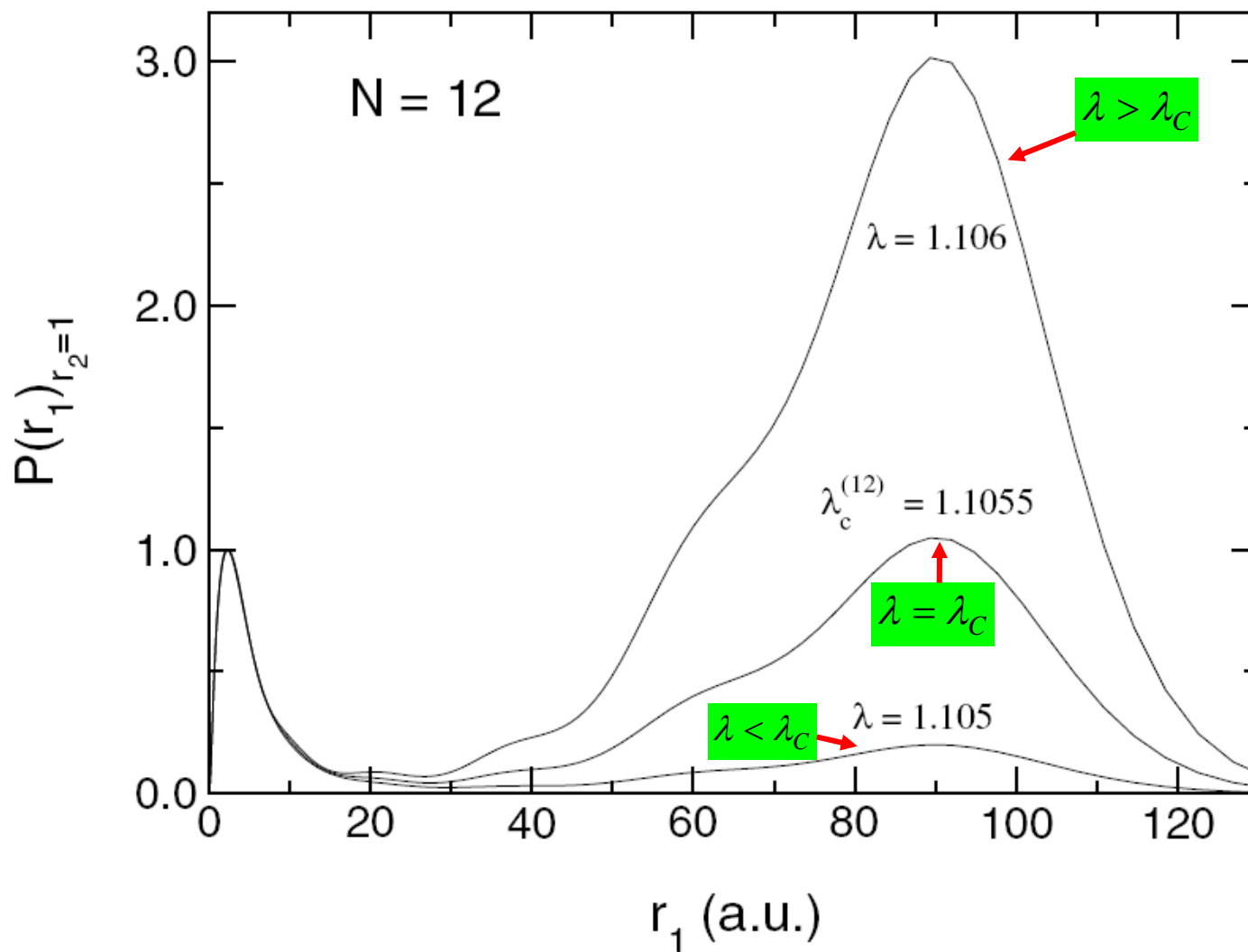


Phys. Rev. Letters 80, 5293 (1998)

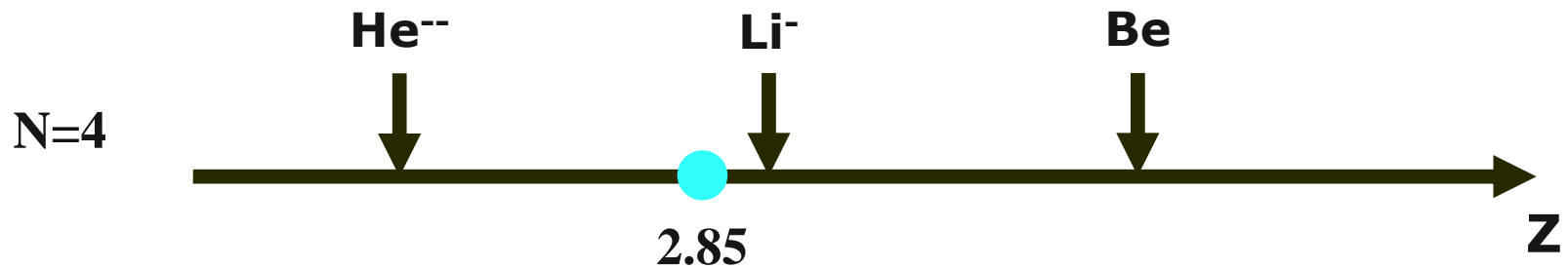
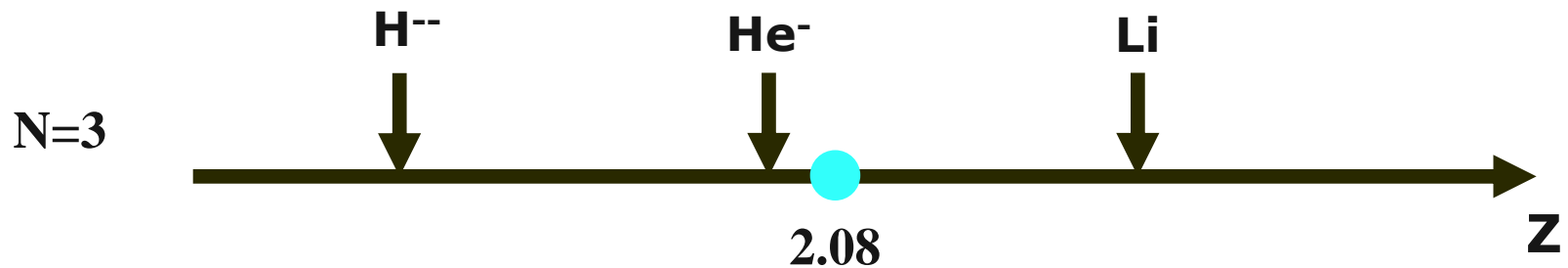
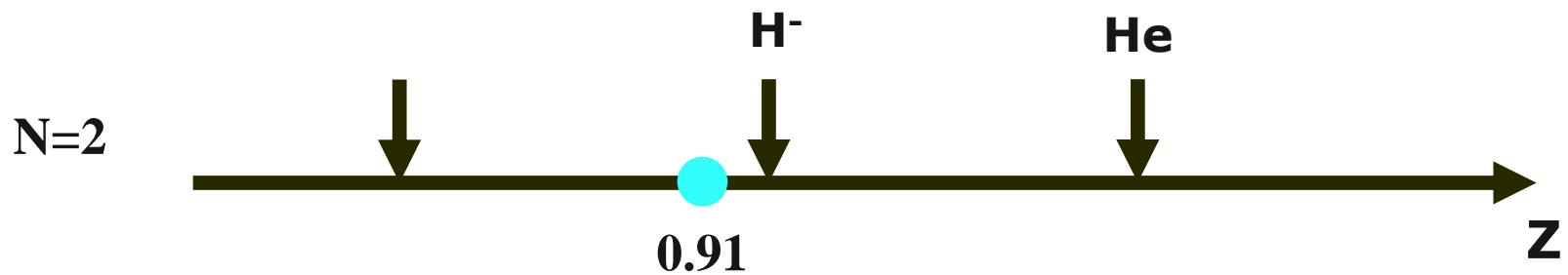


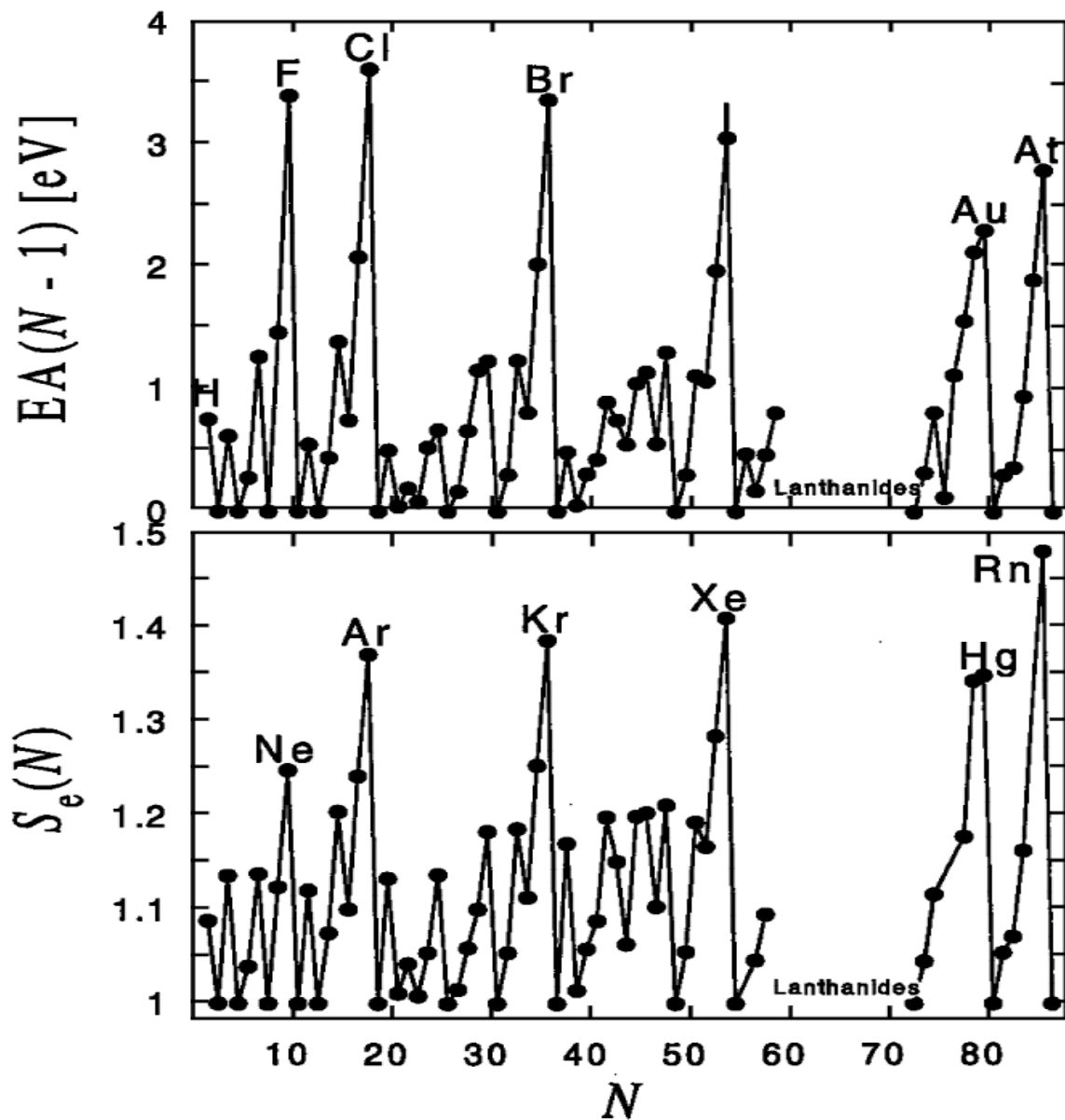


# Conditional Probability



# Critical Charges and Stable Atoms and Ions





**Surcharge**  
 $S_e = N - Z_c$

➤ Do doubly charged negative atomic ions exist in the gas phase?

***NO***

➤ What is the smallest object that can bind two extra electrons?

***This is a challenge for  
experiment and theory!***

**The two electrons must be  
separated by at least 5.6 Å**

## Model Potential for Spherical Molecular Dianions

From classical electrostatics, the electrostatic potential between an electron ( $q = e$ ) and a dielectric sphere of radius  $R$  is given by

$$\varphi(\mathbf{r}) = \frac{q}{|\mathbf{r} - \mathbf{r}'|} - \sum_{l=0}^{\infty} q \frac{(\epsilon - 1)l}{(\epsilon + 1)l + 1} \frac{R^{2l+1}}{r^{l+1}r'^{l+1}} P_l(\cos \theta),$$

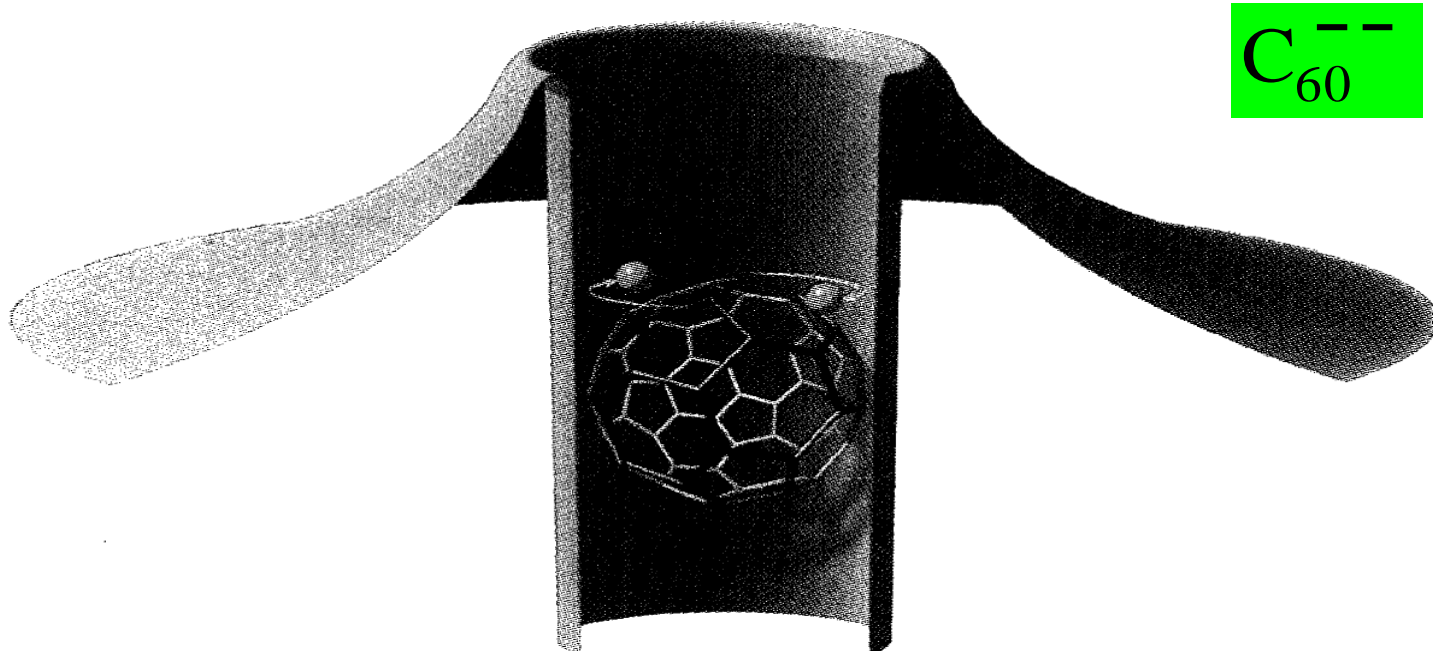
potential between an electron at a distance  $r > R$  and a negatively singly-charged sphere can be obtained using the method of images

$$V(r) = -V_0 \quad r < R,$$

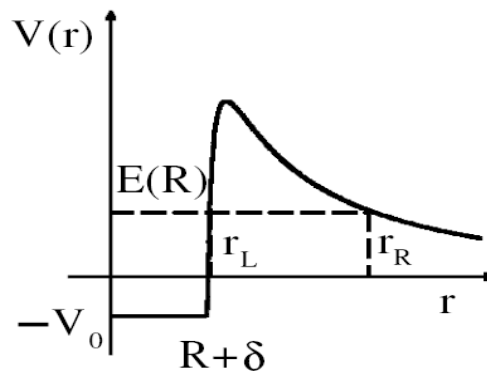
$$V(r) = -\frac{(\epsilon - 1)R^3}{2(\epsilon + 2)r^2(r^2 - R^2)} + \frac{1}{r} + \frac{l(l+1)}{2r^2} \quad r > R,$$

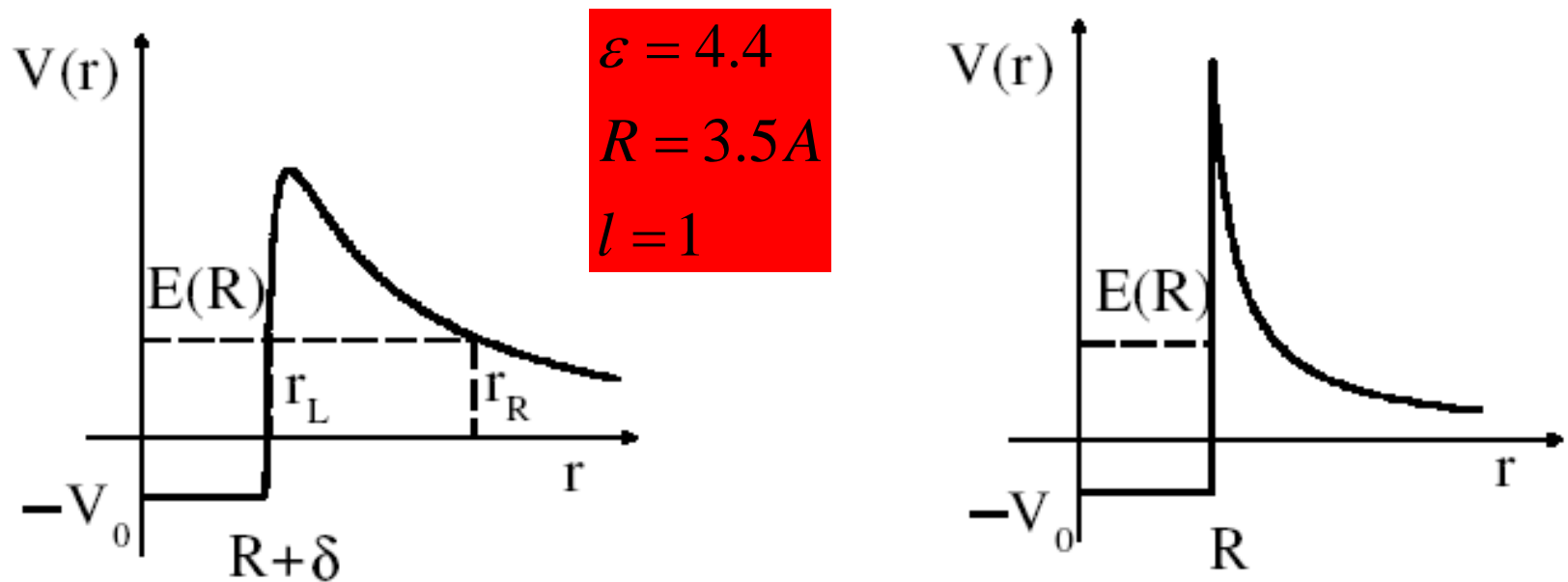
where the constant  $-V_0$  is an average attractive field inside the sphere.

