



General objective: Determine relationships between tensor elements not immediately obvious from direct inspection of the character tables.

$$\vec{\beta}^{\omega_{sum}} = \sum_n S_n(\omega_{ir}) \cdot (\vec{\alpha}_{0n} \otimes \vec{\mu}_{n0}) + NR$$

$$\vec{\beta}^{2\omega} = \sum_n S_n(2\omega) \cdot (\vec{\mu}_{0n} \otimes \vec{\alpha}_{n0}) + NR$$

Specific Objectives:

1. Illustrate the approach based on intuition for the C_4 operation.
2. Develop a linear algebra strategy to identify symmetry-allowed relationships.
3. Consider phase shifts in degenerate modes.

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Note: Citations to the contents of these slides should reference the following textbook:

Simpson, Garth J. (2017) *Nonlinear Optical Polarization Analysis in Chemistry and Biology* (Cambridge University Press, ISBN 978-0-521-51908-3).

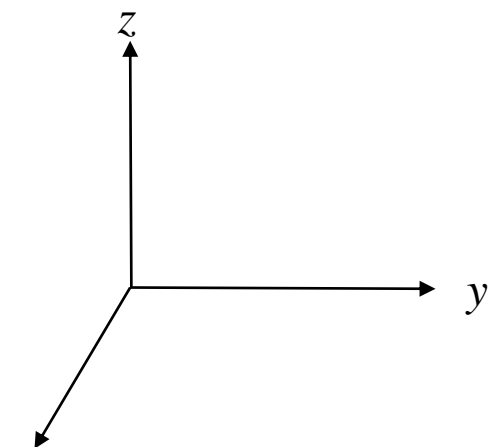


Guess and Check: C_4



Consider ir-vis SFG from an assembly with C_4 molecular symmetry.

$$\vec{\beta}^{\omega_{sum}} \cong S_n(\omega_{ir}) \cdot (\vec{\alpha}_{0n} \otimes \vec{\mu}_{n0}) + NR$$



| | | |
|--------------------|--------------------|----------|
| | $C_2(z)$ | $C_4(z)$ |
| $x \Rightarrow -x$ | $x \Rightarrow y$ | |
| $y \Rightarrow -y$ | $y \Rightarrow -x$ | |
| $z \Rightarrow z$ | $z \Rightarrow z$ | |

| C_4 | E | $C_4(z)$ | $C_2(z)$ | $3C_4(z)$ | Lin. | Quad. |
|-------|--------|----------|----------|-----------|-------|----------------|
| A | 1 | 1 | 1 | 1 | z | x^2+y^2, z^2 |
| B | 1 | -1 | 1 | 1 | - | x^2-y^2, xy |
| E | 1 1 | i -i | -1 -1 | -i i | (x,y) | (xz,yz) |

| | | |
|-----|-----|-----|
| XXX | YXX | ZXX |
| XXY | YXY | ZXY |
| XXZ | YXZ | ZXZ |
| XYX | YYX | ZYX |
| XYY | YYY | ZYY |
| XYZ | YYZ | ZYZ |
| XZX | YZX | ZZX |
| XZY | YZY | ZZY |
| XZZ | YZZ | ZZZ |



Character Tables



A more formal linear algebra approach exemplified for C_4 :E-transitions

Set of matrices corresponding to the $C_2(z)$ and $C_4(z)$ symmetry operations

| C_4 | E | $C_4(z)$ | $C_2(z)$ | $3C_4(z)$ | Lin. | Quad. |
|-------|---|----------|----------|-----------|-------|----------------|
| A | 1 | 1 | 1 | 1 | z | x^2+y^2, z^2 |
| B | 1 | -1 | 1 | 1 | - | x^2-y^2, xy |
| E | 1 | i | -1 | -i | (x,y) | (xz,yz) |
| | 1 | -i | -1 | i | | |

$$C_n^1(z) = \begin{bmatrix} \cos(2\pi/n) & -\sin(2\pi/n) & 0 \\ \sin(2\pi/n) & \cos(2\pi/n) & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$\lambda \bar{\mu}' = C_4^1(z) \cdot \bar{\mu} = \begin{bmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \cdot \begin{bmatrix} \mu_x \\ \mu_y \\ \mu_z \end{bmatrix}$$

$$\text{eigenvalues}[C_4^1(z)] = \begin{bmatrix} i \\ -i \\ 1 \end{bmatrix}$$

$$\text{eigenvec}[i] = \begin{bmatrix} 1 \\ i \\ 0 \end{bmatrix}$$

$$\text{eigenvec}[-i] = \begin{bmatrix} 1 \\ -i \\ 0 \end{bmatrix}$$

$$\lambda \bar{\alpha}' = [C_4^1(z) \otimes C_4^1(z)] \cdot \bar{\alpha}$$

$$\text{eigenvalues}[C_4^1(z)] = \begin{bmatrix} 1 \\ i \\ -i \\ -1 \end{bmatrix}$$

$$\text{eigenvec}[\pm i] = \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ \pm i \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ \pm i \\ 0 \\ 0 \end{bmatrix}$$



