



General objective: Apply real or pseudo-symmetry to simplify the NLO properties of individual chromophores.

$$\vec{\beta}' = S \cdot \vec{\beta}$$

Specific Objectives:

1. Introduce a general architecture for incorporating symmetry operations.
2. The molecular symmetry matrix  $S$ ; how to populate it.
3. Relationships between the character tables for transitions of different symmetry and the molecular tensor.

**Garth J. Simpson**

**Department of Chemistry  
Purdue University**

Note: Citations to the contents of these slides should reference the following textbook:

Simpson, Garth J. (2017) *Nonlinear Optical Polarization Analysis in Chemistry and Biology* (Cambridge University Press, ISBN 978-0-521-51908-3).

How are vector properties transformed by the application of particular symmetry operations?

Let's consider the specific example of a mirror-plane reflection operation  $\sigma_{xz}$ .

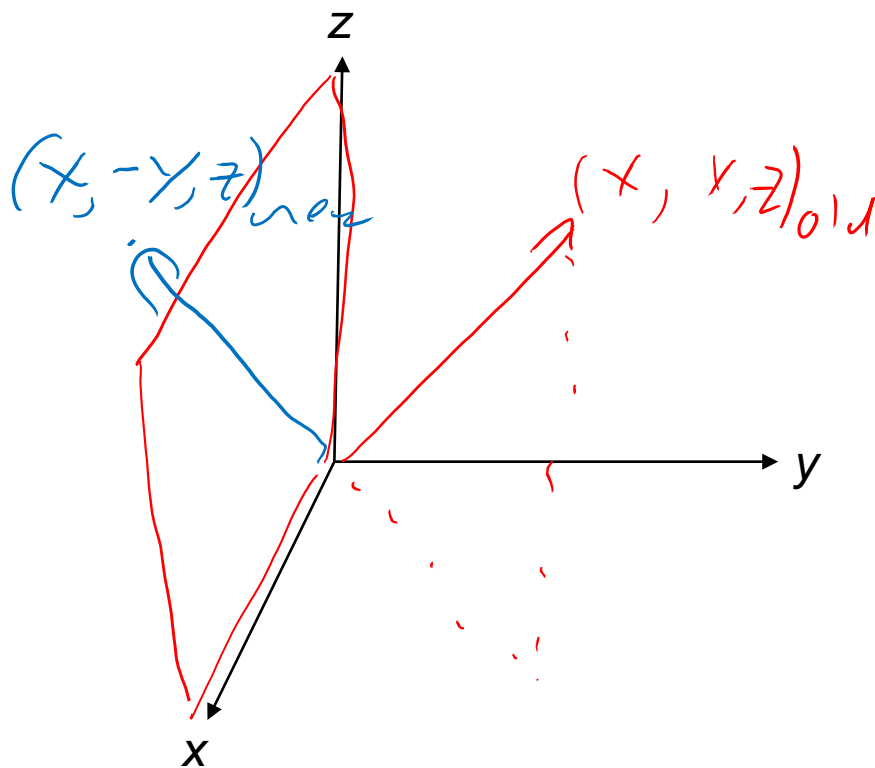
$$\vec{\mu}^{new} = \sigma_{xz} \cdot \vec{\mu}^{old}$$