# Stability of Spherical Carbon Cluster Dianions

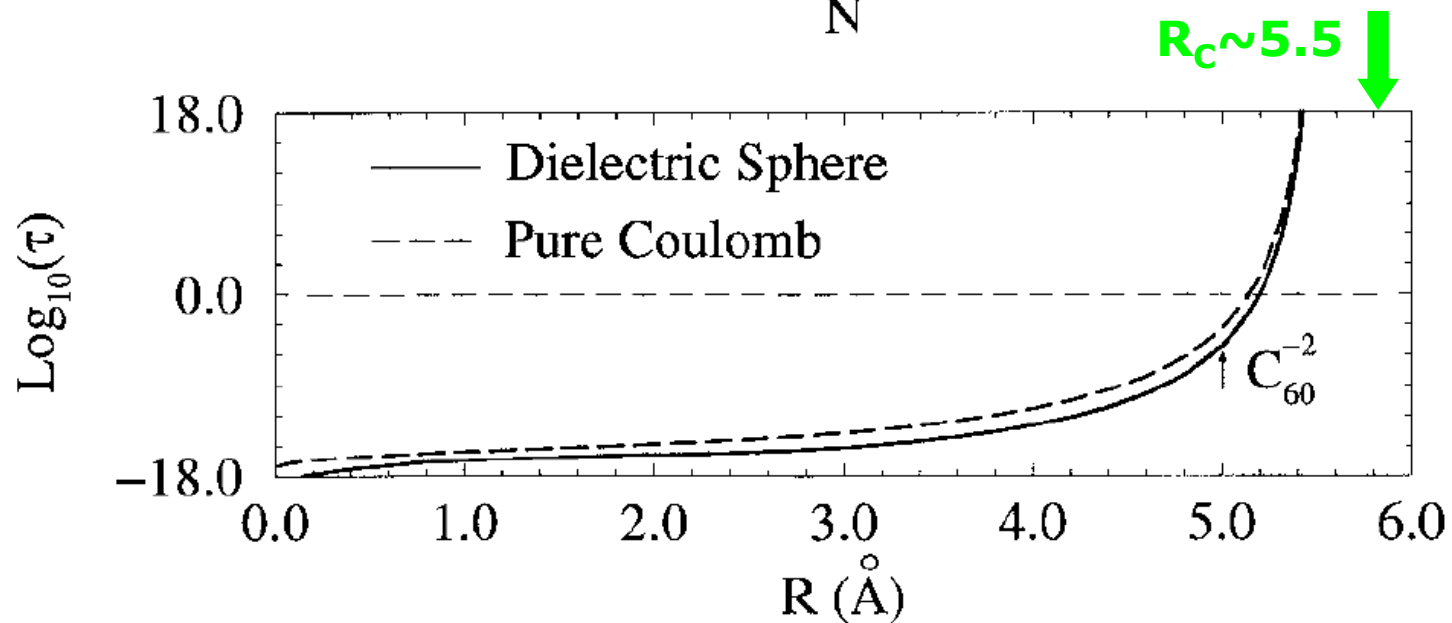
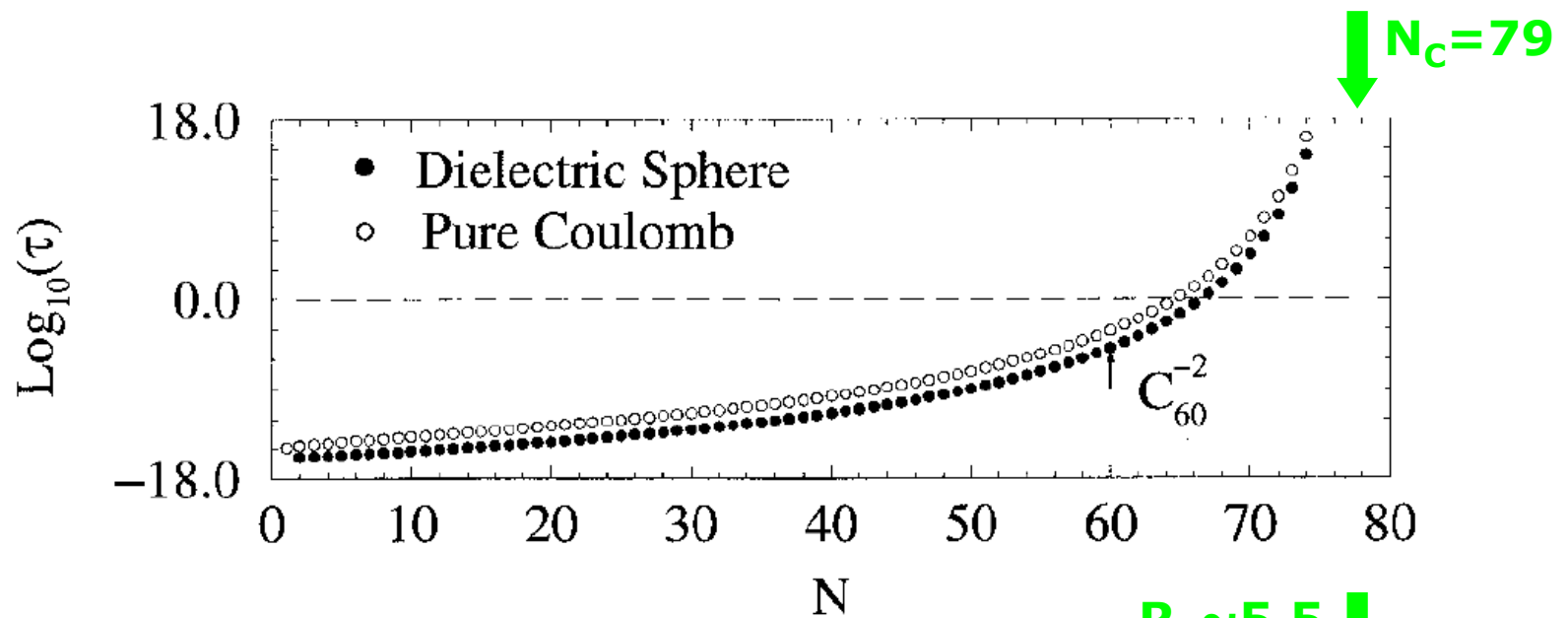


The interaction potential of an electron at a distance from a singly charged negative sphere of radius  $R$  and dielectric constant  $\epsilon$





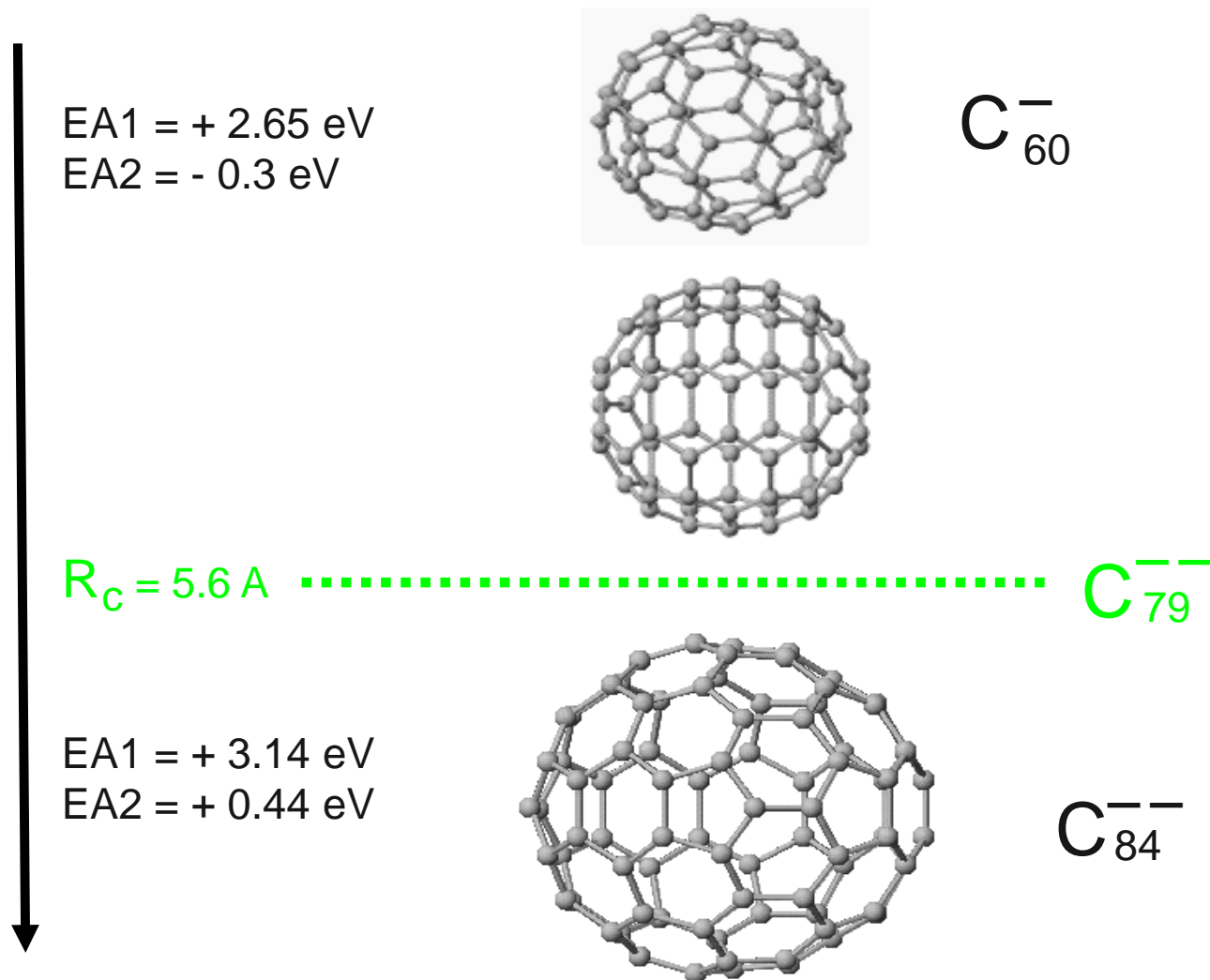
$C_{60}^{2-}$  Interaction potential  $V(r)$  of an electron at distance  $r$  from a singly negative sphere of radius  $R$  (left) compared with a pure Coulomb repulsive potential (right).



$$\tau(C_{60}^{-2}) = 1\text{ms} \sim 0.1\text{s}$$

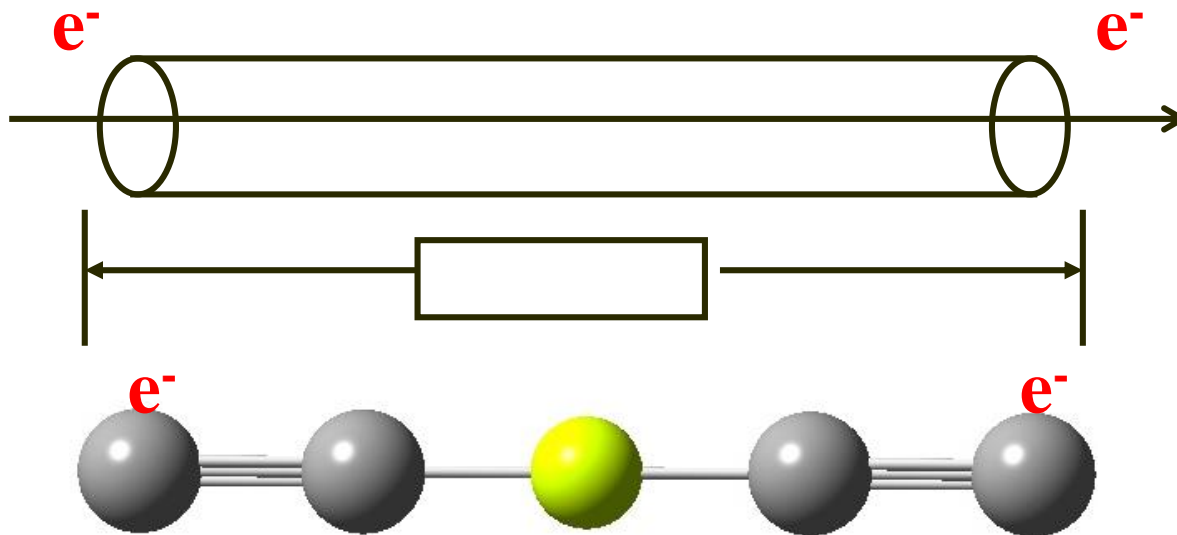


# Stability of Dianions of Fullerenes

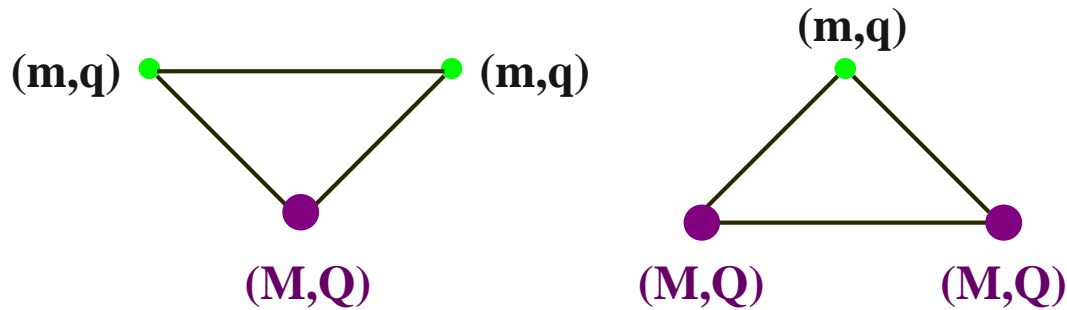


**Advances in Chemical Physics, Volume 125, 1 (2003).**

# Stability of Linear Dianions



# Phase Transitions and Stability of Three Body Coulomb Systems



$$|Q|/Q = -|q|/q$$

$$r \rightarrow fr$$

$$H \rightarrow uH/f^2$$

$$f = u|Qq|$$

$$u = \frac{mM}{m+M}$$

$$H = -\frac{1}{2\mu}\nabla_1^2 - \frac{1}{2\mu}\nabla_2^2 - \frac{1}{m}\nabla_1 \cdot \nabla_2 + \frac{qQ}{r_1} + \frac{qQ}{r_2} + \frac{Q^2}{r_{12}}$$

$$H = -\frac{\nabla_1^2}{2} - \frac{\nabla_2^2}{2} - \frac{1}{r_1} - \frac{1}{r_2} - \kappa\nabla_1 \cdot \nabla_2 + \lambda\frac{1}{r_{12}}$$

$$0 \leq \lambda = |Q/q| \leq \infty$$

$$0 \leq \kappa = (1 + m/M)^{-1} \leq 1$$

## Positronium Negative Ion: Molecule or Atom?

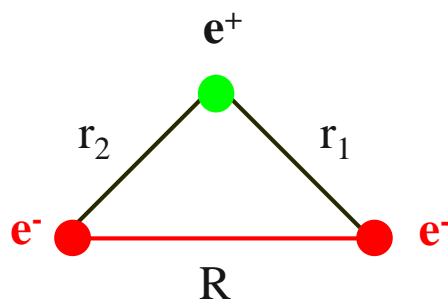
J. M. Rost<sup>(1)</sup> and D. Wintgen<sup>(2)</sup>

<sup>(1)</sup>*Department of Chemistry, University of Washington, BG-10, Seattle, Washington 98195*

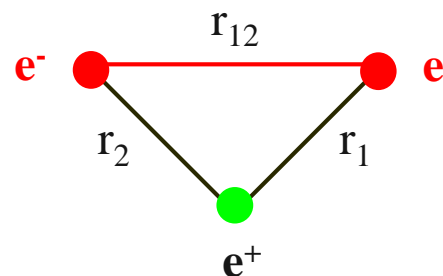
<sup>(2)</sup>*Fakultät für Physik der Universität, Hermann-Herder-Strasse 3 7800 Freiburg, Germany*

(Received 22 May 1992)

A highly accurate calculation is supplemented by an adiabatic approximation to explore the resonance spectrum of the positronium negative ion ( $\text{Ps}^-$ ). Surprisingly, the spectrum can be understood and classified with  $\text{H}_2^+$  quantum numbers by treating the interelectronic axis of  $\text{Ps}^-$  as an adiabatic parameter. We report and interpret the existence of  $^1S$  shape resonances, a phenomenon so far unknown in three-body Coulomb systems. The new results on  $\text{Ps}^-$  combined with previous results for  $\text{H}^-$  suggest the existence of a resonance spectrum and its similarity for all  $ABA$  Coulomb systems with charges  $|Z_A/Z_B|=1$  and masses  $m_A/m_B \geq 1$ .

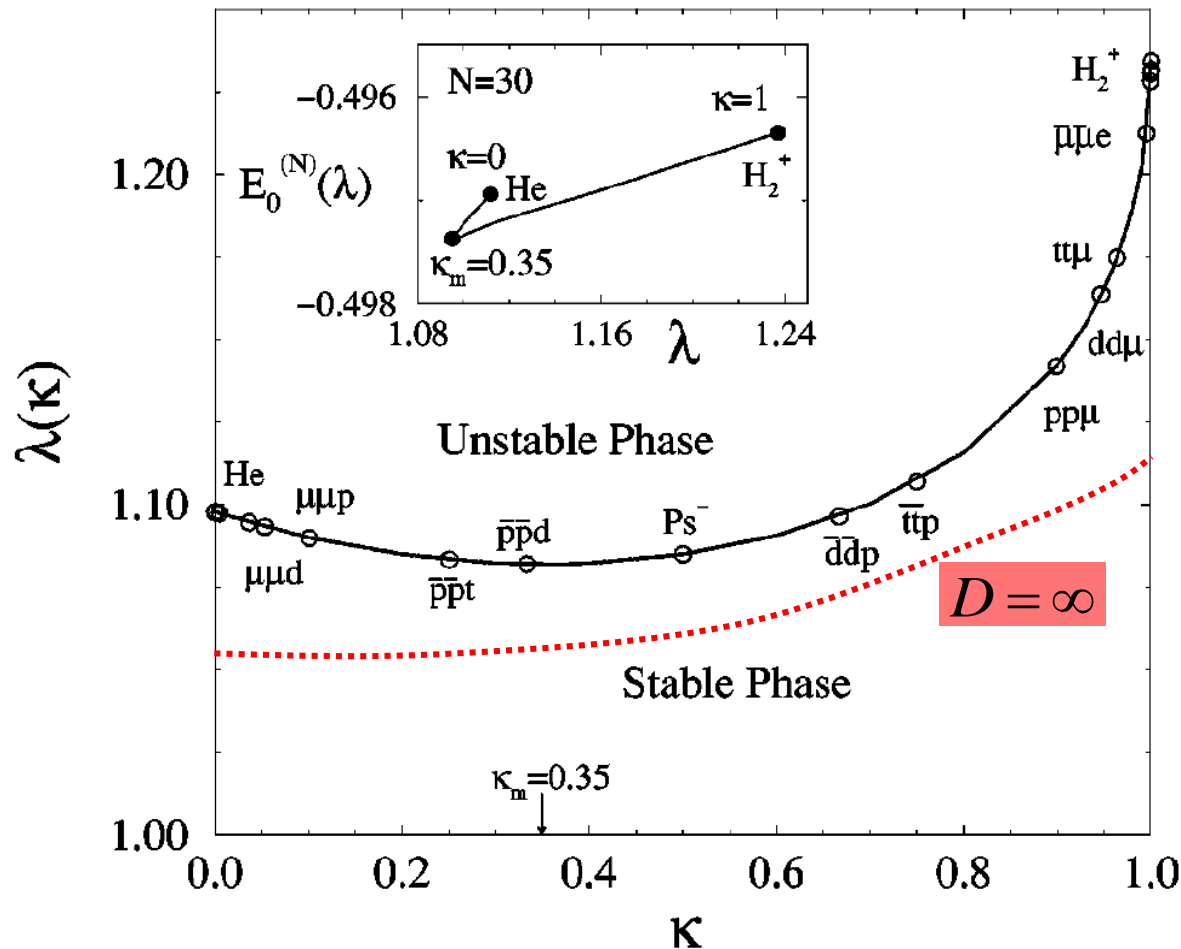


**Molecule**



**Atom**

# Three Body Coulomb Systems (ABA)



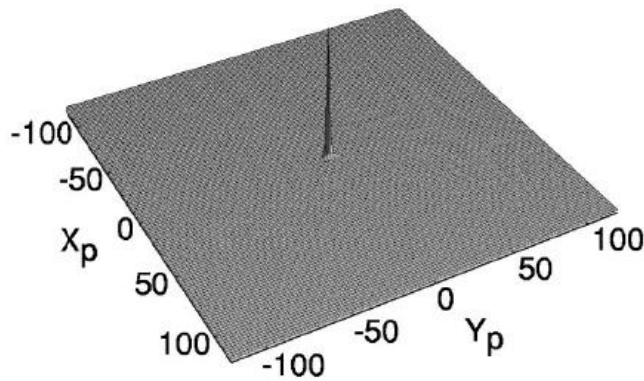
<u>Particles</u>	<u>Mass</u>
e: electron	1
p: proton	1836.15
u: muon	206.76
d: deuteron	3670.5
t: tritium	5476.92

$(e^- \mu^+ e^-)$     Stable                       $(\mu^- p^+ \mu^-)$     Stable

# Phase Transitions and Stability of Three Body Coulomb Systems

Charge density probability

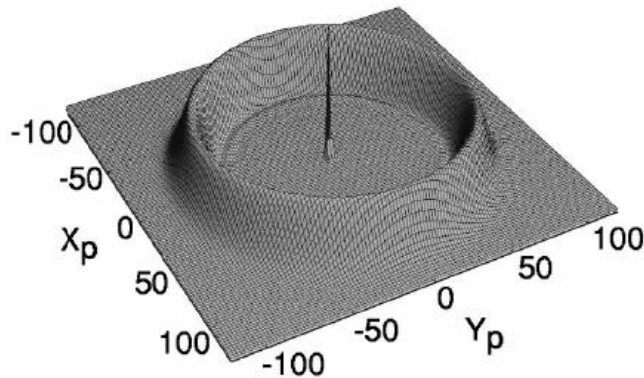
$\text{H}_2^+$



(a)  $\lambda^{(N=20)} < \lambda_c^{(N=20)}$

$$\lambda = 1.24 < \lambda_c^{N=20} = 1.2402$$

Bound States



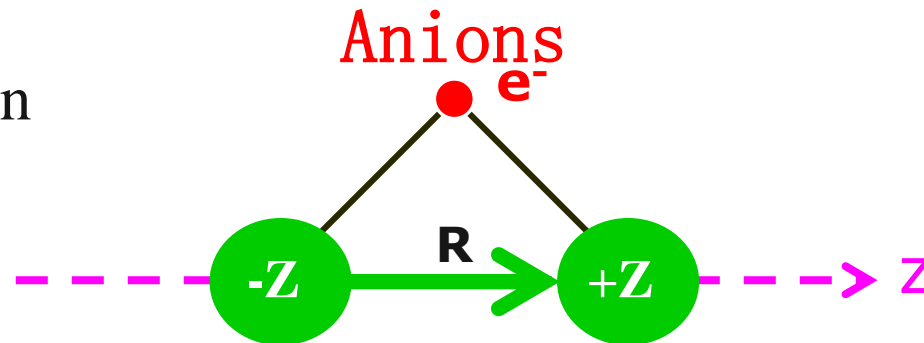
(b)  $\lambda^{(N=20)} > \lambda_c^{(N=20)}$

$$\lambda = 1.241 > \lambda_c^{N=20} = 1.2402$$

Coulomb Explosion

# FSS for Critical Conditions for Stable Dipole Bound

The Hamiltonian

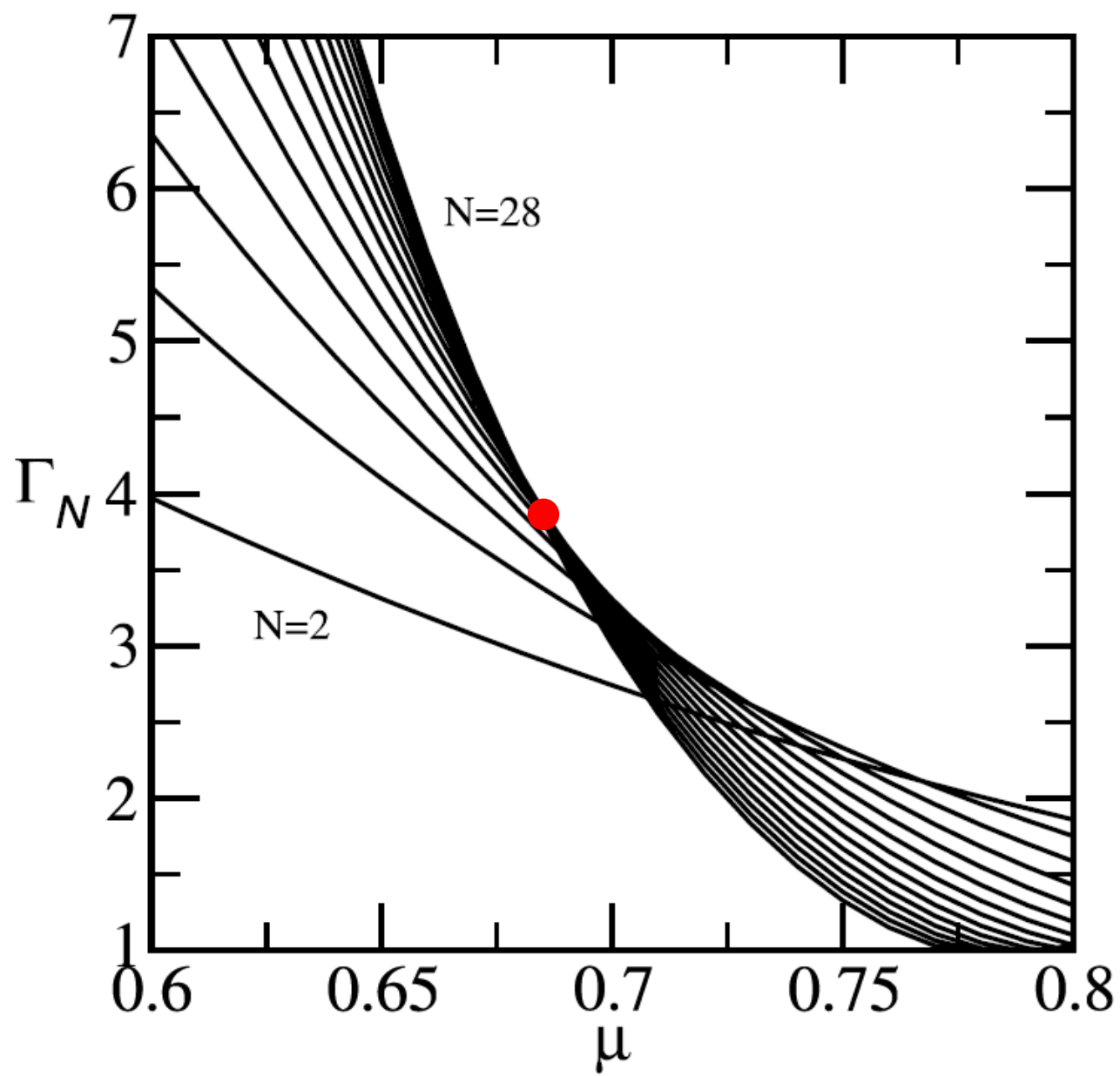


$$\mathcal{H}(Z; R; \vec{x}) = -\frac{1}{2} \nabla^2 - Z \left( \frac{1}{r} - \frac{1}{|\vec{r} - R\hat{k}|} \right)$$