Character Tables



A more formal linear algebra approach exemplified for C_4 : A & B-transitions

Set of matrices corresponding to the $C_2(z)$ and $C_4(z)$ symmetry operations

| C_4 | E | $C_4(z)$ | $C_2(z)$ | $3C_4(z)$ | Lin. | Quad. |
|-------|---|----------|----------|-----------|-------|----------------|
| A | 1 | 1 | 1 | 1 | z | x^2+y^2, z^2 |
| B | 1 | -1 | 1 | 1 | - | x^2-y^2, xy |
| E | 1 | i | -1 | -i | (x,y) | (xz,yz) |
| | 1 | -i | -1 | i | | |

$$C_n^1(z) = \begin{bmatrix} \cos(2\pi/n) & -\sin(2\pi/n) & 0 \\ \sin(2\pi/n) & \cos(2\pi/n) & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$\lambda \bar{\alpha}' = [C_4^1(z) \otimes C_4^1(z)] \cdot \bar{\alpha}$$

$$\lambda \bar{\mu}' = C_4^1(z) \cdot \bar{\mu} = \begin{bmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \cdot \begin{bmatrix} \mu_x \\ \mu_y \\ \mu_z \end{bmatrix}$$

$$\text{eigenvalues}[C_4^1(z)] = \begin{bmatrix} i \\ -i \\ 1 \end{bmatrix}$$

$$\text{eigenvec}[1] = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \quad \times$$

$$\text{eigenvalues}[C_4^1(z)] = \begin{bmatrix} 1 \\ i \\ -i \\ -1 \end{bmatrix}$$

$$\text{eigenvec}[1] = \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}$$

$$\text{eigenvec}[-1] = \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \\ -1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}$$



Character Tables



How about β ?

Parametric processes must transform as the totally symmetric group A.

$$\bar{\beta}' = [C_4^1(z) \otimes C_4^1(z) \otimes C_4^1(z)] \cdot \bar{\beta}$$

$$\beta_{zzz}$$



$$\beta_{xxz} = \beta_{yyz}$$



$$\beta_{xzx} = \beta_{yzy}$$



$$\beta_{xzy} = -\beta_{yzx}$$



$$\beta_{xyz} = -\beta_{yxz}$$



$$\beta_{zxx} = \beta_{zyy}$$



$$\beta_{zxy} = -\beta_{zyx}$$



How do we account for these complex terms in construction of β ?

We don't!

Parametric processes must transform as the totally symmetric group A .

$$\bar{\beta}' = [C_4^1(z) \otimes C_4^1(z) \otimes C_4^1(z)] \cdot \bar{\beta}$$

~~β_{zzz}~~

~~$\beta_{xxz} = \beta_{yyz}$~~

$\beta_{xzx} = \beta_{yzy}$

$\beta_{xzy} = -\beta_{yzx}$

~~$\beta_{xyz} = -\beta_{yxz}$~~

$\beta_{zxx} = \beta_{zyy}$

$\beta_{zxy} = -\beta_{zyx}$

$$\beta_{00} \cong S_n(\omega_{ir}) \bar{\alpha}_{0n} \otimes \bar{\mu}_{n0} + NR$$

~~E~~

$\bar{\mu}_{n0}$

$\begin{bmatrix} 1 \\ \pm i \\ 0 \end{bmatrix}$

$\bar{\alpha}_{00}$

$\begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ \mp i \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ \mp i \\ 0 \end{bmatrix}$

$\beta_{xzx} = \beta_{yzy}$



Resonantly-Enhanced SHG



How do things change for SHG resonant at the second harmonic frequency?

Parametric processes must transform as the totally symmetric group A.

$$\vec{\beta}' = [C_4^1(z) \otimes C_4^1(z) \otimes C_4^1(z)] \cdot \vec{\beta}$$

$$\beta_{zzz}$$

$$\beta_{xxz} = \beta_{yyz}$$

$$\beta_{xzx} = \beta_{yzy}$$

$$\beta_{xzy} = -\beta_{yzx}$$

$$\beta_{xyz} = -\beta_{yxz}$$

$$\beta_{zxx} = \beta_{zyy}$$

$$\beta_{zxy} = -\beta_{zyx}$$

$$\vec{\beta} \cong S_n(2\omega) \vec{\mu}_{n0} \otimes \vec{\alpha}_{0n} + NR$$

$$\vec{\mu}_{0n} \begin{bmatrix} 1 \\ \mp i \\ 0 \end{bmatrix}$$

$$\vec{\alpha}_{n0} \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 1 & 0 \\ 0 & 0 \\ 0 & 0 \\ \pm i & 0 \\ 0 & 1 \\ 0 & \pm i \\ 0 & 0 \end{bmatrix}$$