$$x \rightarrow x$$

$$y \rightarrow -y$$

$$z \rightarrow z$$

$$\sigma_{xz} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$



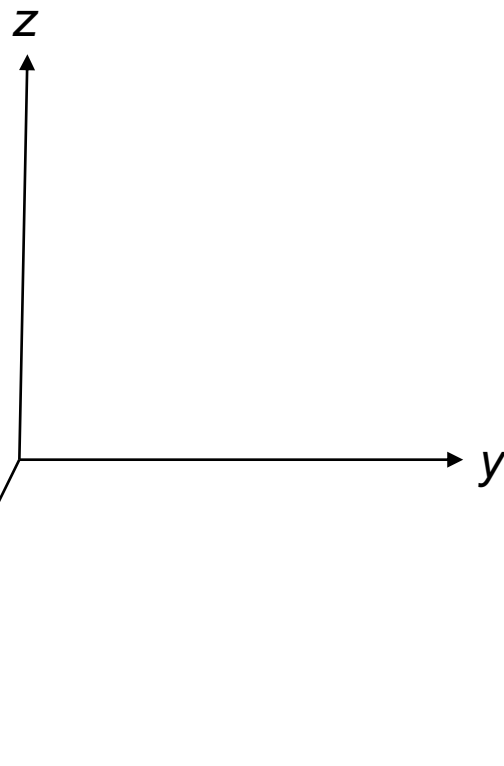


# Vector Properties

How are vector properties transformed by the application of particular symmetry operations?

Let's consider the specific example of a mirror-plane reflection operation  $\sigma_{xz}$ .

$$\vec{\mu}^{new} = \sigma_{xz} \cdot \vec{\mu}^{old}$$



$$\frac{1}{2} \left( \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} + \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \right) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

If the symmetry operation leaves the system unchanged by definition, the sum of the two vectors isolates the symmetry-allowed elements.

$$\frac{1}{2} (I + \sigma_{xz})$$



# Matrix Properties



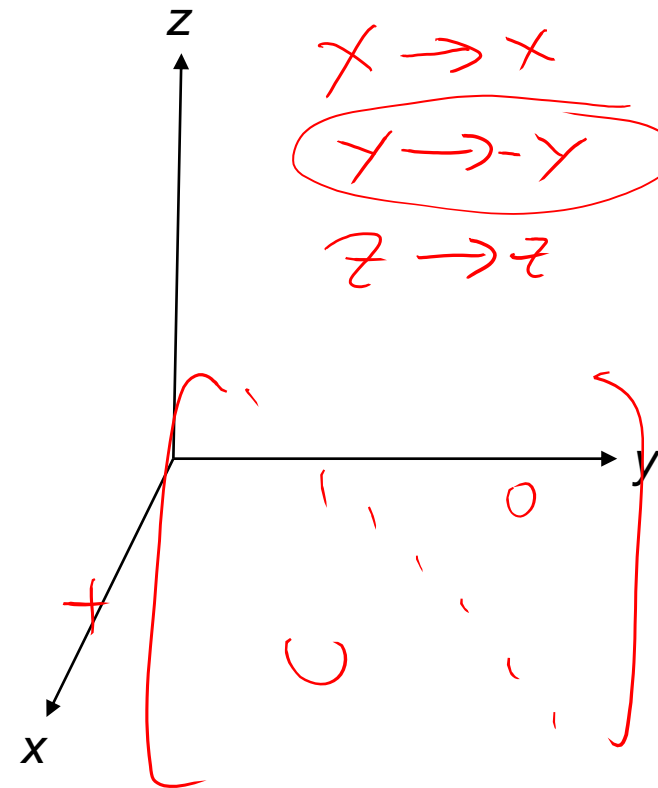
How are matrices transformed by the application of particular symmetry operations?

Let's consider the specific example of a mirror-plane reflection operation  $\sigma_{xz}$ .

$$\alpha^{new} \neq \sigma_{xz} \cdot \alpha^{old}$$

$$\bar{\alpha}^{new} = (\sigma_{xz} \otimes \sigma_{xz}) \cdot \bar{\alpha}^{old}$$

$$\begin{bmatrix} \alpha_{xx} \\ \alpha_{xy} \\ \alpha_{xz} \\ \alpha_{yx} \\ \alpha_{yy} \\ \alpha_{yz} \\ \alpha_{zx} \\ \alpha_{zy} \\ \alpha_{zz} \end{bmatrix}^{new} = \begin{bmatrix} 1 & & & & & & & & \\ & -1 & & & & & & & \\ & & 1 & & & & & & \\ & & & -1 & & & & & \\ & & & & 1 & & & & \\ & & & & & -1 & & & \\ & & & & & & 1 & & \\ & & & & & & & -1 & \\ & & & & & & & & 1 \end{bmatrix} \cdot \begin{bmatrix} \alpha_{xx} \\ \alpha_{xy} \\ \alpha_{xz} \\ \alpha_{yx} \\ \alpha_{yy} \\ \alpha_{yz} \\ \alpha_{zx} \\ \alpha_{zy} \\ \alpha_{zz} \end{bmatrix}^{old}$$