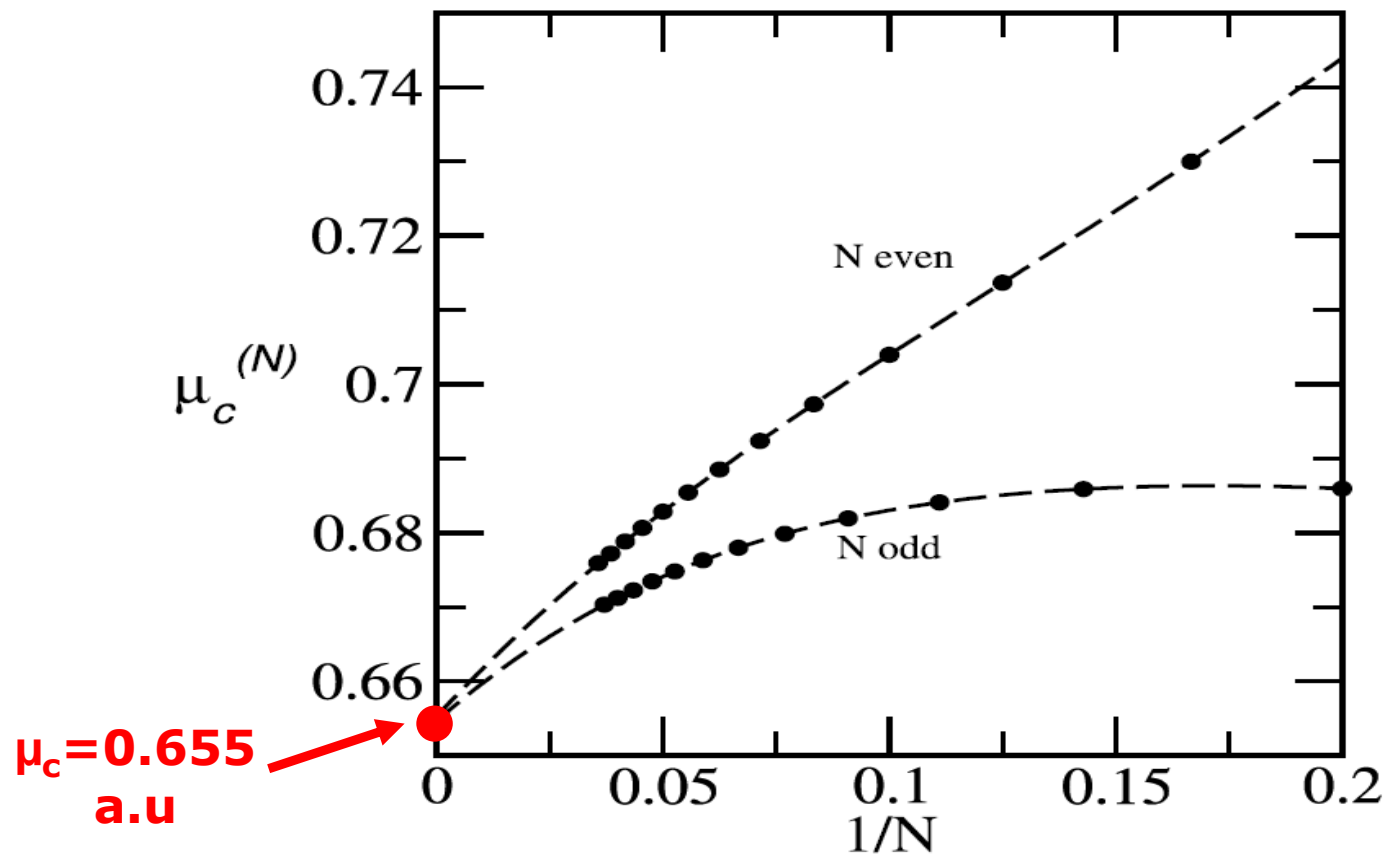
Slater Basis Set:

$$\Phi_{n,l}(\vec{r}) = \left[ \frac{\alpha^{2n+3}}{(2n+2)!} \right]^{1/2} e^{-\alpha r/2} r^n Y_l^0(\theta, \phi)$$
$$n = 0, 1, \dots; \quad l = 0, \dots, n,$$

*Chem. Phys. Lett. 372,205 (2003)*







$\mu_c = 0.655$  a.u. = 1.625D without B.O = 2.5D

Electron will be trapped with  $\mu_c > 2.5D$

$\text{H}_3\text{C-CN}$      $\mu = 4.3$  D     $E_a = 108$  cm $^{-1}$

$\text{H}_2\text{CCC}$      $\mu = 4.34$  D     $E_a = 173$  cm $^{-1}$

$\text{C}_3\text{H}_4\text{O}_3$      $\mu = 5.5$  D     $E_a = 40$  meV

$\text{C}_3\text{H}_2\text{O}_3$      $\mu = 4.5$  D     $E_a = 20$  meV

# FSS for Critical Conditions for Stable Quadrupole Bound Anions

**Hamiltonian:** Consists of a charge  $q$  at the origin and two charges  $-q/2$  at  $z = +1$  &  $-1$

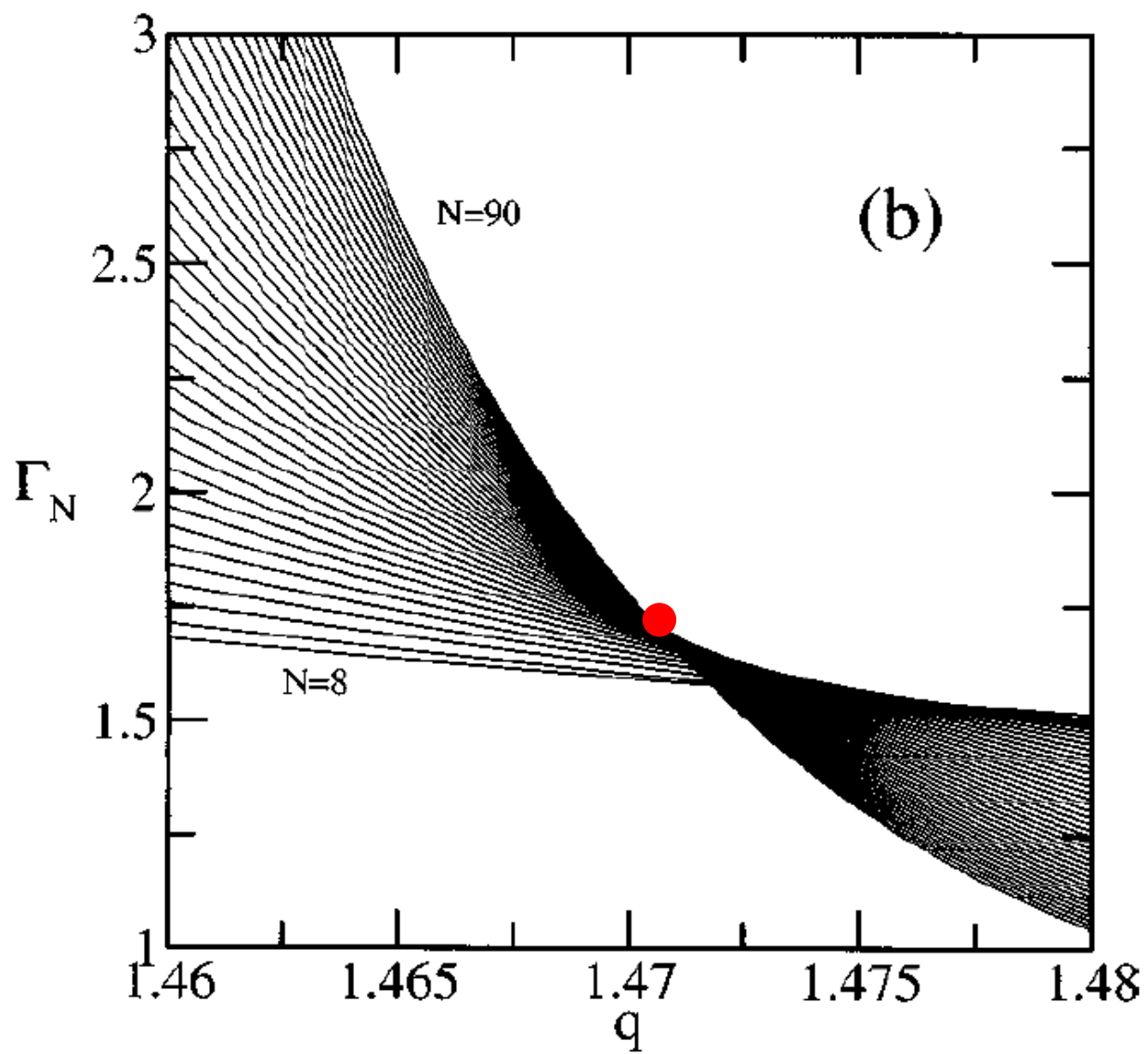
$$\mathcal{H}(q) = -\frac{1}{2}\nabla^2 - \frac{q}{r} + \frac{q}{2} \left\{ \frac{1}{|\vec{r} - \hat{z}|} + \frac{1}{|\vec{r} + \hat{z}|} \right\}$$

**Slater Basis Set:**

$$\Phi_{n,l}(\vec{r}) = \left[ \frac{4\pi\beta^{2n+3}}{(4l+1)(2n+2)!} \right]^{1/2} e^{-\beta r/2} r^n P_{2l}(\theta)$$
$$n = 0, 1, \dots, \quad l = 0, 1, \dots, [n/2],$$

Where  $\beta$  is the variational parameter used to optimize the numerical results and  $P_{2l}(\theta)$  is the Legendre polynomial of order  $l$ .

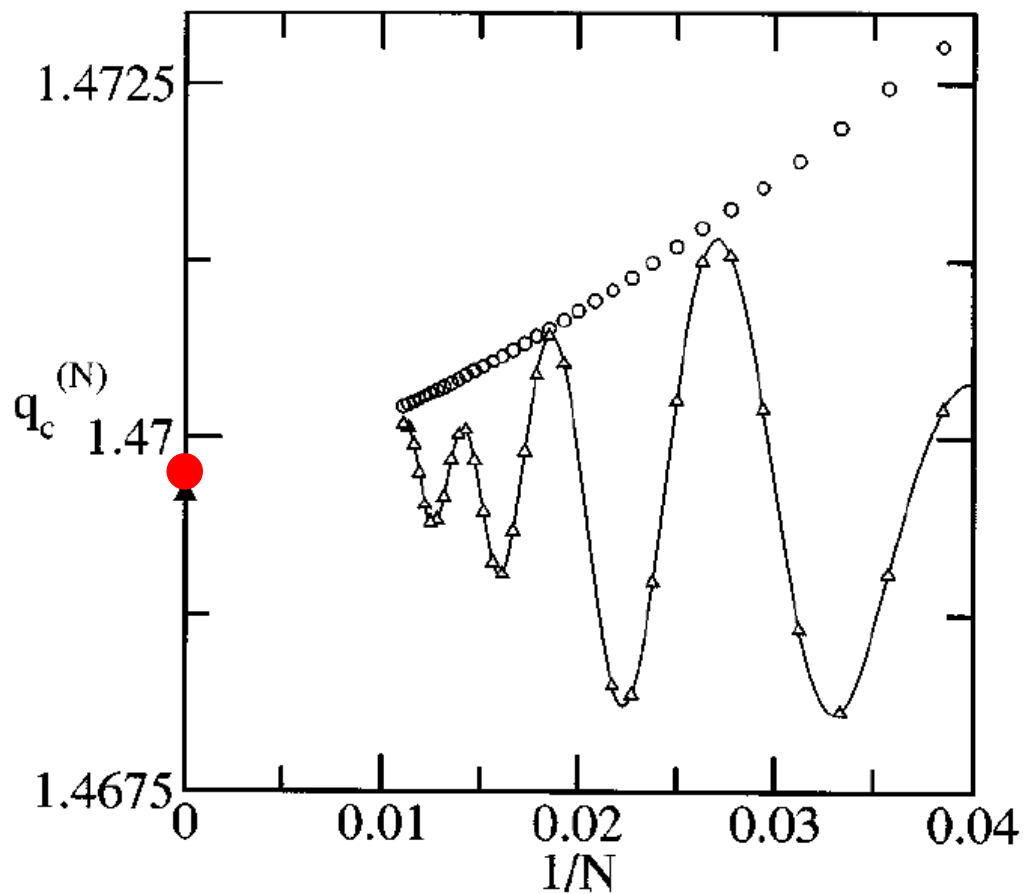
$$q \longrightarrow q = QR$$



*Journal of Chemical Physics, 120, 8412 (2004)*

$$q_c = 1.46 \text{ a.u.} \quad \left( -\frac{q}{2}, +q, -\frac{q}{2} \right)$$

$$q_c = 3.9 \text{ a.u.} \quad \left( +\frac{q}{2}, -q, +\frac{q}{2} \right)$$



$\text{KCl}_2^-$   $Q_{zz}=10 \text{ a.u.}$

$\text{K}_2\text{Cl}^-$   $Q_{yy}=27 \text{ a.u.}$

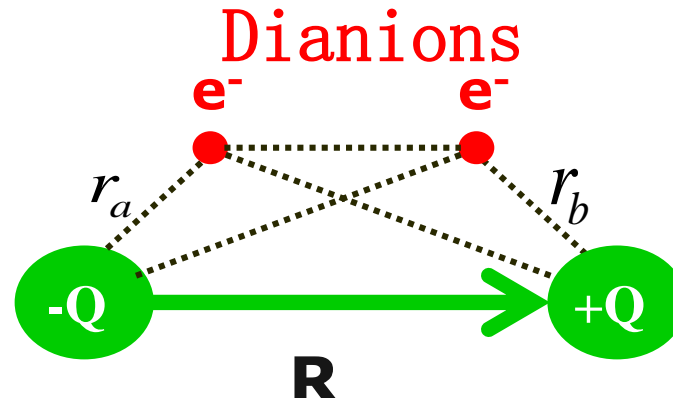
$(\text{BeO})_2^-$  (13,13,0.5)

$\text{CS}_2^-$   $Q_{zz}=4 \text{ a.u.}$

*Journal of Chemical Physics, 120, 8412 (2004)*

# FSS for Critical Conditions for Stable Dipole-Bond

The Hamiltonian



$$\mathcal{H} = \sum_{i=1}^2 \left[ -\frac{1}{2} \nabla_i^2 - Q \left( \frac{1}{|\vec{r}_i - \vec{R}/2|} - \frac{1}{|\vec{r}_i + \vec{R}/2|} \right) \right] + \frac{1}{r_{12}},$$

**Basis Set:**

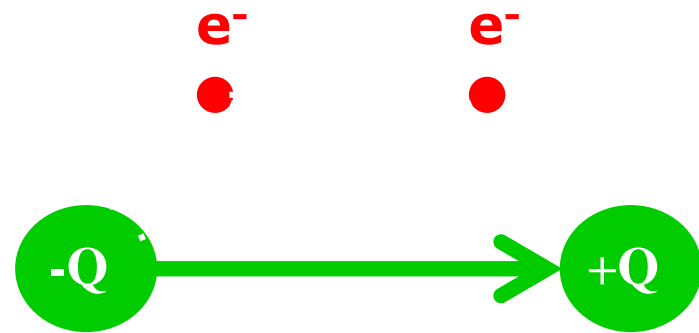
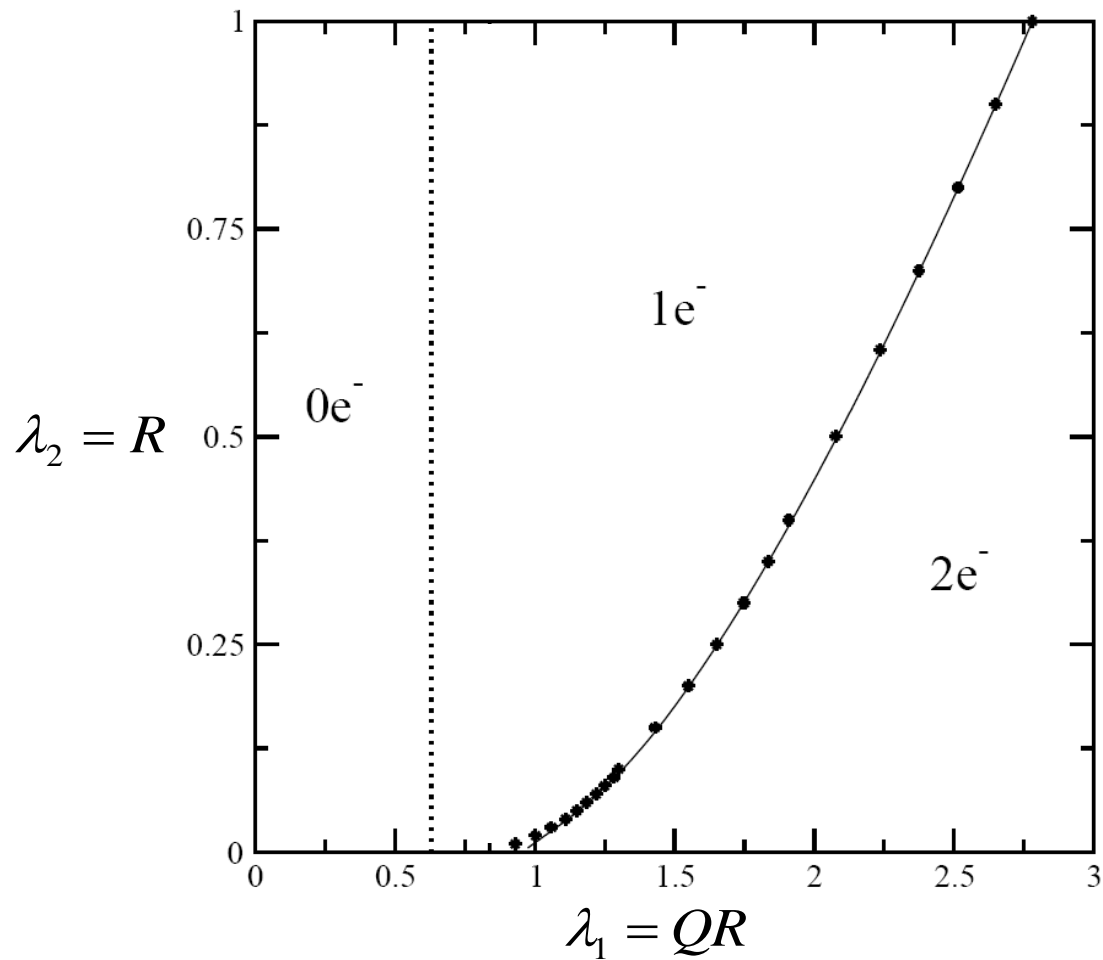
$$\Phi_n = C e^{-\beta(\xi_1 + \xi_2)} (\xi_1^{p_n} \eta_1^{q_n} \xi_2^{r_n} \eta_2^{s_n} + \xi_1^{r_n} \eta_1^{s_n} \xi_2^{p_n} \eta_2^{q_n}) r_{12}^{m_n},$$

$$\xi = (r_a + r_b), \quad \eta = (r_a - r_b),$$

Prolate spheroidal coordinates

*J. Chem. Phys. (in press, 2007)*

# Stability diagram for two electron dipole



# **Atomic & Molecular Stabilization by Superintense Laser Fields**

with

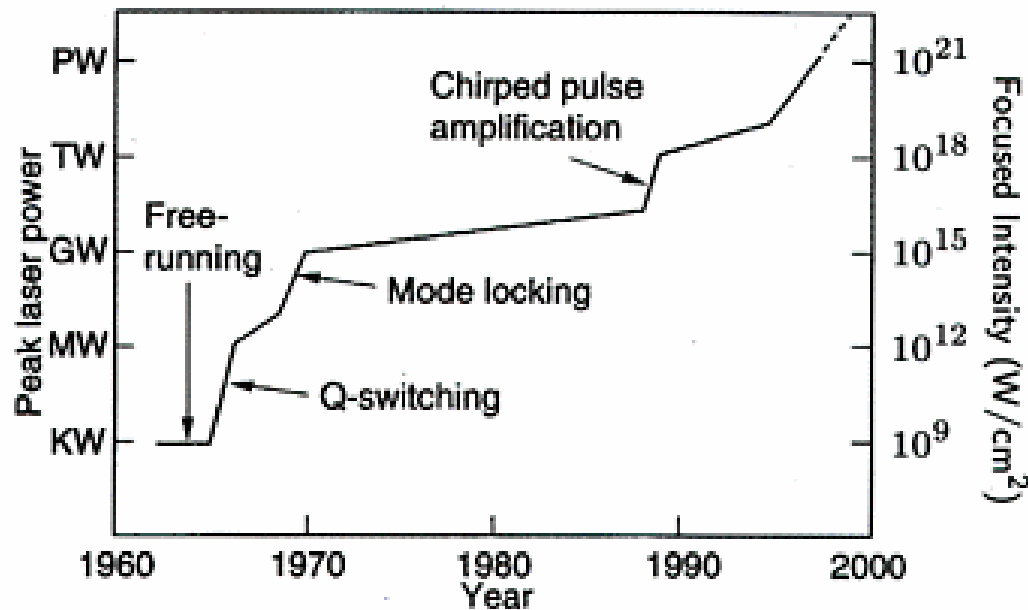
Prof. Dudley Herschbach  
Harvard University



Multiply charged negative  
atomic ions  
in superintense laser fields



# Superintense Laser Fields ( $I > \text{a.u.}$ )



The peak-power of pulsed lasers has increased by 12 orders of magnitude during the past 4 decades

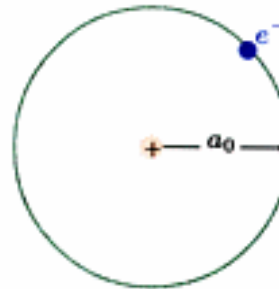
**QUESTION:** What is the highest-level intensity presently possible?

**ANSWER:** The highest possible focused laser **intensity =  $10^{19} \text{ W/cm}^2$**

"Strong Laser-Field": Intensities in the Range of  $10^{13} \dots 10^{16} \text{ W/cm}^2$  Comparison: Electric field on 1st Bohr-Orbit in Hydrogen

$$E = \frac{1}{4\pi\epsilon_0} \frac{e}{a_0^2} = 5.1 \times 10^9 \text{ V/m}$$

$$I = \frac{1}{2} \epsilon_0 c E^2 = 3.51 \times 10^{16} \text{ W/cm}^2$$



At the same time: **very short** pulses possible:  
 $\approx 5 \text{ fs}$  ( $1 \text{ fs} = 10^{-15} \text{ s}$ )  
 $\approx 2 \dots 4$  optical cycles in the visible region

This level of intensity can be achieved with femtosecond laser based on Chirped Pulse Amplification (CPA).

## Laser Atom Interaction

The electric field of a monochromatic plane wave can be written

$$E(t) = E_0 (\hat{e}_x \cos \omega t + \tan \delta \hat{e}_y \sin \omega t)$$

With  $\hat{e}_x$  and  $\hat{e}_y$  orthogonal to each other and to the propagation direction

$\delta = 0$  corresponds to linear polarization

$\delta = \pm\pi/4$  corresponds to circular polarization.

$$\sum_{i=1}^N \left\{ \frac{1}{2} p_i^2 + \frac{1}{|\vec{r}_i + \vec{\alpha}(t)|} + \sum_{j=1}^{i-1} \frac{1}{|\vec{r}_i - \vec{r}_j|} \right\} \Psi = i \frac{\partial \Psi}{\partial t}$$

Moving frame of reference which follows the quiver motion of the classical electron

$$\text{where } \vec{\alpha}(t) = (\alpha_0 / E_0) \vec{E}(t)$$

$\alpha_0 = E_0 / \omega^2$ , where  $E_0$  and  $\omega$  are the amplitude and frequency of the laser field.

# High Frequency Floquet Theory

Hamiltonian of atomic system in super-intense laser fields

*H = kinetic term + coulomb term + laser term + e-e term*

$$H = \sum_{i=1}^N \left\{ \frac{1}{2} p_i^2 + V_o(r_i, a_0) + \sum_{j=1}^{i-1} \frac{1}{|r_i - r_j|} \right\}$$

$V_o$  is the “dressed” Coulomb potential, is the time average of the shifted Coulomb over one period of the laser

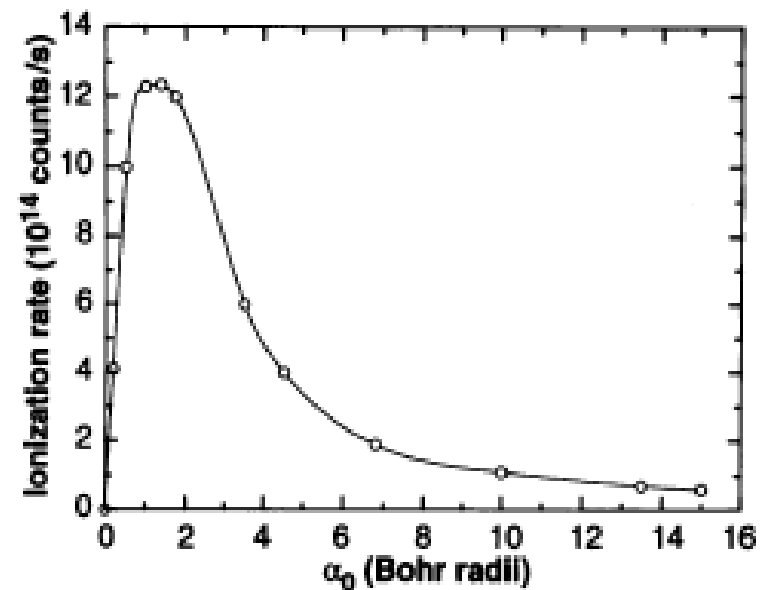
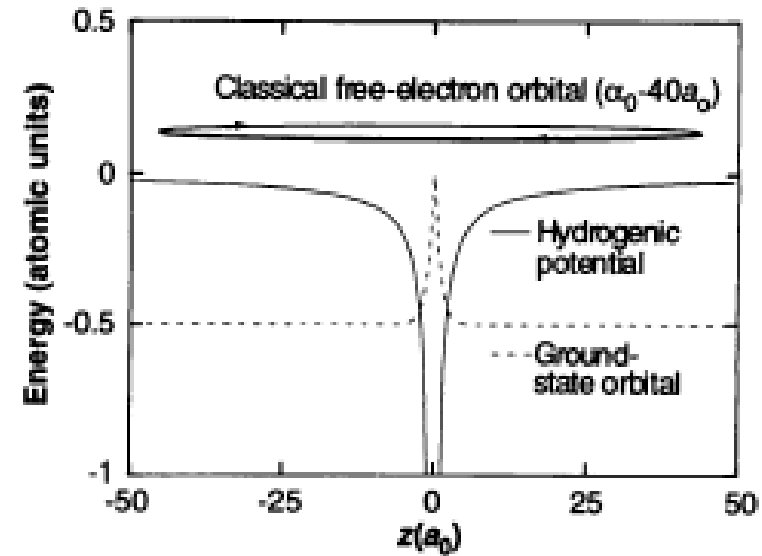
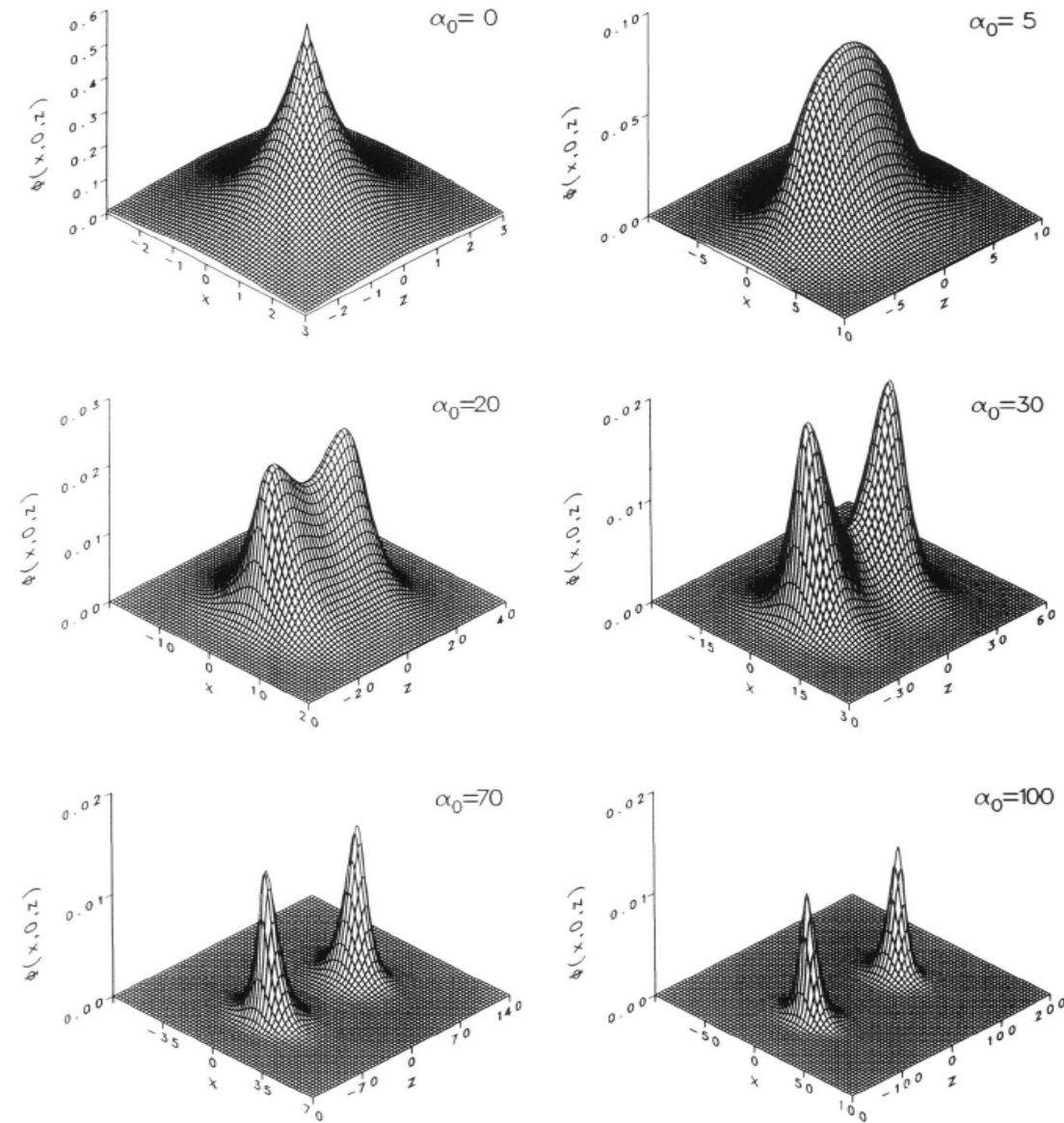
$$V_o(a_0, r) = -\frac{1}{2\pi} \int_0^{2\pi} \frac{d\phi}{|\vec{r} + a_0(\hat{e}_x \cos \phi + \tan \delta \hat{e}_y \sin \phi)|}$$

$\alpha_o = \sqrt{I}/\omega^2$ , where  $I$  is the time - averaged beam intensity and  $\omega$  is the frequency.

Structure Equation:

$$H \Psi = E(\alpha_o) \Psi$$

# Hydrogen atom in super-intense linear laser fields

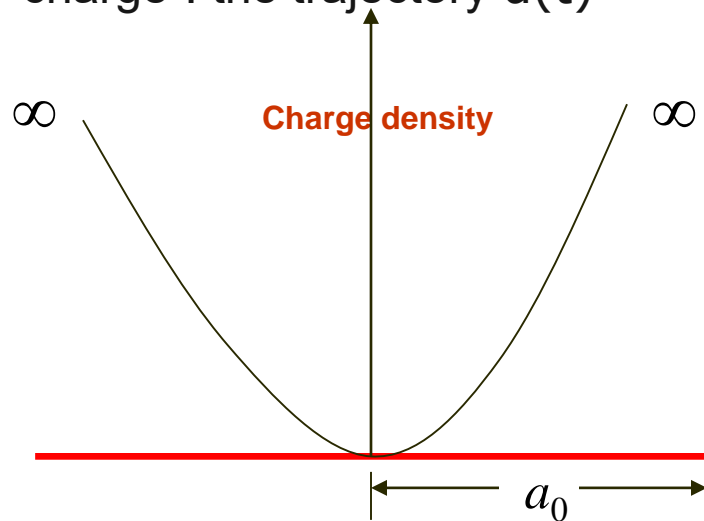


M. Pont, *et al.* Phys. Rev. Lett. 61, 939 (1988)

J. H. Eberly, *et al.* Science 262, 1229 (1993)

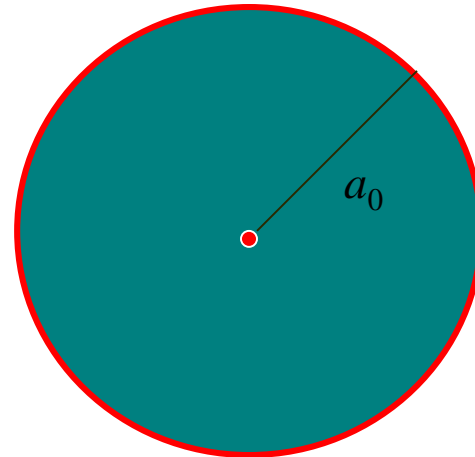
# Linear Charge and Circular Charge

For linear polarization, the “dressed” potential is the same as that generated by a “linear charge”: the trajectory  $\alpha(t)$



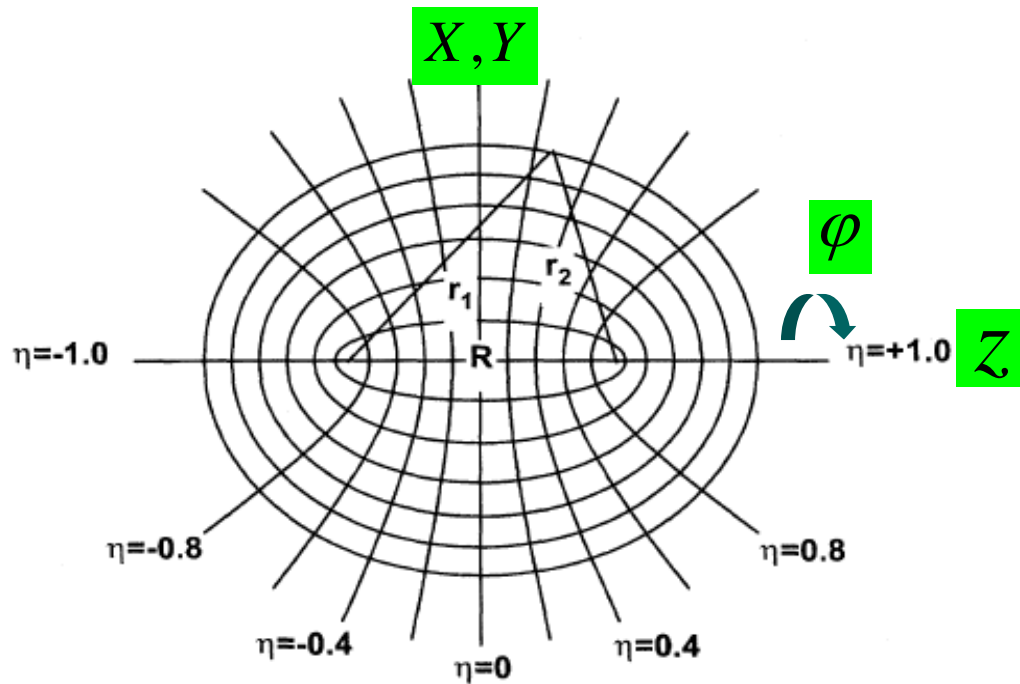
Linear charge

For circular polarization, the “dressed” potential is the same as that generated by a “circular charge”.



Circular charge

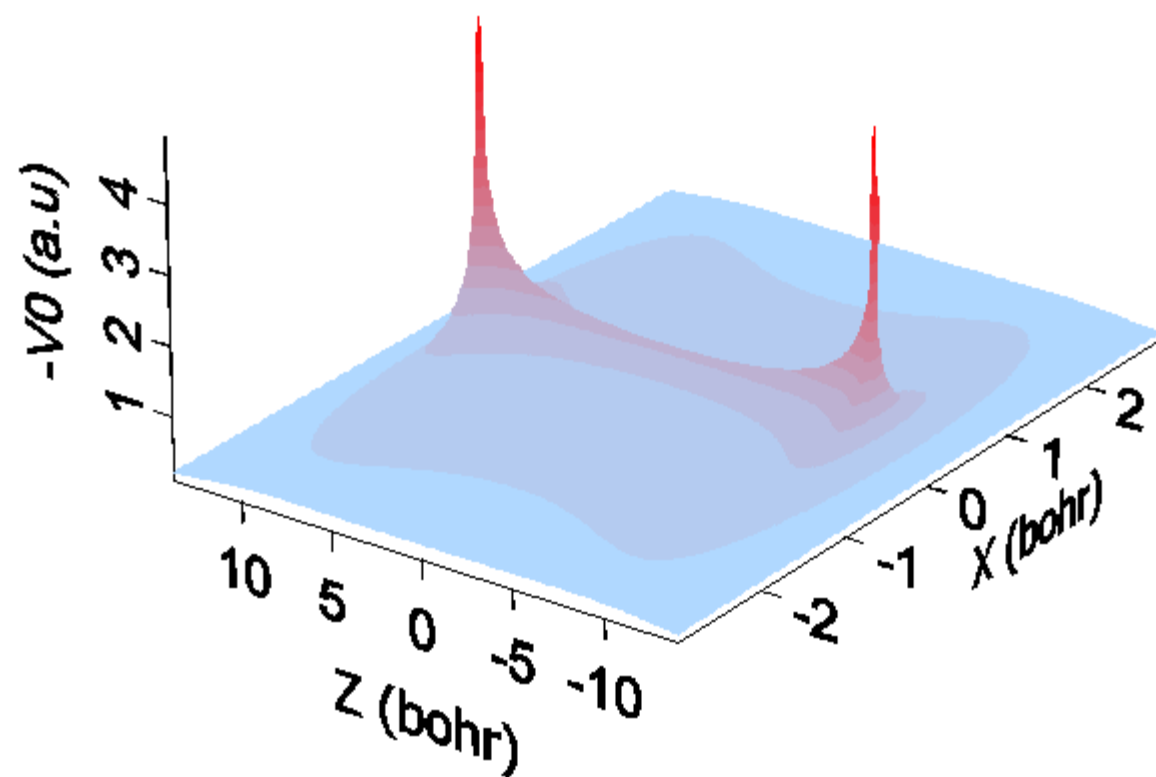
# Prolate Spheroidal Coordinates for Linear Polarization



$$\xi = \frac{r_1 + r_2}{R}, \quad 1 \leq \xi \leq \infty$$

$$\eta = \frac{r_1 - r_2}{R}, \quad -1 \leq \eta \leq 1$$

$$0 \leq \varphi \leq 2\pi.$$



# Basis Set (Linear Polarization)

## One-electron basis functions in elliptical coordinates

$$\psi(\xi, \eta, \phi)_{p,q,m} = (\xi - 1)^p \eta^q \left[ (1 - \eta^2)(\xi^2 - 1) \right]^{m/2} e^{im\phi} e^{-\gamma\xi}$$

$p, q$  and  $m$  are non - negative integers.