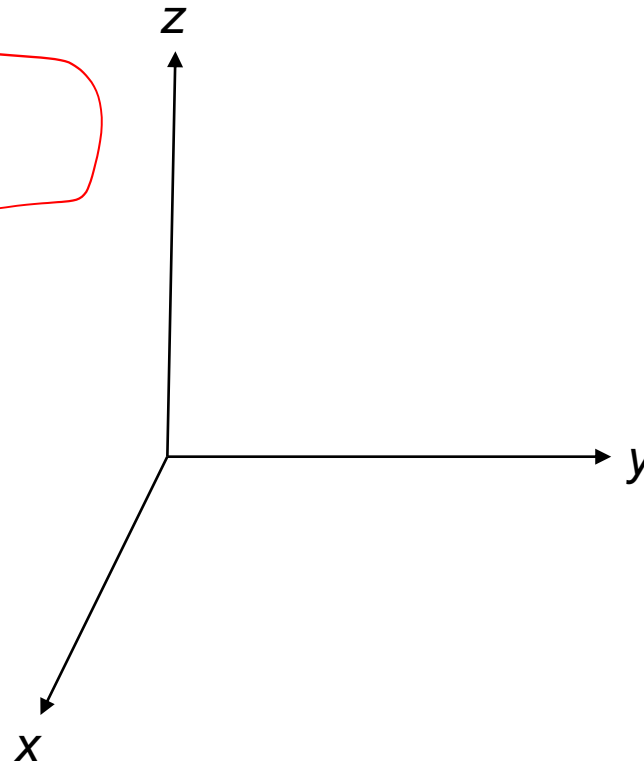
How are tensors transformed by the application of particular symmetry operations?

...by extension of the framework described for vectors and matrices!

$$\vec{\beta}^{new} = (\sigma_{xz} \otimes \sigma_{xz} \otimes \sigma_{xz}) \cdot \vec{\beta}^{old}$$

$$\frac{1}{2} [(\sigma_{xz} \otimes \sigma_{xz} \otimes \sigma_{xz}) + I]$$

Nonzero rows correspond to the set of symmetry-allowed elements.



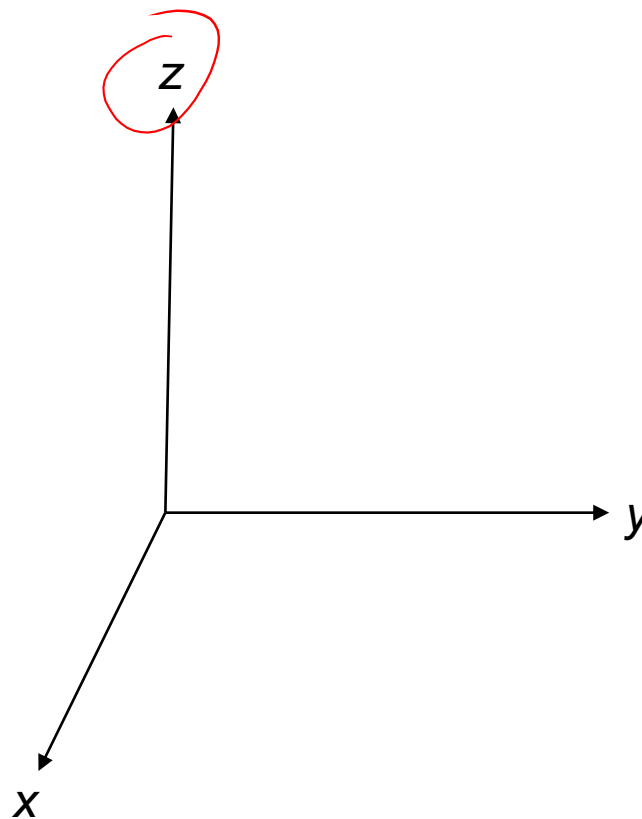
How are vector properties transformed by the application of a particular symmetry operation?