$\gamma$  is a parameter to optimize the energy

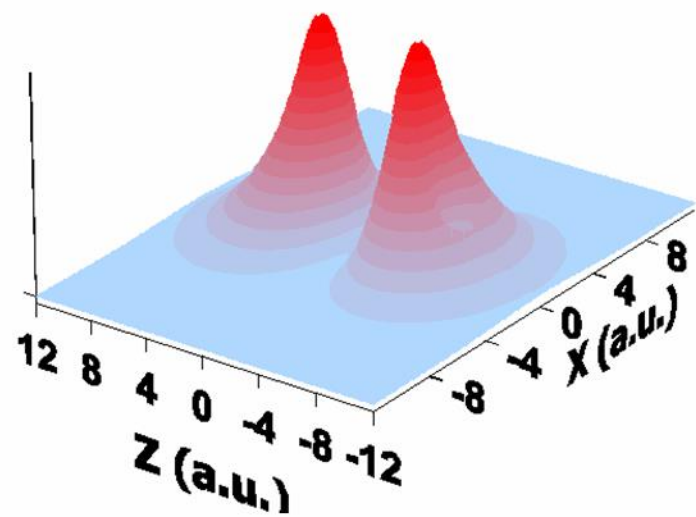
For ground state,  $m = 0$ , so the basis becomes :

$$\psi(\xi, \eta, \phi)_{p,q,m} = (\xi - 1)^p \eta^q e^{-\gamma(\xi-1)}$$

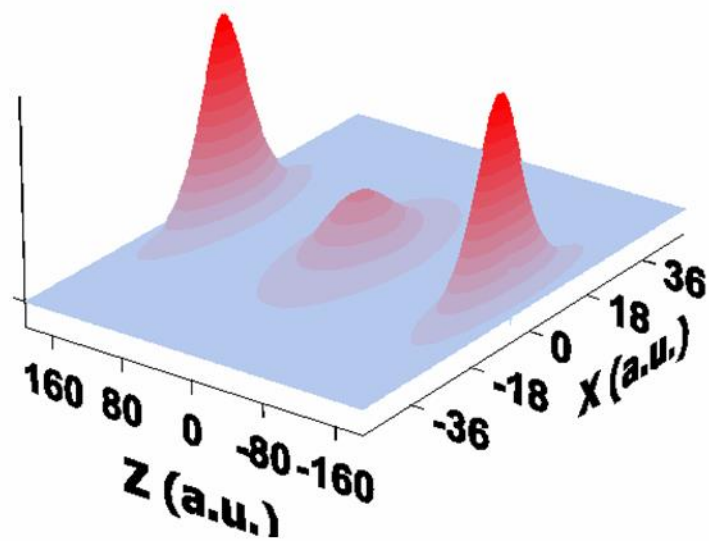
We used about 100 basis functions



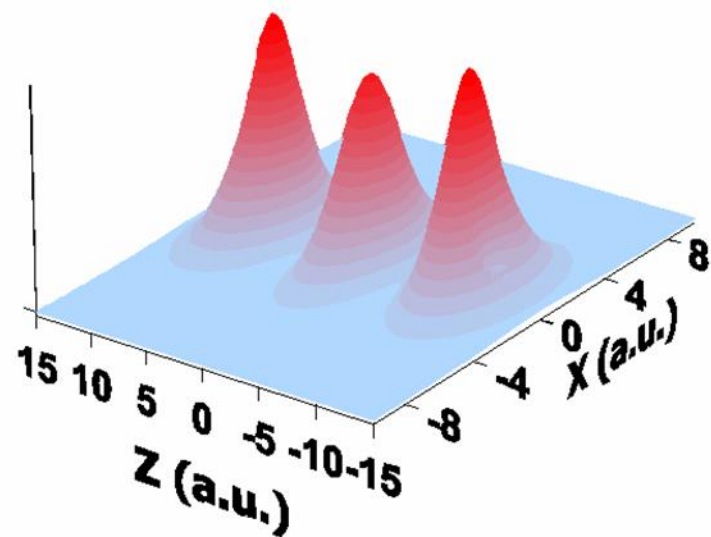
$\text{H}^-$



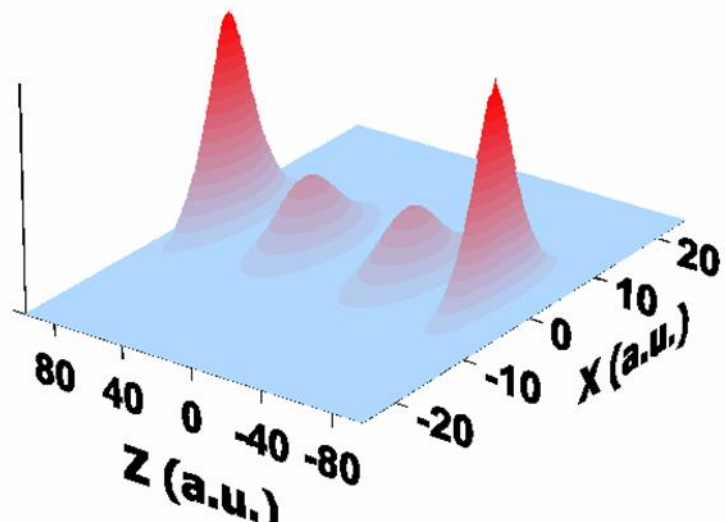
$\text{H}^{2-}$

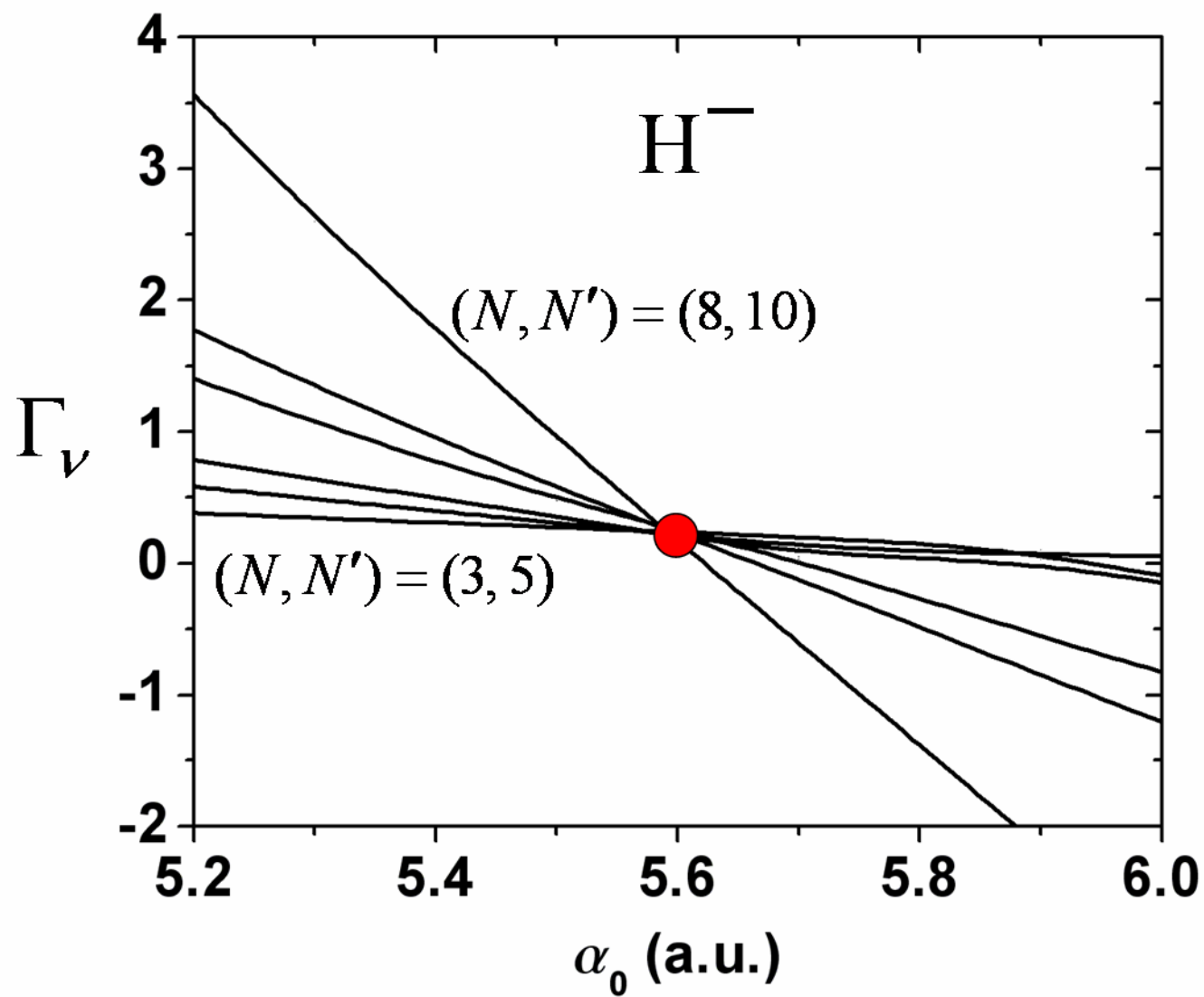


$\text{He}^-$

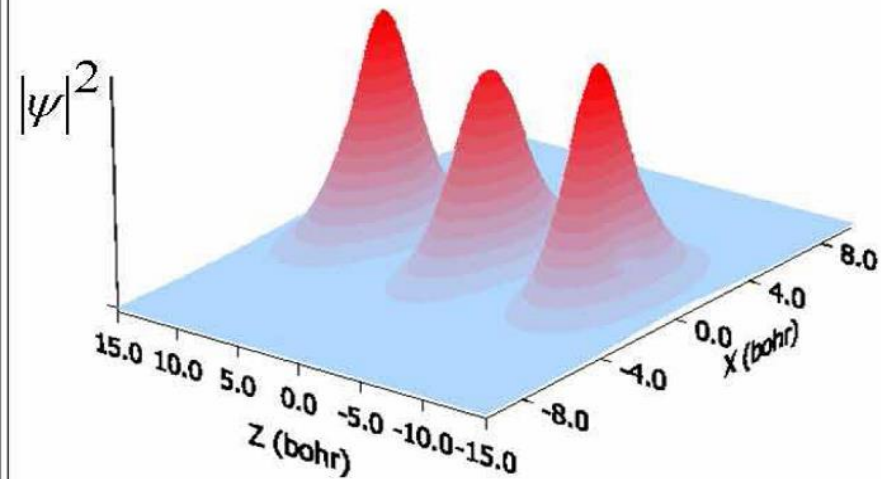


$\text{He}^{2-}$

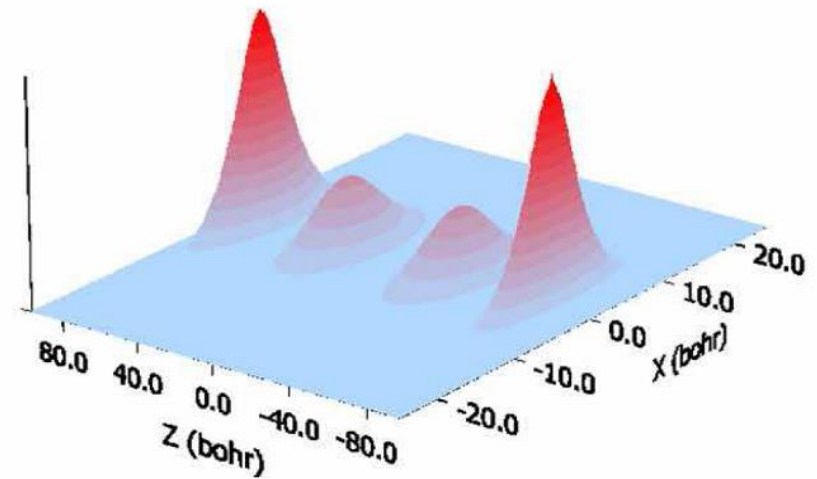




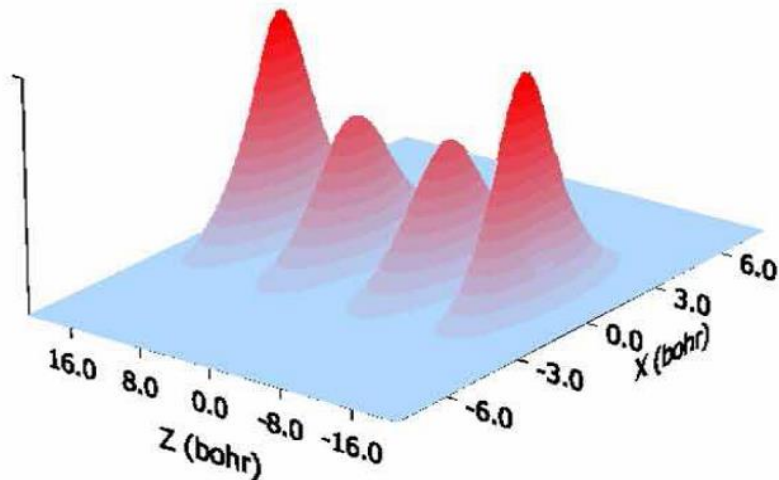
$\text{He}^-$



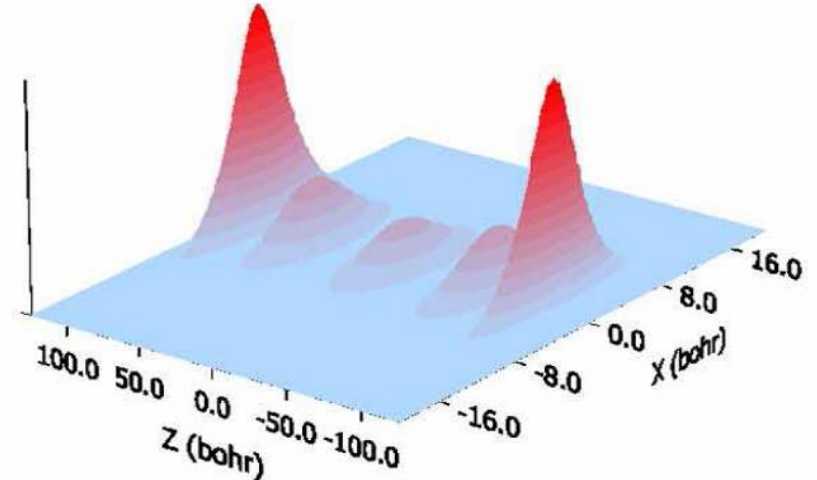
$\text{He}^{2-}$



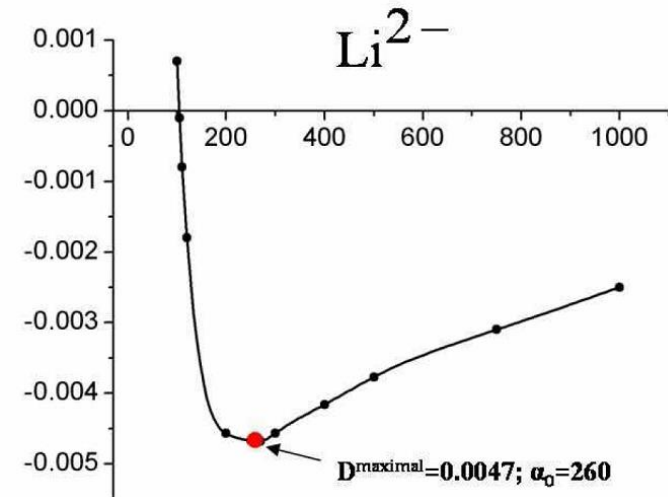
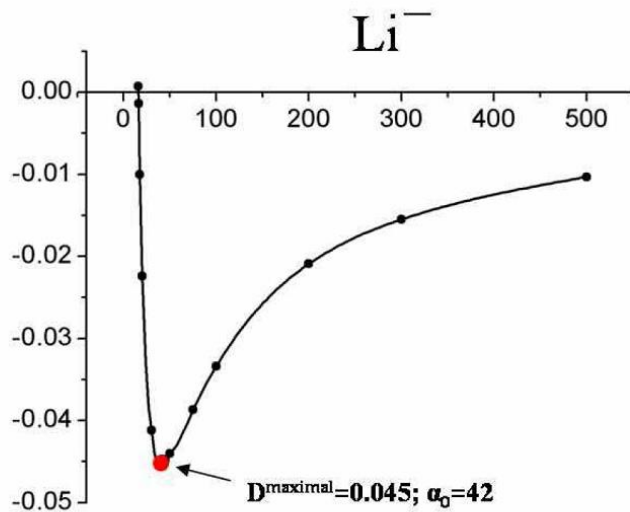
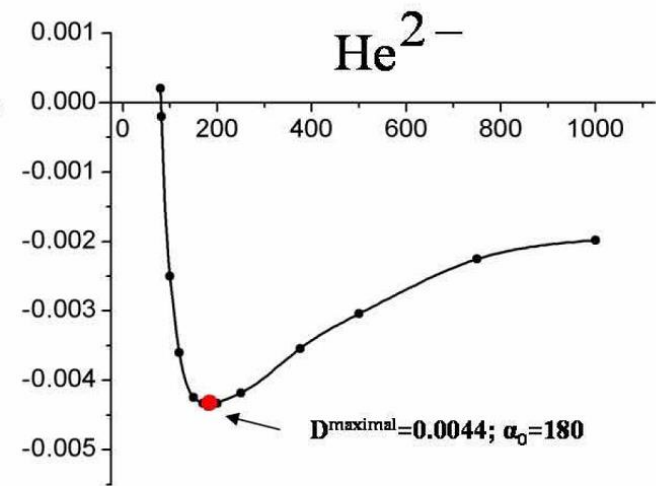
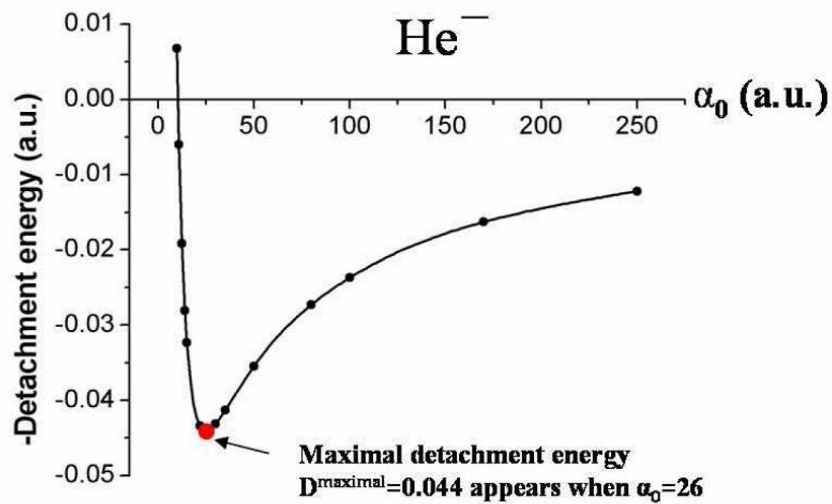
$\text{Li}^-$



$\text{Li}^{2-}$



The electronic orbitals for the ground states. The presentation is given in a plane passing through the axis of the field taken as polar axis  $z$



Negative of the detachment energy of the ground state of He<sup>-</sup>, He<sup>2-</sup>, Li<sup>-</sup>, and Li<sup>2-</sup> in a linearly polarized high-frequency laser field as a function of  $\alpha_0=E_0/\omega^2$ , where  $E_0$  and  $\omega$  are the amplitude and frequency of the laser field.

Critical parameters for stability of  $\text{He}^-$ ,  $\text{He}^{2-}$ ,  $\text{Li}^-$  and  $\text{Li}^{2-}$  in superintense laser fields.

The intensity is determined by the following equation:  $I(\text{W}/\text{cm}^2) = |E_0(\text{a.u.})|^2 \times 3.509 \times 10^{16}$ ,

where  $E_0 = \omega^2 \alpha_0$ , we choose  $\omega = 5\text{eV}$

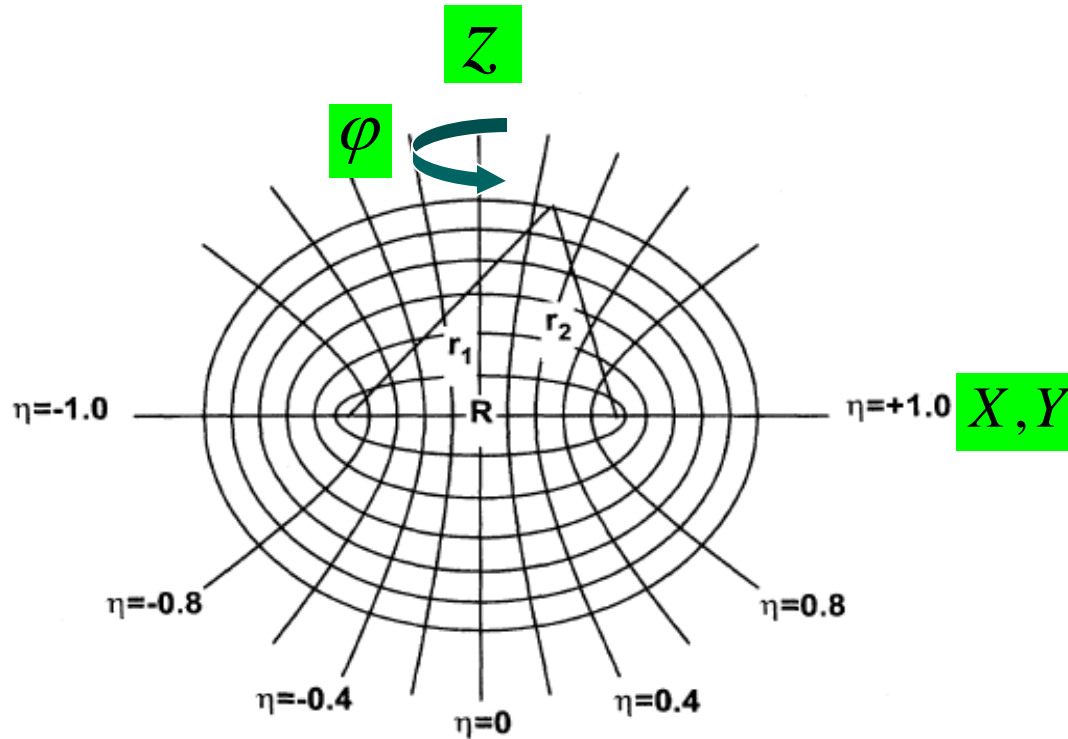
For example, when ultra-high-power KrF laser (5 eV photons) are used, the peak intensity in the experiments should be  $I \approx 10^{16} \text{W}/\text{cm}^2$

	$\alpha_0^{\text{critical}}(\text{a.u.})$	$I^{\text{critical}}(\text{W}/\text{cm}^2)$	$\alpha_0^{\text{maximum}}(\text{a.u.})$	$I^{\text{maximum}}(\text{W}/\text{cm}^2)$	Detachment Energy (eV)
$\text{He}^-$	11	$4.8 \times 10^{15}$	26	$2.7 \times 10^{16}$	1.2
$\text{He}^{2-}$	82	$2.7 \times 10^{17}$	180	$1.3 \times 10^{18}$	0.12
$\text{Li}^-$	16	$1.0 \times 10^{16}$	42	$7.1 \times 10^{16}$	1.2
$\text{Li}^{2-}$	105	$4.4 \times 10^{17}$	250	$2.5 \times 10^{18}$	0.13

$$\textit{Detachment energy} = E^{N-1} - E^N \geq 0$$

The energy needed to detach one of the N electrons

## Oblate Spheroidal Coordinates for Circular Polarization



$$\xi = \frac{r_1 + r_2}{R}, \quad 1 \leq \xi < \infty$$

$$\eta = \frac{r_1 - r_2}{R}, \quad -1 \leq \eta \leq 1$$

$$0 \leq \varphi < 2\pi.$$

## Basis Set (Circular Polarization)

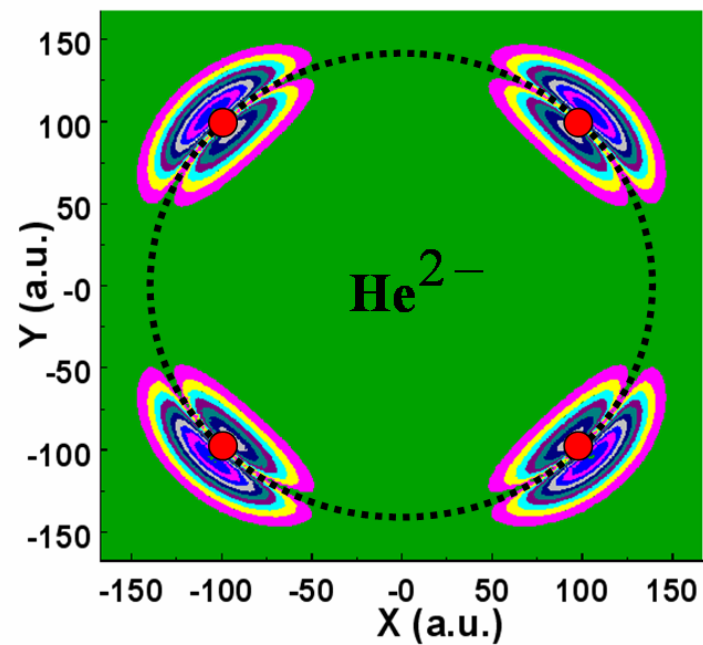
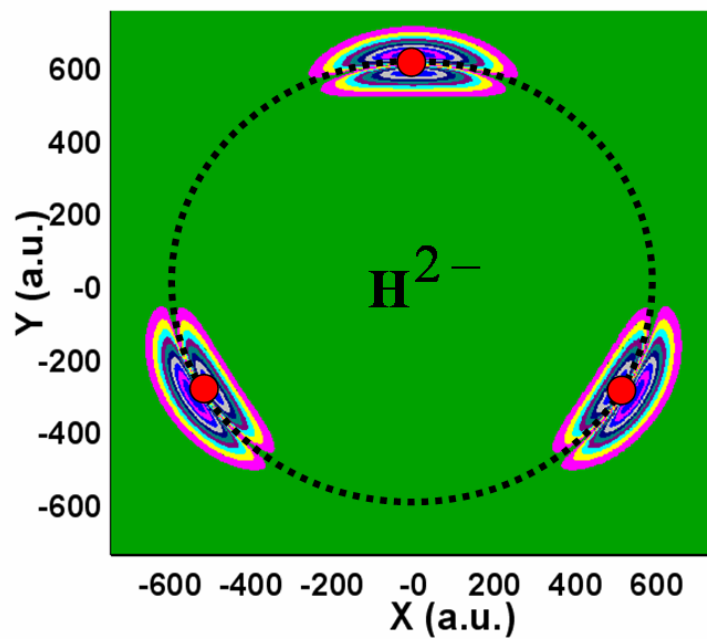
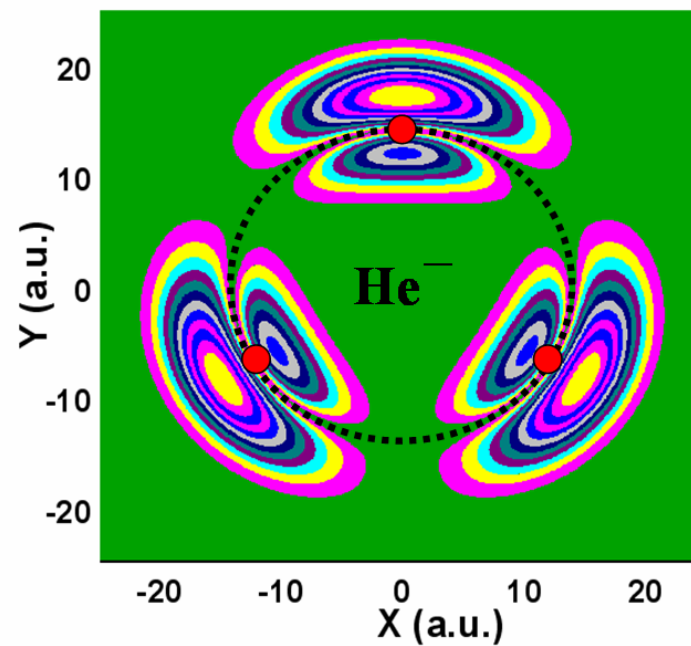
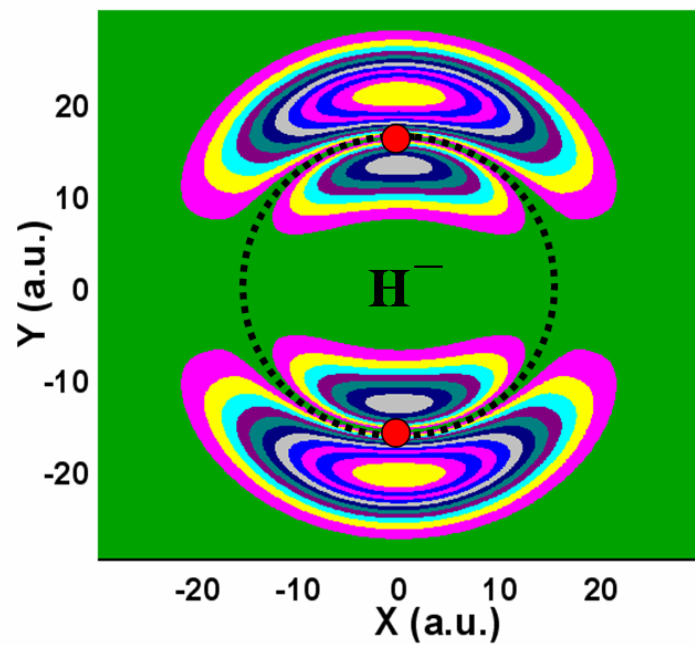
$$\psi_{p,q,m}(\xi, \eta, \varphi) = (\xi - 1)^p \eta^q e^{-\gamma \xi} S_m(\varphi)$$

$$\psi_{p,q,m}(\xi, \eta, \varphi) = (\xi - 1)^p \eta^q e^{-\gamma \xi} \cdot \begin{cases} \frac{1}{\sqrt{\pi}} \cos(m\varphi) & \mathbf{m} > \mathbf{0} \\ \frac{1}{\sqrt{2\pi}} & \mathbf{m} = \mathbf{0} \\ \frac{1}{\sqrt{\pi}} \sin(|m|\varphi) & \mathbf{m} < \mathbf{0} \end{cases}$$

$$p = n - l - 1; \quad q = l - m; \quad m = -l, -l + 1, \dots, l$$

$\gamma$  is a parameter to optimize the energy

We used over 200 basis functions

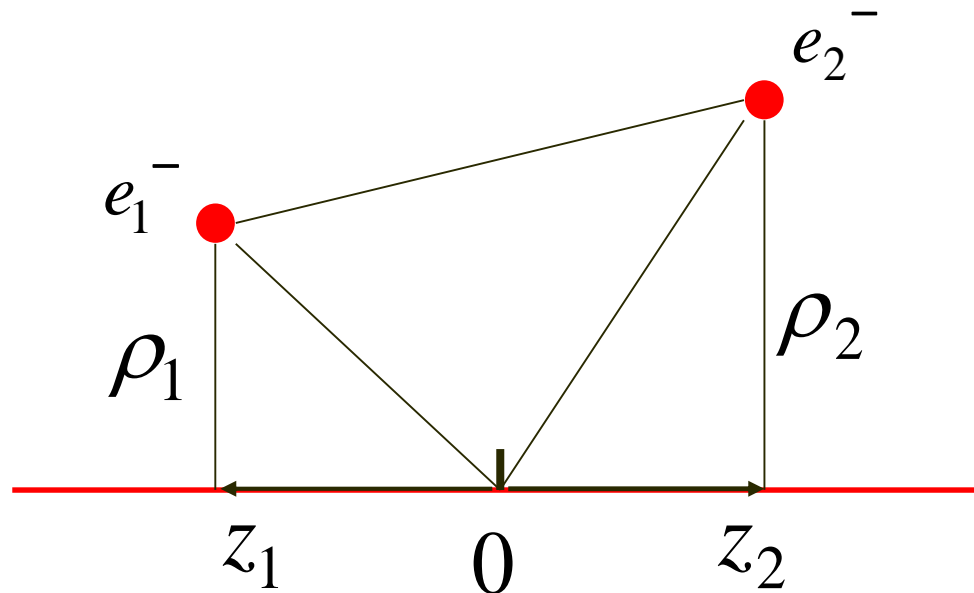




# Large-D stability in Linearly polarized superintense laser fields

$$H = \frac{1}{2} \sum_{i=1}^N \frac{1}{\rho_i^2} + \sum_{i=1}^N V_0(\rho_i, z_i) + \sum_{i=1}^N \sum_{j=i+1}^N \frac{1}{\sqrt{\rho_i^2 + \rho_j^2 + (z_i - z_j)^2}}$$

$$V_0(\rho_i, z_i) = \frac{-Z}{2\pi} \int_0^{2\pi} \frac{d\phi}{\sqrt{\rho_i^2 + (z_i + \alpha_0 \sin \phi)^2}}$$

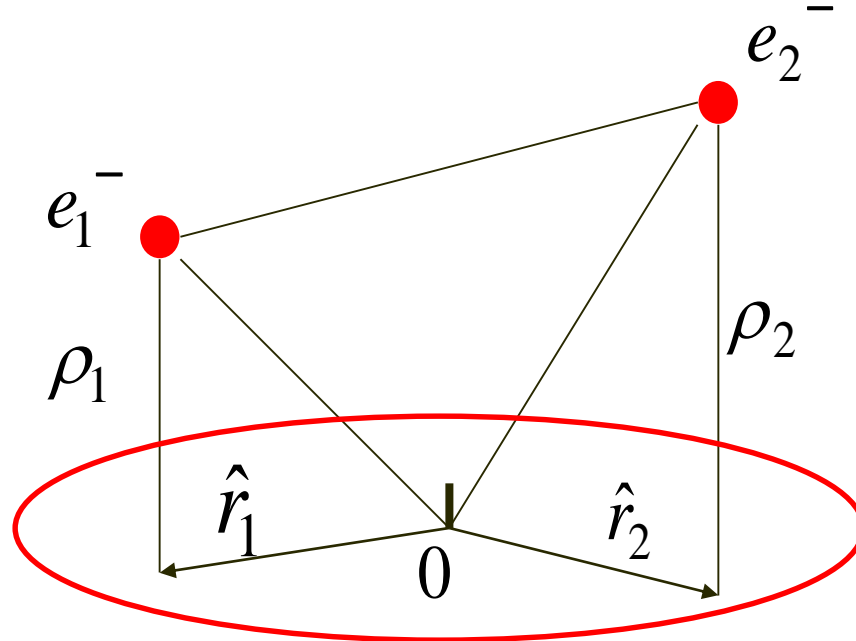


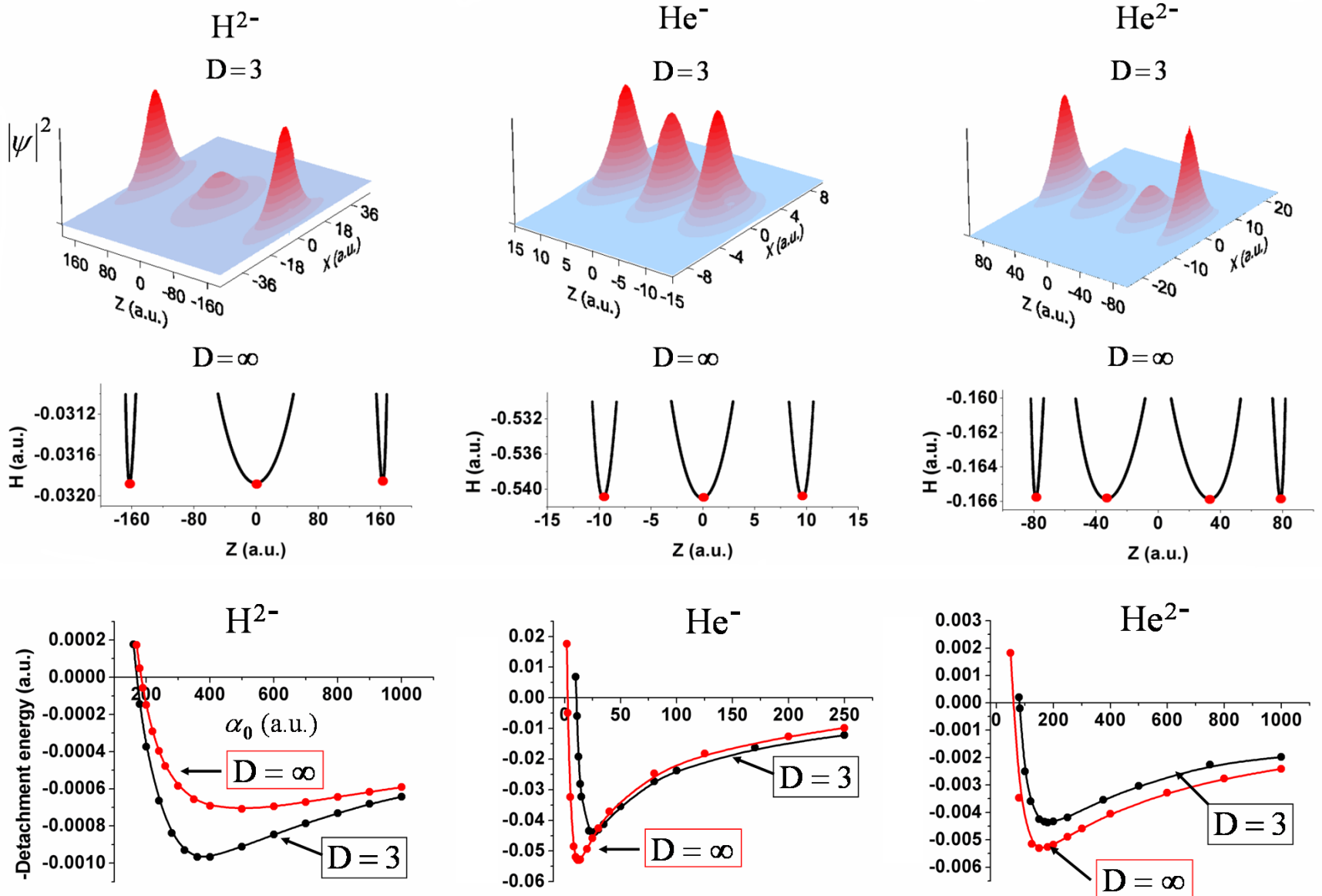
Dudley Herschbach, Harvard

# Large D stability in super-intense circular polarized laser fields

$$H = \frac{1}{2} \sum_{i=1}^N \frac{1}{\rho_i^2} + \sum_{i=1}^N V_0(\rho_i, \hat{r}_i) + \sum_{i=1}^N \sum_{j=i+1}^N \frac{1}{\sqrt{\rho_i^2 + \rho_j^2 + (\hat{r}_i - \hat{r}_j)^2}}$$

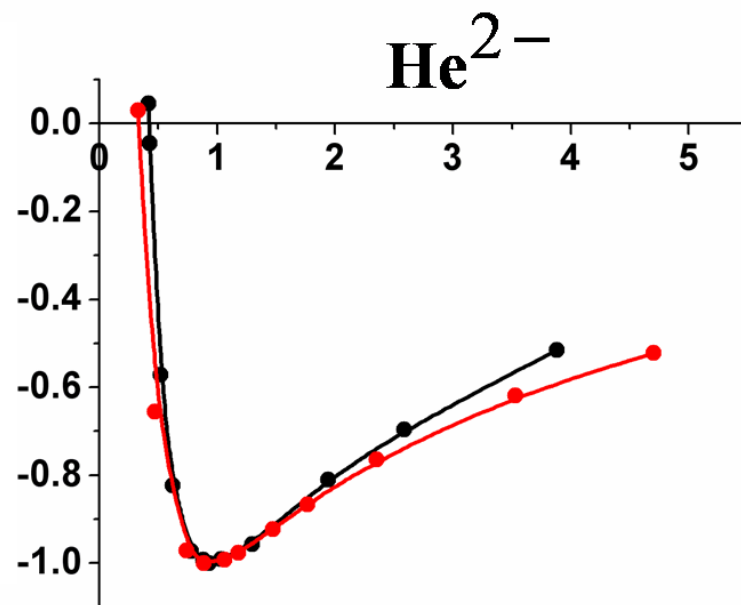
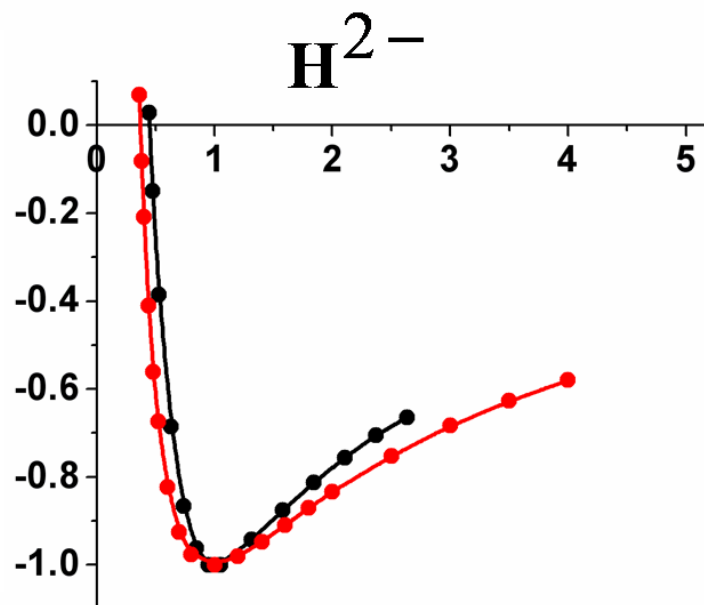
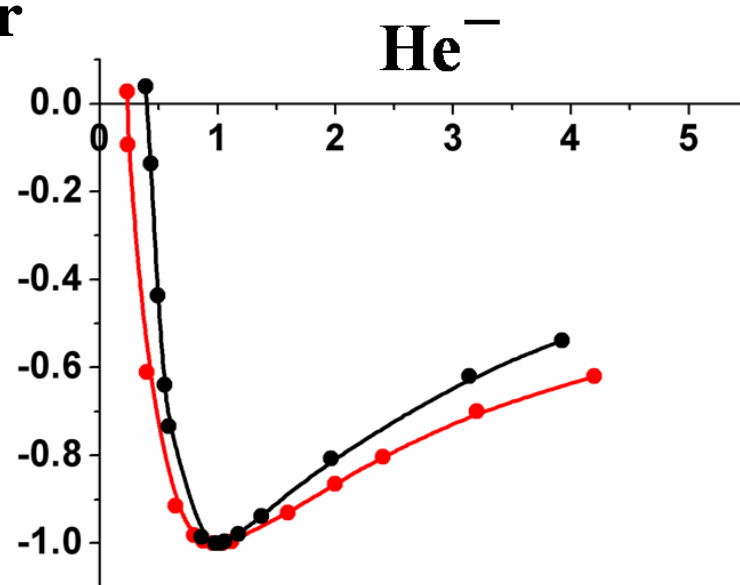
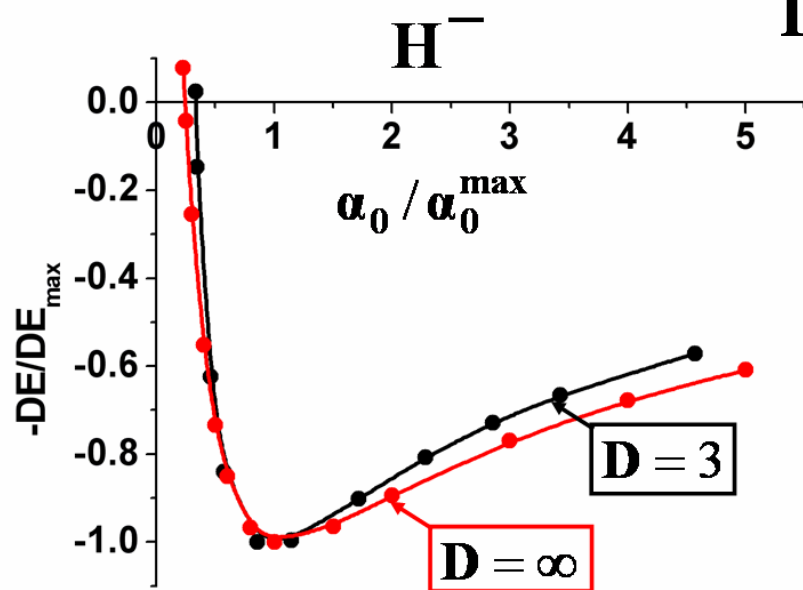
$$V_0(\rho_i, \hat{r}_i) = \frac{-Z}{2\pi} \int_0^{2\pi} \frac{d\phi}{\sqrt{\rho_i^2 + (\hat{r}_i \cdot \hat{e}_x + \alpha_0 \cos \phi)^2 + (\hat{r}_i \cdot \hat{e}_y + \alpha_0 \sin \phi)^2}}$$