Let's next consider the  $C_3(z)$  operation...

$$\bar{\mu}^{new1} = R\left(\frac{2\pi}{3}\right) \cdot \bar{\mu}^{old}$$

$$\bar{\mu}^{new2} = R\left(2\frac{2\pi}{3}\right) \cdot \bar{\mu}^{old}$$

$$\frac{1}{3} \left( I + R\left(\frac{2\pi}{3}\right) + R\left(2\frac{2\pi}{3}\right) \right)$$



If the symmetry operation leaves the system unchanged by definition, the sum of the three symmetry operators isolates the invariant elements.



# Molecular symmetry: $C_3$



$$\bar{\beta}' = C_3^1(z) \otimes C_3^1(z) \otimes C_3^1(z) \cdot \bar{\beta}$$

Matrix for  $I + [C_3^1(z) \otimes C_3^1(z) \otimes C_3^1(z)] + [C_3^2(z) \otimes C_3^2(z) \otimes C_3^2(z)]$

Sum the expanded transformation matrices for  $C_3^0 + C_3^1 + C_3^2$  operations to reveal the symmetry relationships from the  $C_3$  operation.

	xxx	Xxy	xxz	xyx	xyy	xyz	xzx	xzy	xzz	yxx	yxxy	yxz	yyx	yyy	yyz	yzx	zyy	yzz	zxx	zxy	zxz	zyx	zyy	zyz	zxx	zzy	zzz
xxx	0.75	0	0	0	-0.75	0	0	0	0	0	-0.75	-0.75	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
xyx	0	0.75	0	0.75	0	0	0	0	0	0.75	0	0	-0.75	0	0	0	0	0	0	0	0	0	0	0	0	0	0
xyy	-0.75	0	0	0	0.75	0	0	0	0	0	0.75	0.75	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
xyz	0	0	0	0	0	1.5	0	0	0	0	0	-1.5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
xzx	0	0	0	0	0	0	1.5	0	0	0	0	0	0	0	0	1.5	0	0	0	0	0	0	0	0	0	0	0
xzy	0	0	0	0	0	0	0	1.5	0	0	0	0	0	0	0	-1.5	0	0	0	0	0	0	0	0	0	0	0
xzz	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
yxx	0	0.75	0	0.75	0	0	0	0	0	0.75	0	0	-0.75	0	0	0	0	0	0	0	0	0	0	0	0	0	0
yxxy	-0.75	0	0	0	0.75	0	0	0	0	0	0.75	0.75	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
yxz	0	0	0	0	0	-1.5	0	0	0	0	0	1.5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
yyx	-0.75	0	0	0	0.75	0	0	0	0	0	0.75	0.75	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
yyy	0	-0.75	0	-0.75	0	0	0	0	0	-0.75	0	0	0	0.75	0	0	0	0	0	0	0	0	0	0	0	0	0
yyz	0	0	1.5	0	0	0	0	0	0	0	0	0	0	0	1.5	0	0	0	0	0	0	0	0	0	0	0	0
yzx	0	0	0	0	0	0	0	-1.5	0	0	0	0	0	0	0	1.5	0	0	0	0	0	0	0	0	0	0	0
zyy	0	0	0	0	0	0	1.5	0	0	0	0	0	0	0	0	0	1.5	0	0	0	0	0	0	0	0	0	0
yzz	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
zxx	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1.5	0	0	0	1.5	0	0	0	0
zxy	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1.5	0	-1.5	0	0	0	0	0
zxz	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
zyx	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	-1.5	0	1.5	0	0	0	0	0
zyy	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1.5	0	0	0	1.5	0	0	0	0
zyz	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
z zx	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
z zy	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
z zz	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3

$$-\beta_{xxx} = \beta_{xyy} = \beta_{yyx} = \beta_{yxx}$$



# Molecular symmetry: $C_3$



$$\bar{\beta}' = C_3^1(z) \otimes C_3^1(z) \otimes C_3^1(z) \cdot \bar{\beta}$$

Matrix for

$$I + [C_3^1(z) \otimes C_3^1(z) \otimes C_3^1(z)] + [C_3^2(z) \otimes C_3^2(z) \otimes C_3^2(z)]$$