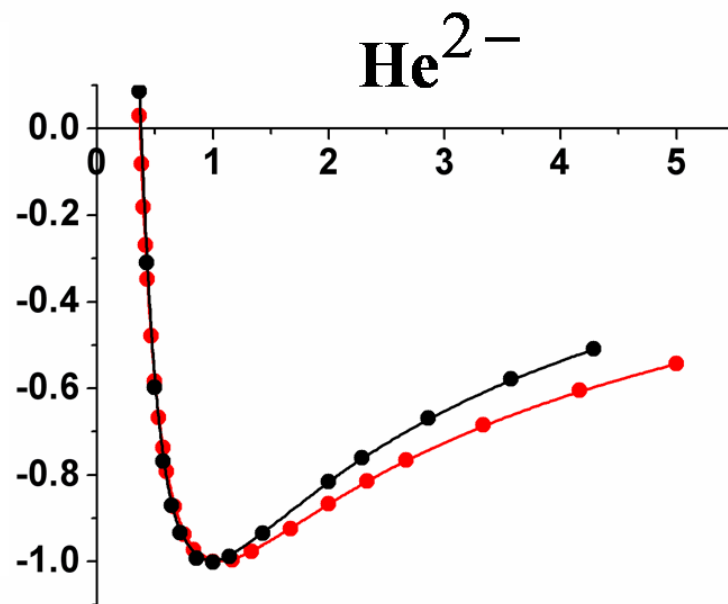
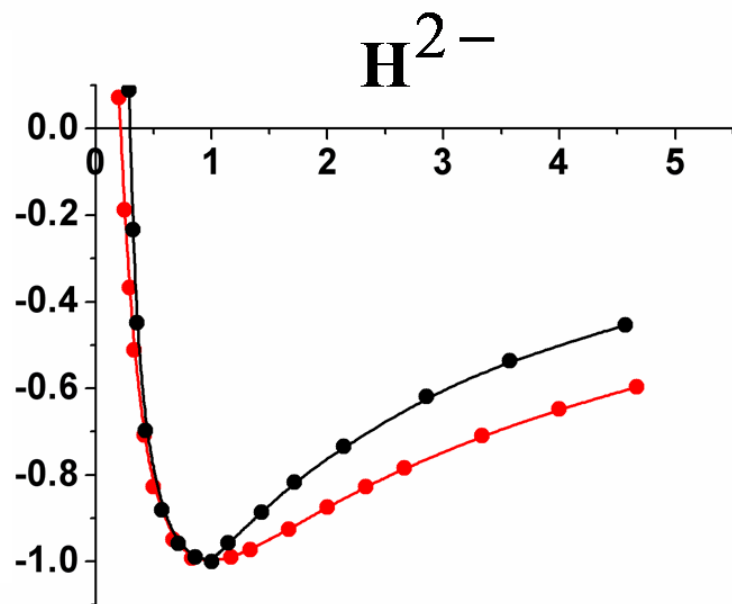
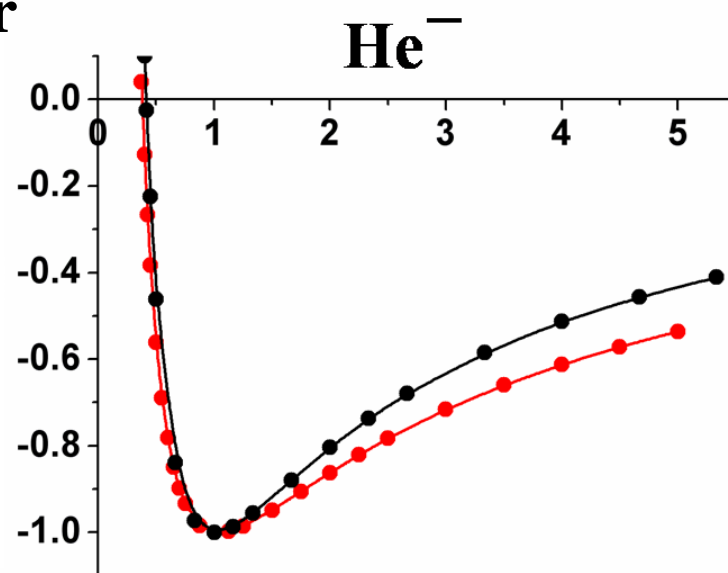
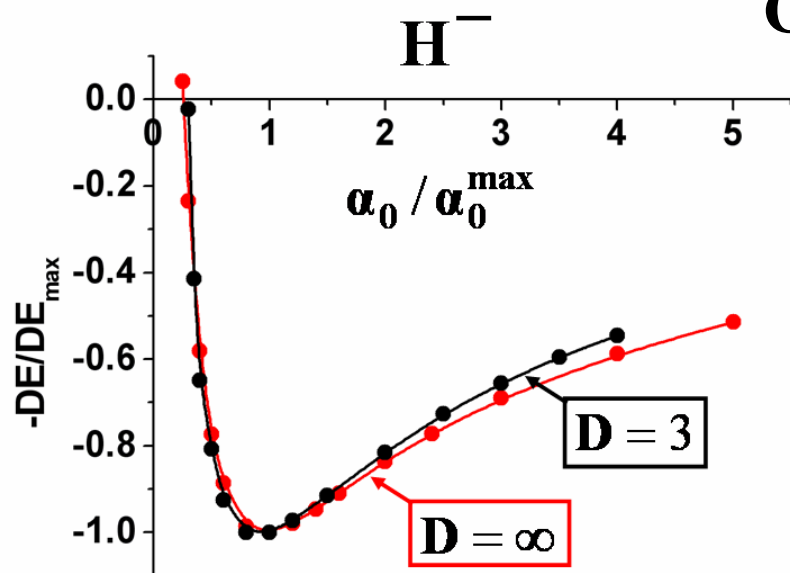


Negative of the detachment energy of the ground state of  $\text{He}^-$ ,  $\text{H}^{2-}$  and  $\text{He}^{2-}$  in a circularly polarized high-frequency laser field as a function of  $\alpha_0 = E_0/\omega^2$ , where  $E_0$  and  $\omega$  are the amplitude and frequency of the laser field.

Linear



# Circular

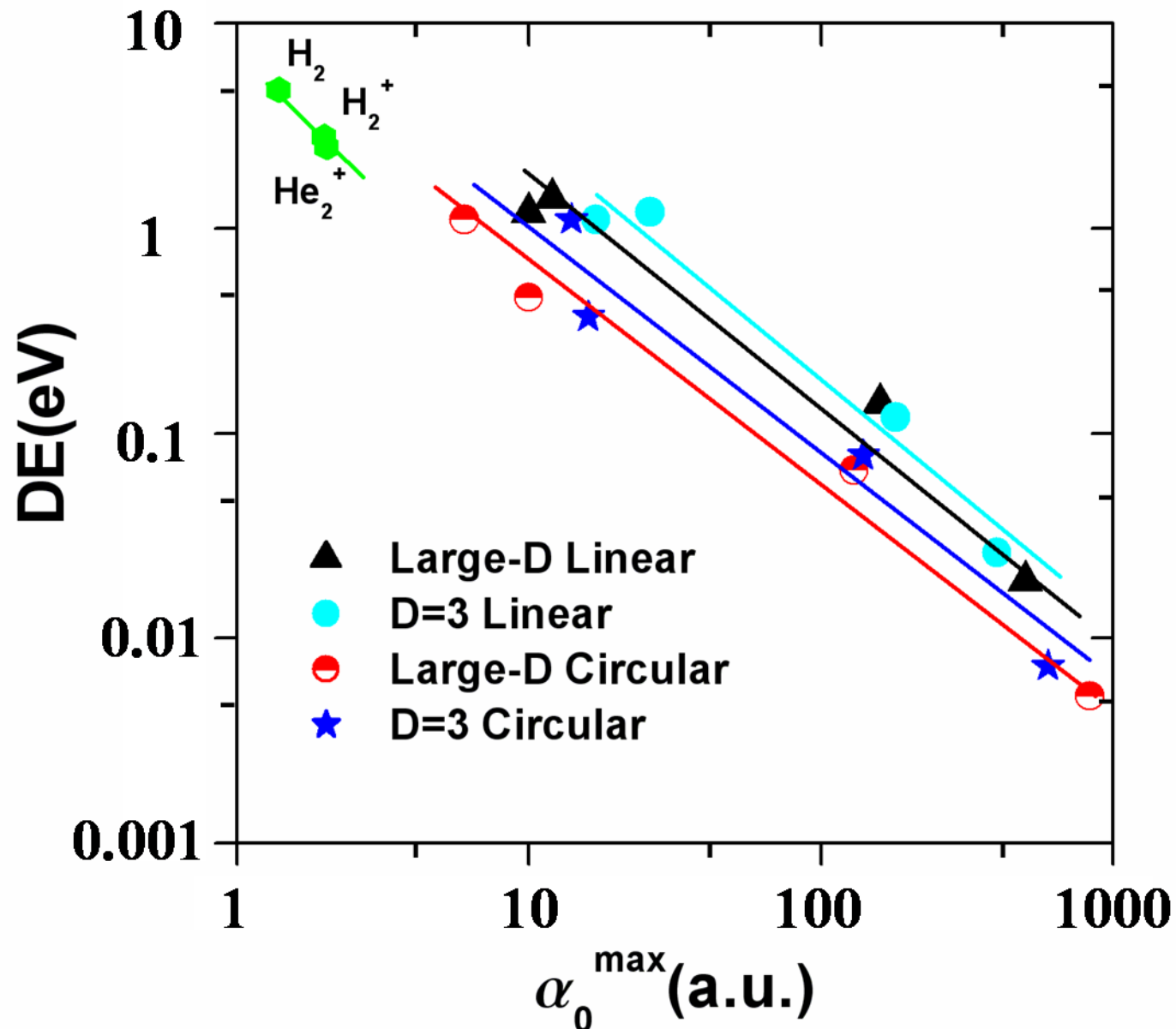


Critical parameters for stability of atomic anions in super-intense laser fields

Quantity	D	Polz. <sup>a</sup>	H <sup>-</sup>	H <sup>2-</sup>	He <sup>-</sup>	He <sup>2-</sup>
$\alpha_0^{\text{crit}}$ (a.u.)	3	L	6	170	11	82
		C	6	250	4.3	51
	$\infty$	L	3	181	3	59
		C	2.6	300	1.3	45
$I^{\text{crit}}$ ( $10^{16} \frac{\text{W}}{\text{cm}^2}$ )	3	L	0.14	120	0.48	27
		C	0.14	250	0.074	10
	$\infty$	L	0.036	130	0.06	14
		C	0.027	360	0.0068	8.1
$\alpha_0^{\text{max}}$ (a.u.)	3	L	17	400	26	180
		C	16	600	14	140
	$\infty$	L	10	500	12	160
		C	10	834	6	130
$I^{\text{max}}$ ( $10^{16} \frac{\text{W}}{\text{cm}^2}$ )	3	L	1.2	640	2.7	130
		C	1.0	1400	0.79	79
	$\infty$	L	0.4	1000	0.58	100
		C	0.4	2800	0.14	68
DE (eV)	3	L	1.1	0.026	1.2	0.12
		C	0.37	0.0073	1.1	0.078
	$\infty$	L	1.2	0.019	1.4	0.14
		C	0.46	0.0052	1.1	0.066

<sup>a</sup> L and C denote linear and circular polarization, respectively. Data pertain to  $\omega = 5\text{eV}$ .

# Do atoms in superintense laser fields behave like diatomic molecules ?

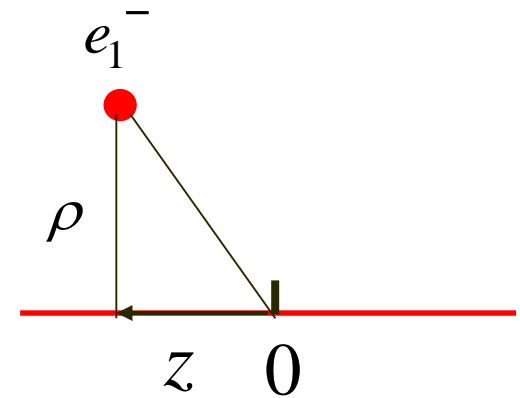
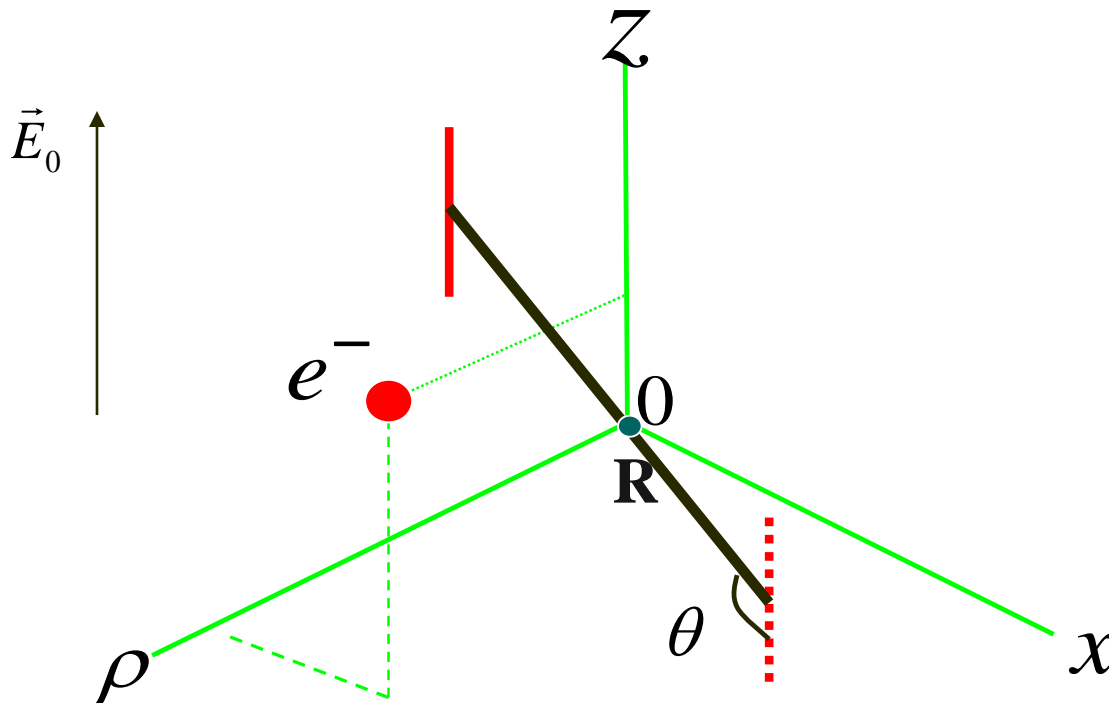


# Large-D stability of molecules in linearly polarized superintense laser field

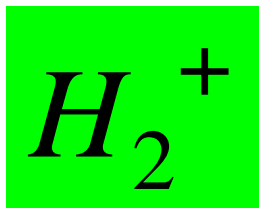
$$H = \frac{1}{2} \frac{1}{\rho^2} + V_{0,1}(\rho, z) + V_{0,2}(\rho, z) + \frac{\xi}{R}$$

$$V_{0,1}(\rho, z) = -\frac{1}{2\pi} \int_0^{2\pi} \frac{d\phi}{\sqrt{\rho^2 + (x - \frac{R}{2} \sin \theta)^2 + (z - \frac{R}{2} \cos \theta + \alpha_0 \sin \phi)^2}}$$

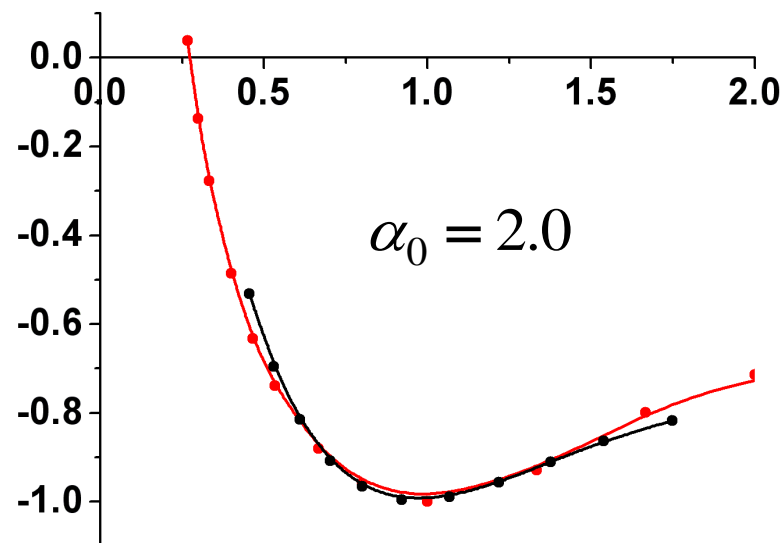
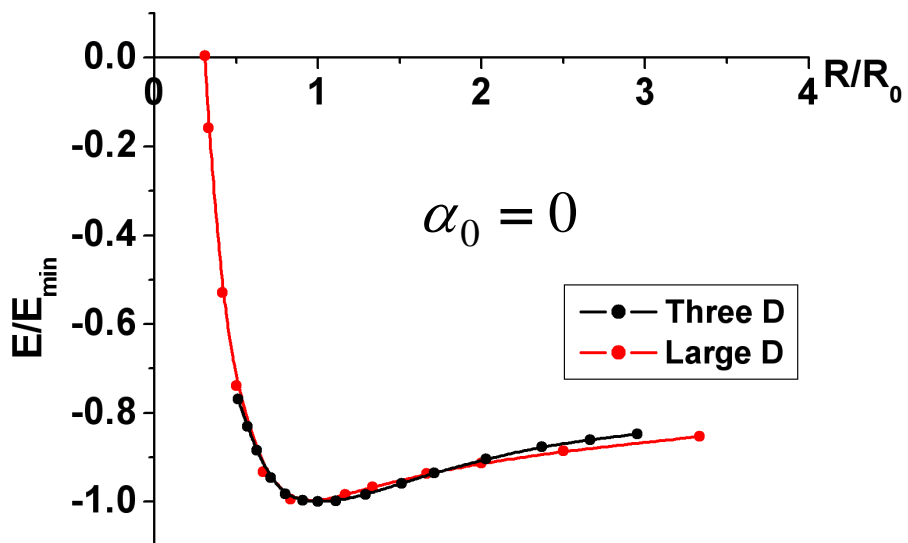
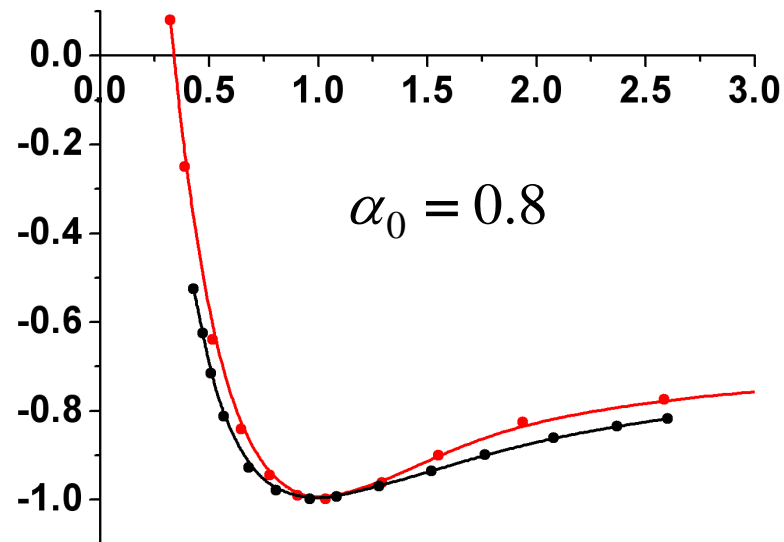
$$V_{0,2}(\rho, z) = -\frac{1}{2\pi} \int_0^{2\pi} \frac{d\phi}{\sqrt{\rho^2 + (x + \frac{R}{2} \sin \theta)^2 + (z + \frac{R}{2} \cos \theta + \alpha_0 \sin \phi)^2}}$$

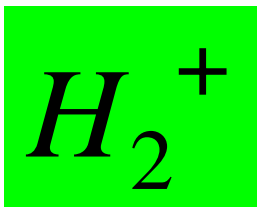




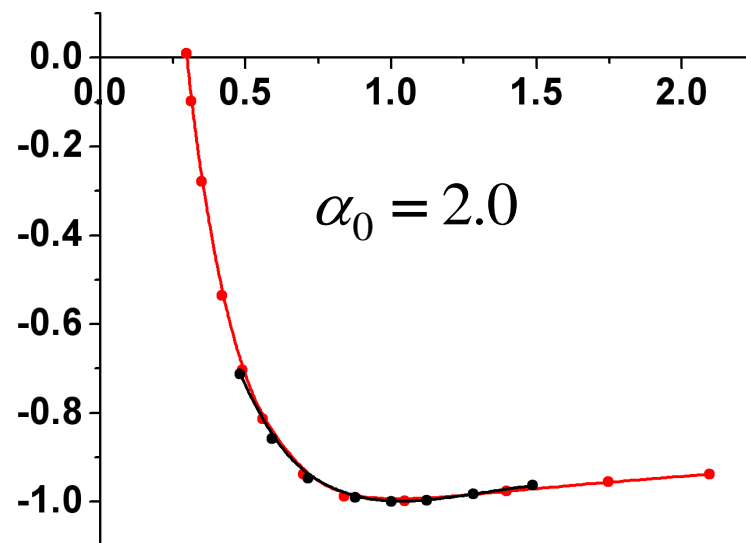
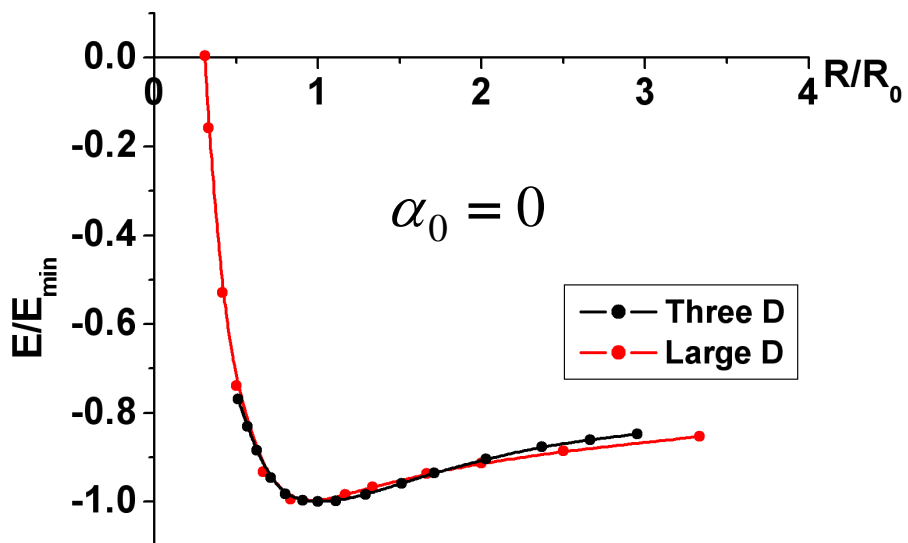
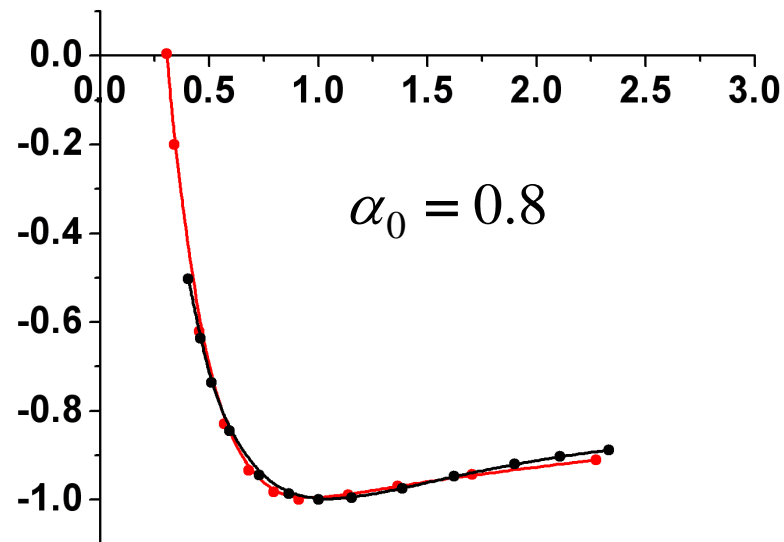


The laser polarization  
along the molecular  
axis ( $\theta=0$ )





The laser polarization  
is vertical to the  
molecular axis ( $\theta=90$ )



# At the $D \rightarrow \infty$ limit

## As per D-Scaling method:

$$H = \sum_{i=1}^N \frac{1}{2} \left( \frac{1}{(x_i^2 + y_i^2)} \right) + \sum_{i=1}^N V_0(x_i, y_i, z_i) + \sum_{i=1}^N \sum_{j>i}^N \left( \frac{1}{\sqrt{(x_i - x_j)^2 + (y_i - y_j)^2 + (z_i - z_j)^2}} \right)$$

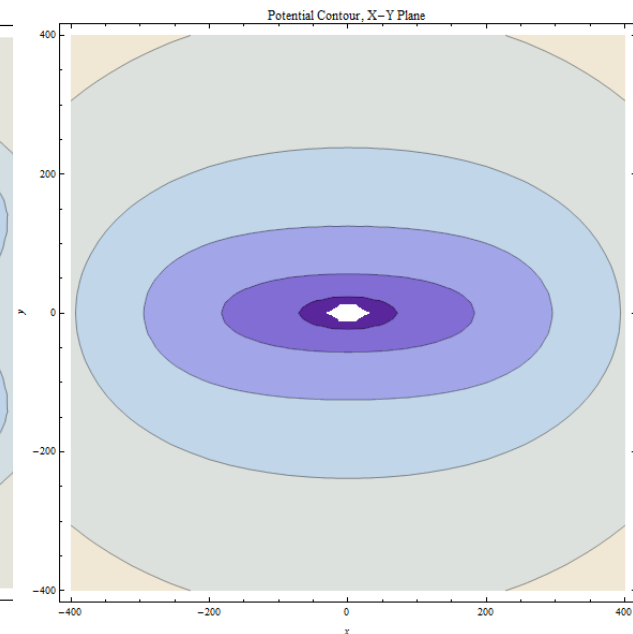
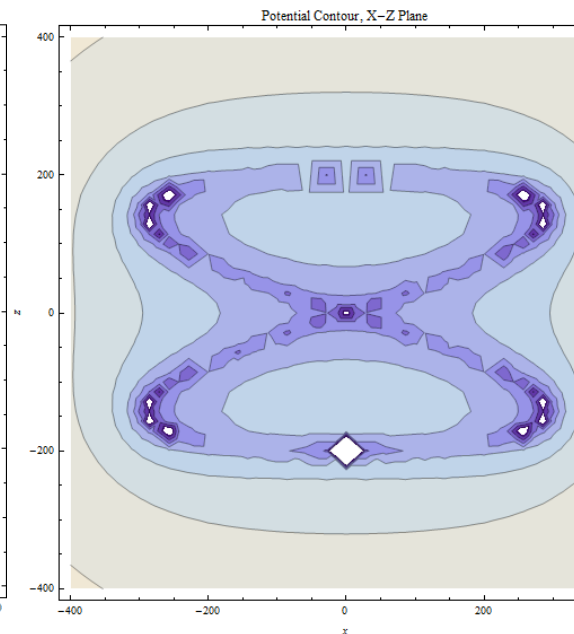
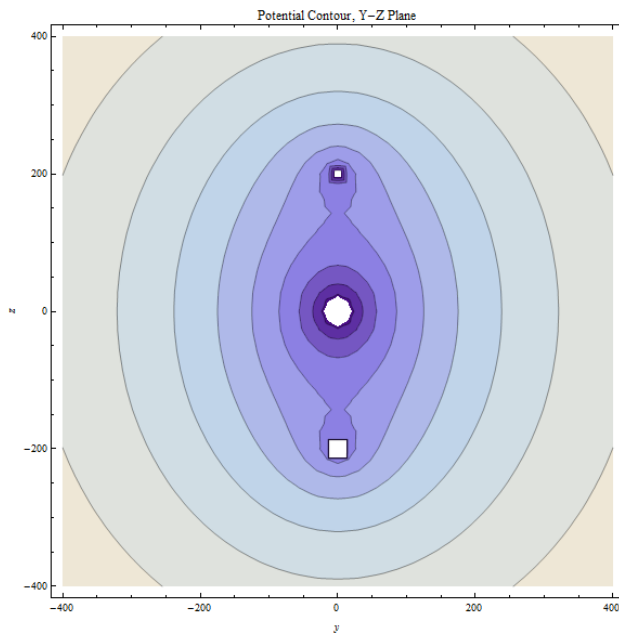
$$V_0(x, y, z) = \left( \frac{q}{2\pi} \right) \int_0^{2\pi} \frac{d\varphi}{\sqrt{(z + d * \cos(\varphi))^2 + (y)^2 + \left( x - \frac{d^2}{\alpha} \sin(2\varphi) \right)^2}}$$

$$d = \frac{v}{2}$$

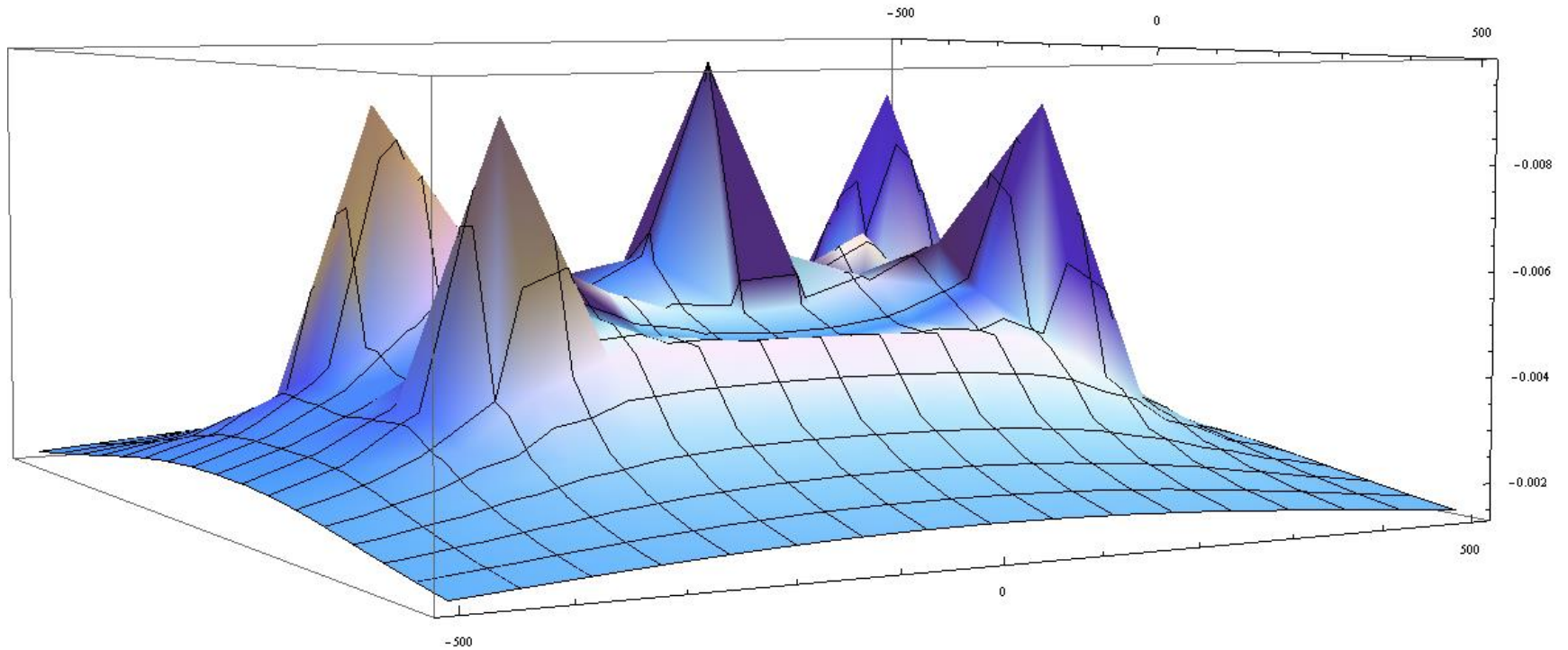
This is the problem to be minimized

# Our Potential

- Potential generated by application of Relativistic Trajectory in HFFT
- $v = 400$



# Alternate View



# Trajectory

- The new relativistic trajectory used:

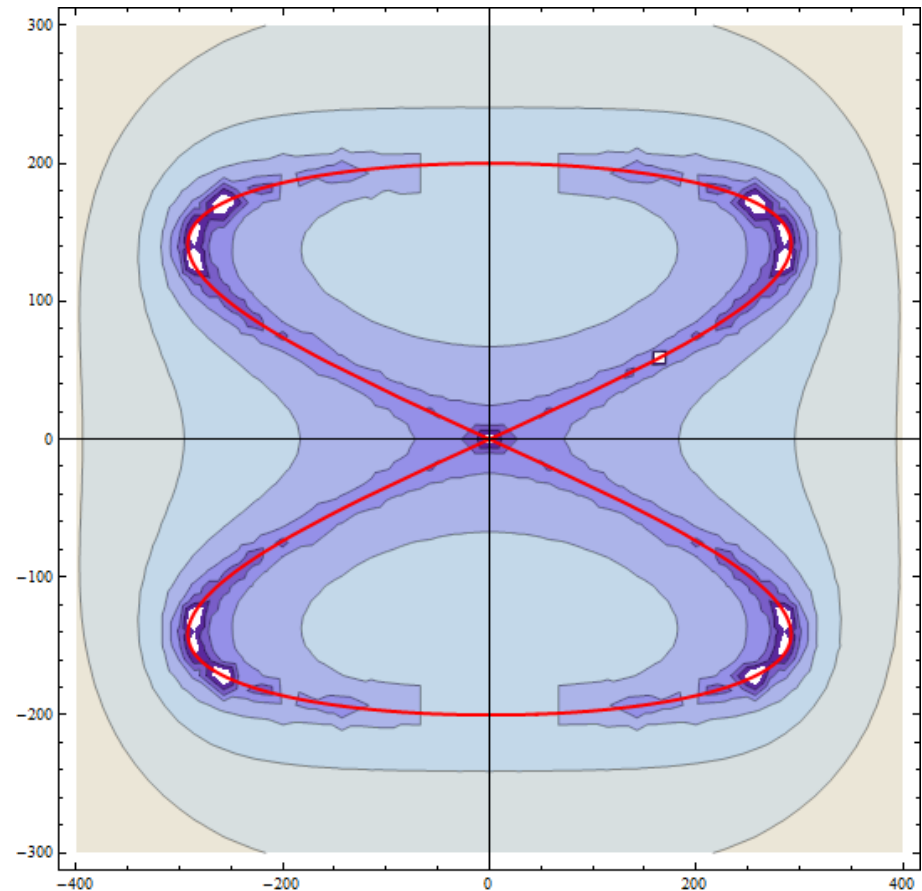
$$\alpha_{rel}(t) = \alpha_0 (x_i \cos(\omega t) - x_j (\alpha_0 \alpha_{fine}) \sin(2\omega t)), \quad \text{where } x_i \perp x_j$$

- This trajectory was used within the HFFT potential, and the potential traces the equivalent parametric equation:

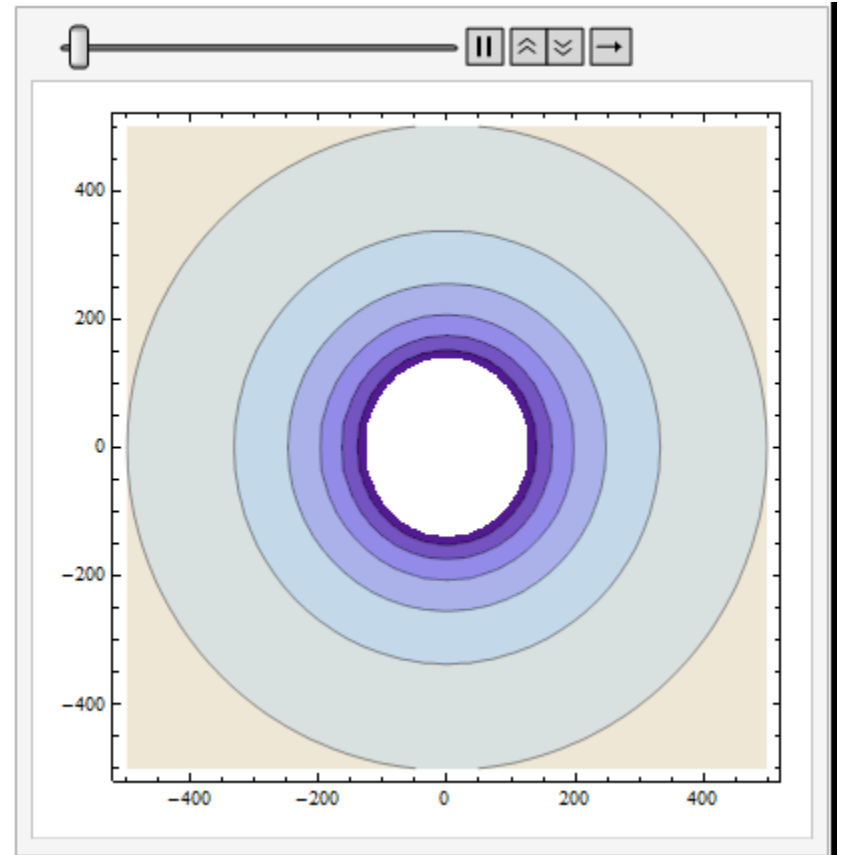
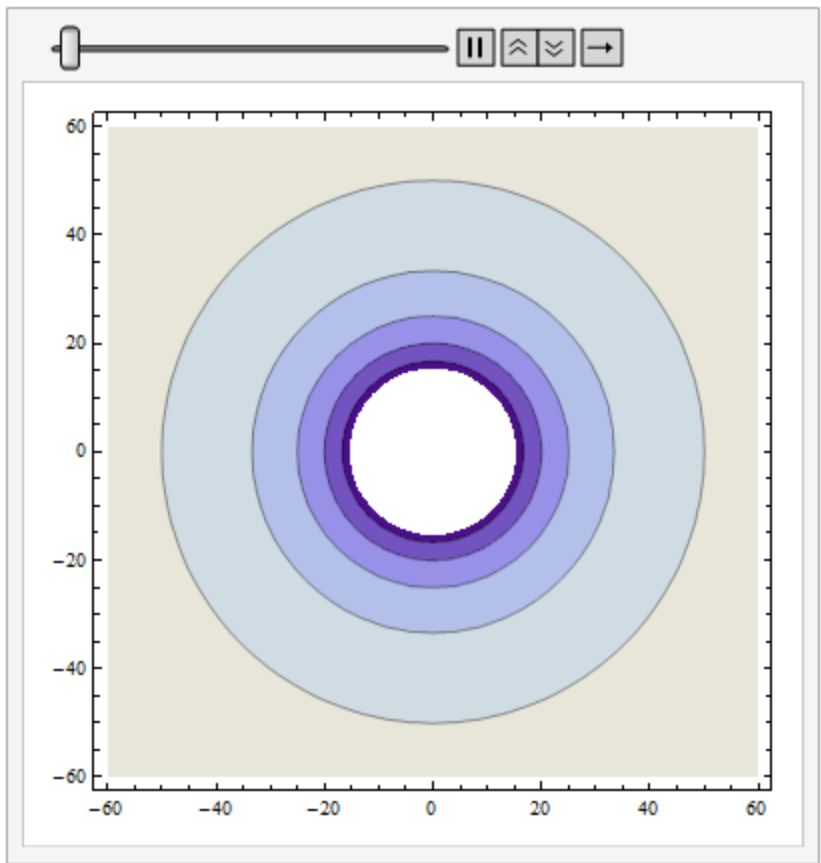
$$\{d^2 \alpha_{fine} \sin(2t), 0, d \cos(t)\}, \quad t = (0, 2\pi)$$

$$d = \alpha_0 = v/2$$

- The curve reaches it's maximum at  $t=\pi/4$ ; the potential and electron density reach their extremes as well



# Morphology



# Summary

**Symmetry breaking of electronic structure configurations resemble classical phase transitions**

**Quantum phase transitions can be used to explain and predict the stability of atoms, molecules and quantum dots.**

**Atomic dianions are unstable in the gas phase**

**Multiply charged negative ions are stable in superintense laser fields**

**(Intensity > 1 a.u. ~  $10^{16}$  W/cm<sup>2</sup>)**



# Future Work

Combining FSS with Ab Initio and DFT

Combining FSS with Finite Element Methods

New Classification of Chemical Reactions

FSS and Efimov Systems

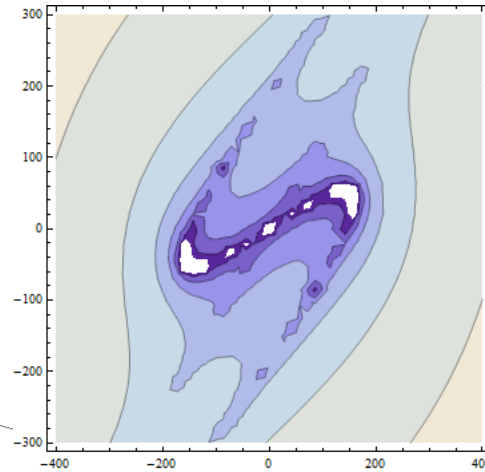
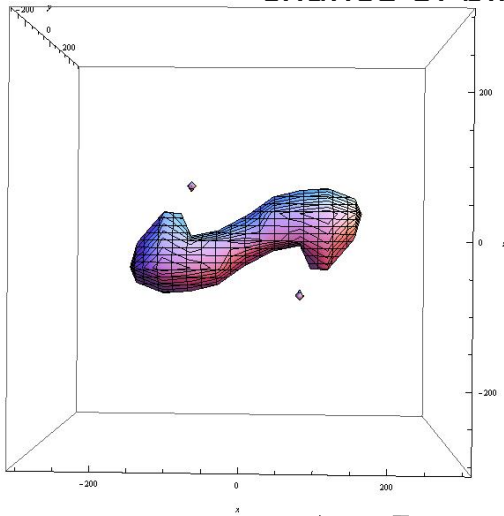
Stability of Matter in Superintense Laser Fields

**For more details See the review article:**

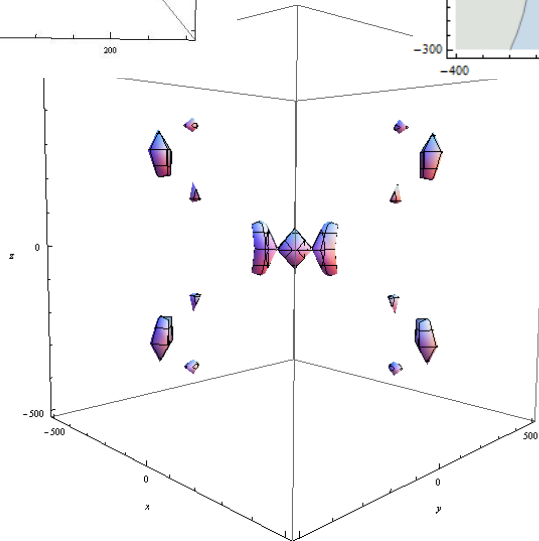
- Sabre Kais and Pablo Serra, "Quantum Critical Phenomena and Stability of Atomic and Molecular Ions", Int. Rev. Phys. Chem. Vol 19, 97-121 (2000).
- S. Kais and P. Serra, "Finite Size Scaling for Atomic and Molecular Systems", Advances in Chemical Physics, Volume 125, 1-100 (2003).

# Further Directions

Combinations of linearly polarized lasers can deliver more exotic potentials with the chance of binding even more electrons.



Two lasers fired in the Y direction, linearly polarized in the X and Z directions



Two lasers fired, in the X and Y directions, both polarized in the Z

# Efimov States

Efimov State is a [quantum mechanical](#) stable [bound state](#) of three particles, with any two particle subsystem is unstable. It was proposed by [Vitaly Efimov](#) in 1970 theoretically and was observed experimentally in 2006 for ultracold gas of [caesium](#) atoms.



**Remove any one ring and the other two will fall apart.**

**Letter to: *Nature* **440**, 315-318 (16 March 2006)**

**Evidence for Efimov quantum states in an ultracold gas of caesium atoms**

T. Kraemer, M. Mark, P. Waldburger, J. G. Danzl, C. Chin, B. Engeser, A. D. Lange, K. Pilch, A. Jaakkola, H.-C. Nägerl and R. Grimm

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Dudley Herschbach**

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**Ross Hoehn, Jiaxiang Wang**

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- (3) National Science Foundation (NSF)**
- (4) Army Research Office (ARO)**

**Thank You!**