Sum the expanded transformation matrices for  $C_3^0 + C_3^1 + C_3^2$  operations to reveal the symmetry relationships from the  $C_3$  operation.

	xxx	Xxy	xxz	xyx	xyy	xyz	xzx	xzy	xzz	yxx	yxxy	yxz	yyx	yyy	yyz	yzx	zyy	yzz	zxx	zxy	zxz	zyx	zyy	zyz	zxx	zzy	zzz
xxx	0.75	0	0	0	-0.75	0	0	0	0	0	-0.75	-0.75	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
xyx	0	0.75	0	0.75	0	0	0	0	0	0.75	0	0	-0.75	0	0	0	0	0	0	0	0	0	0	0	0	0	
xyy	-0.75	0	0	0	0.75	0	0	0	0	0	0.75	0	0.75	0	0	0	0	0	0	0	0	0	0	0	0	0	
xyz	0	0	0	0	0	1.5	0	0	0	0	0	-1.5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
xzx	0	0	0	0	0	0	1.5	0	0	0	0	0	0	0	0	1.5	0	0	0	0	0	0	0	0	0	0	
xzy	0	0	0	0	0	0	0	1.5	0	0	0	0	0	0	0	-1.5	0	0	0	0	0	0	0	0	0	0	
xzz	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
yxx	0	0.75	0	0.75	0	0	0	0	0	0.75	0	0	-0.75	0	0	0	0	0	0	0	0	0	0	0	0	0	
xyx	-0.75	0	0	0	0.75	0	0	0	0	0	0.75	0	0.75	0	0	0	0	0	0	0	0	0	0	0	0	0	
yxz	0	0	0	0	0	-1.5	0	0	0	0	0	1.5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
yyx	-0.75	0	0	0	0.75	0	0	0	0	0	0.75	0	0.75	0	0	0	0	0	0	0	0	0	0	0	0	0	
yyy	0	-0.75	0	-0.75	0	0	0	0	0	-0.75	0	0	0	0.75	0	0	0	0	0	0	0	0	0	0	0	0	
yyz	0	0	1.5	0	0	0	0	0	0	0	0	0	0	0	1.5	0	0	0	0	0	0	0	0	0	0	0	
yzx	0	0	0	0	0	0	0	-1.5	0	0	0	0	0	0	0	1.5	0	0	0	0	0	0	0	0	0	0	
zyy	0	0	0	0	0	0	1.5	0	0	0	0	0	0	0	0	0	1.5	0	0	0	0	0	0	0	0	0	
yzz	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
zxx	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1.5	0	0	0	1.5	0	0	0	
zxy	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1.5	0	-1.5	0	0	0	0	
zxx	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
zyx	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	-1.5	0	1.5	0	0	0	0	
zyy	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1.5	0	0	0	1.5	0	0	0	0	
zyz	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
zxx	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
zzy	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
zzz	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

$$-\beta_{xxx} = \beta_{xyy} = \beta_{yyx} = \beta_{yxx}$$

$$-\beta_{yyy} = \beta_{xxy} = \beta_{xyx} = \beta_{yxx}$$

$$\beta_{xxz} = \beta_{yyz}$$

$$\beta_{xyz} = -\beta_{yxz}$$

$$\beta_{zxx} = \beta_{zyy}$$

$$\beta_{xzy} = -\beta_{yzx}$$

$$\beta_{zxx} = \beta_{zyy}$$

$$\beta_{xzy} = -\beta_{yzx}$$

$$\beta_{zzz}$$